

NOVEMBER 2012

TITLE OF ENVIRONMENTAL REVIEW	Draft Environmental Assessment for Nonessential Experimental Population Designation and 4(d) Take Provisions for Reintroduction of Central Valley Spring-run Chinook Salmon to the San Joaquin River Below Friant Dam
RESPONSIBLE AGENCY AND CONTACT	Rhonda Reed National Marine Fisheries Service Protected Resources Division Central Valley Office 650 Capitol Mall, Suite 500 Sacramento, CA 95814
LOCATION OF PROPOSED ACTIVITIES	The San Joaquin River Restoration Area from Friant Dam to the confluence of the Merced River, and portions of the Central Valley.
PROPOSED ACTION	National Marine Fisheries Service (NMFS) proposes to designate the establishment of Central Valley spring-run Chinook salmon to the San Joaquin River above the confluence of the Merced River as a nonessential experimental population under section 10(j) of the Endangered Species Act (ESA). NMFS also is proposing the establishment of take provisions under section 4(d) of the ESA for the experimental population and for the spring-run Chinook salmon reintroduced on the San Joaquin River below the confluence of the Merced River.



1	<b>TABLE OF CONTENTS</b>	
2	<b>DEFINITIONS AND ACRONYMS .....</b>	<b>v</b>
3	<b>SECTION 1 PURPOSE AND NEED.....</b>	<b>1-1</b>
4	1.1 Introduction .....	1-1
5	1.1.1 Background .....	1-1
6	1.1.2 Settlement and Statute .....	1-1
7	1.2 Endangered Species Act.....	1-4
8	1.2.1 NMFS Responsibilities for Management under the Endangered Species Act ...	1-4
9	1.2.2 Statutory and Regulatory Framework to be followed .....	1-5
10	1.3 Relationship of the Proposed Experimental Population to Recovery Efforts ....	1-6
11	1.3.1 Regulatory Issues That Are to be Addressed by Designation .....	1-7
12	1.3.1.1 Proposed Designation will Further the Conservation of the Species .....	1-7
13	1.3.1.2 The Proposed Experimental Population is Nonessential.....	1-7
14	1.4 Use of Previous Environmental Documentation for the	
15	Environmental Assessment .....	1-8
16	1.4.1 San Joaquin River Restoration Program Environmental	
17	Impact Statement/Report.....	1-8
18	1.4.2 Fisheries Management Work Group Documents .....	1-9
19	1.4.3 Central Valley Spring-run Chinook Salmon and Steelhead in the Sacramento	
20	River Basin Background Report.....	1-9
21	1.5 Purpose and Need Statement.....	1-10
22	1.6 Description of Action Area, Study Area, and Restoration Area .....	1-10
23	1.7 Scoping.....	1-12
24	<b>SECTION 2 PROPOSED ACTION AND ALTERNATIVES .....</b>	<b>2-1</b>
25	2.1 Alternatives to Be Analyzed.....	2-1
26	2.1.1 No Action Alternative .....	2-1
27	2.1.2 Action Alternative Development .....	2-2
28	2.1.3 Common Activities .....	2-2
29	2.1.3.1 Activities Common to Source Stock Alternatives .....	2-3
30	2.1.3.2 Activities Common to Section 10(j) and Section 4(d) Rules Alternatives .....	2-3
31	2.2 Stock Source Alternatives .....	2-5
32	2.3 Section 10(j) Rule Alternatives .....	2-7
33	2.3.1 10(j) Area Alternatives.....	2-7
34	2.3.2 10(j) Duration Alternatives .....	2-11
35	2.4 Alternatives Considered and Eliminated from Further Consideration .....	2-12
36	2.4.1 Stock Source Alternatives .....	2-12
37	2.4.2 Section 10(j) Rule Alternatives .....	2-12
38	2.4.2.1 10(j) Area Alternative 3 .....	2-12
39	2.4.2.2 10(j) Area Alternative 4 .....	2-12
40	2.4.2.3 10(j) Area Alternative 5 .....	2-12
41	2.4.2.4 10(j) Duration Alternative 3 .....	2-13
42	<b>SECTION 3 AFFECTED ENVIRONMENT.....</b>	<b>3-1</b>
43	3.1 Introduction .....	3-1
44	3.2 Central Valley Spring-run Chinook Salmon .....	3-1
45	3.2.1 Life History .....	3-1
46	3.2.2 Historical Distribution.....	3-2
47	3.2.3 Current Distribution .....	3-2

1	3.2.4	Viable Population Summary for Spring-run Chinook.....	3-2
2	3.2.4.1	Abundance.....	3-2
3	3.2.4.2	Productivity .....	3-3
4	3.2.4.3	Diversity .....	3-3
5	3.3	Donor Action Area .....	3-4
6	3.3.1	Sacramento River Tributaries.....	3-4
7	3.3.1.1	Feather River .....	3-4
8	3.3.1.2	Deer Creek.....	3-6
9	3.3.1.3	Mill Creek .....	3-8
10	3.3.1.4	Butte Creek.....	3-10
11	3.3.1.5	Clear Creek.....	3-12
12	3.3.1.6	Battle Creek.....	3-14
13	3.3.2	San Joaquin River Tributaries .....	3-15
14	3.3.2.1	Mokelumne River.....	3-15
15	3.3.2.2	Stanislaus River .....	3-16
16	3.3.2.3	Tuolumne River.....	3-18
17	3.4	Reintroduction Area .....	3-19
18	3.4.1	San Joaquin River Basin.....	3-19
19	3.4.2	San Joaquin River from Friant Dam to Merced River .....	3-19
20	3.4.3	San Joaquin River Tributaries .....	3-23
21	3.4.4	Sacramento-San Joaquin Delta.....	3-23
22	3.5	Fish Species within the San Joaquin River Basin .....	3-24
23	3.5.1	Federally Listed Fish Species.....	3-27
24	3.5.2	Predation and Disease .....	3-28
25	3.6	Other Environmental Conditions of the San Joaquin Basin .....	3-28
26	3.6.1	San Joaquin River Recreation .....	3-28
27	3.6.2	Commercial Fishing .....	3-32
28	3.6.3	Hatchery Facilities.....	3-32
29	3.6.4	Land Use .....	3-33
30	3.6.5	Water Quality .....	3-37
31	3.6.5.1	Water Temperature.....	3-38
32	3.6.5.2	Suspended Sediment and Turbidity.....	3-38
33	3.6.6	Air Quality.....	3-39
34	3.6.7	Climate Change .....	3-39
35	<b>SECTION 4</b>	<b>ENVIRONMENTAL CONSEQUENCES .....</b>	<b>4-1</b>
36	4.1	Introduction .....	4-1
37		<i>NO ACTION ALTERNATIVE ANALYSIS</i> .....	4-1
38	4.2	No Action Alternative .....	4-1
39	4.2.1	Federally Listed Species.....	4-2
40	4.2.1.1	Central Valley Spring-run Chinook Salmon .....	4-2
41	4.2.1.2	California Central Valley Steelhead.....	4-2
42	4.2.1.3	Southern DPS of Green Sturgeon.....	4-3
43	4.2.2	Fish.....	4-3
44	4.2.3	Recreation.....	4-3
45	4.2.4	Commercial Fishing .....	4-4
46	4.2.5	Land Use .....	4-4
47	4.2.6	Hatchery Facilities.....	4-4
48	4.2.7	Water Quality .....	4-5
49	4.2.8	Air Quality.....	4-5
50	4.2.9	Climate Change.....	4-5

1		<i>ACTION ALTERNATIVES ANALYSIS</i> .....	4-5
2	4.3	Proposed Action/Reintroduction of Spring-run Chinook.....	4-5
3	4.3.1	Federally Listed Species.....	4-5
4	4.3.1.1	Central Valley Spring-run Chinook Salmon .....	4-5
5	4.3.1.2	California Central Valley Steelhead.....	4-7
6	4.3.1.3	Southern DPS of Green Sturgeon.....	4-8
7	4.3.2	Fish.....	4-8
8	4.3.3	Recreation.....	4-10
9	4.3.4	Commercial Fishing .....	4-11
10	4.3.5	Land Use .....	4-12
11	4.3.6	Water Quality.....	4-12
12	4.3.7	Air Quality.....	4-13
13	4.3.8	Climate Change.....	4-13
14		<i>DONOR STOCK ALTERNATIVES ANALYSIS</i> .....	4-14
15	4.4	Donor Stock Alternatives .....	4-14
16	4.4.1	All Source Donor Stock Alternative .....	4-15
17	4.4.2	Feather River Hatchery Only Donor Stock Source Alternative .....	4-16
18	4.4.3	Single Source Alternative.....	4-16
19		<i>NEP AREA ALTERNATIVES ANALYSIS</i> .....	4-17
20	4.5	Area Alternative 1 .....	4-17
21	4.5.1	Federally Listed Species.....	4-17
22	4.5.1.1	Central Valley Spring-run Chinook Salmon .....	4-17
23	4.5.1.2	California Central Valley Steelhead.....	4-19
24	4.5.1.3	Southern DPS of Green Sturgeon.....	4-19
25	4.5.2	Fish.....	4-19
26	4.5.2.1	Fisheries: San Joaquin River Tributaries (Merced, Tuolumne,	
27		and Stanislaus Rivers) .....	4-22
28	4.5.3	Recreational Fishing.....	4-23
29	4.5.4	Commercial Fishing .....	4-23
30	4.5.5	Hatchery Facilities.....	4-23
31	4.5.6	Land Use .....	4-23
32	4.5.7	Water Quality.....	4-24
33	4.5.8	Air Quality and Climate Change.....	4-24
34	4.6	Area Alternative 2 .....	4-24
35	4.6.1	Federally Listed Species.....	4-25
36	4.6.1.1	Central Valley Spring-run Chinook Salmon .....	4-25
37	4.6.1.2	Central Valley Steelhead.....	4-26
38	4.6.1.3	Southern DPS of Green Sturgeon.....	4-26
39	4.6.2	Fish.....	4-26
40	4.6.3	Recreational Fishing.....	4-26
41	4.6.4	Commercial Fishing .....	4-26
42	4.6.5	Hatchery Facilities.....	4-26
43	4.6.6	Land Use .....	4-26
44	4.6.7	Water Quality.....	4-27
45	4.6.8	Air Quality.....	4-27
46	4.6.9	Climate Change.....	4-27
47		<i>DURATION ALTERNATIVE ANALYSIS</i> .....	4-28
48	4.7	Duration Alternative 1 Analysis.....	4-28
49	4.7.1	Land Use .....	4-28
50	4.8	Duration Alternative 2 Analysis.....	4-28
51	4.8.1	Land Use .....	4-29

1	<b>SECTION 5 CUMULATIVE IMPACTS</b> .....	<b>5-1</b>
2	<b>SECTION 6 REFERENCES</b> .....	<b>6-1</b>
3	<b>SECTION 7/8 LIST OF PREPARERS COOPERATING AGENCIES AND CONSULTED</b>	
4	<b>PARTIES</b> .....	<b>7/8-1</b>
5	<b>FIGURES</b> .....	
6	Figure 1-1 Current and Historical Distribution of Central Valley Spring-run .....	1-2
7	Figure 1-2 Action Area .....	1-11
8	Figure 1-3 San Joaquin Restoration Plan Study Area .....	1-13
9	Figure 1-4 San Joaquin River Restoration Area .....	1-14
10	Figure 2-1 10(j) Area Alternative 1 Based on HUC map for San Joaquin River.....	2-8
11	Figure 2-2 10(j) Area Alternative 2 HUC map for San Joaquin River	
12	south the Merced River .....	2-10
13	Figure 3-1 Lower Feather River.....	3-5
14	Figure 3-2 Number of spring-run Chinook adults spawned at the FRFH .....	3-6
15	Figure 3-3 Spring-run habitat in Deer Creek .....	3-7
16	Figure 3-4 Spring-run Chinook habitat in Mill Creek.....	3-9
17	Figure 3-5 Reaches of Butte Creek and West Branch of the Feather River controlled by	
18	Pacific Gas and Electric Company affecting Butte Creek spring-run Chinook,	
19	including temperature and flow gage locations and distances .....	3-11
20	Figure 3-6 Clear Creek.....	3-13
21	Figure 3-7 Battle Creek.....	3-14
22	Figure 3-8 Mokelumne River.....	3-16
23	Figure 3-9 Tributaries of the San Joaquin River: the Stanislaus, the Tuolumne, and the	
24	Merced Rivers .....	3-17
25	<b>TABLES</b> .....	
26	Table 2-1 Alternatives Considered by Type .....	2-14
27	Table 3-1 Surplus Fish Observed at Feather River Hatchery in Recent Years.....	3-6
28	Table 3-2 Annual Escapement Estimates for Deer Creek .....	3-7
29	Table 3-3 Annual Escapement Estimates for Mill Creek .....	3-9
30	Table 3-4 Butte Creek spring-run Chinook Spawning Escapement Estimates for the Period	
31	1954 through 2011 .....	3-12
32	Table 3-5 Annual Escapement Estimates for Clear Creek.....	3-13
33	Table 3-6 Annual Escapement Estimates for Battle Creek.....	3-15
34	Table 3-7 Weir Counts of Adult Chinook migrating upstream on the Stanislaus River... 3-18	
35	Table 3-8 Weir counts of Adult Chinook migrating upstream on the Tuolumne River ... 3-19	
36	Table 3-9 Summary of Anadromous Salmonid Spawning Habitat Estimates in Reach 1 of	
37	Restoration Area.....	3-22
38	Table 3-10 Native and Non-native Fish Species found in the San Joaquin River .....	3-24
39	Table 3-11 Existing Parks and Public Lands in the San Joaquin River Parkway-Reach 1. 3-31	
40	Table 3-12 Acreage of Land Uses Along San Joaquin River in Restoration Area.....	3-35
41	Table 3-13 Habitats and Acreage of Forest Land in the Restoration Area .....	3-36
42	Table 3-14 Summary of Attainment Status Designations for the Sacramento Valley, San	
43	Joaquin Valley and Bay Area Air Basins .....	3-41
44	Table 4-1 Calculated CO2 emissions for transportation of fish between	
45	various locations.....	4-14
46	Table 5-1 Qualitative Assessment of California Salmonids' Vulnerability to Climate	
47	Change.....	5-4

1 **DEFINITIONS AND ACRONYMS**

2	APCD	Air Pollution Control District
3	BMP	Best Management Practices
4	Broodstock	Fish derived directly from Donor Stock which are raised to maturity
5		from eggs, juveniles, or unripe adults, and reared at the Conservation
6		Facility. Offspring from the broodstock would eventually be released to
7		the San Joaquin River.
8	DFG	California Department of Fish and Game
9	DFW	California Department of Fish and Wildlife
10	DWR	California Department of Water Resources
11	cfs	cubic feet per second
12	CO2	Carbon dioxide
13	CV	Central Valley
14	CVP	Central Valley Project
15	CVPIA	Central Valley Project Improvement Act
16	CWA	Clean Water Act
17	°F	Degrees Fahrenheit
18	DO	dissolved oxygen
19	Donor Stock	Includes any individual Chinook collected at any life stage, from any
20		particular donor source stream.
21	DSC Plan:	Donor Stock Collection Plan; The proposed formal request made to
22		NMFS via USFWS for annual donor stock collection
23	EA	Environmental Assessment
24	Escapement	That portion of an anadromous fish population that escapes the
25		commercial and recreational fisheries and reaches the freshwater
26		spawning grounds.
27	ESA	Endangered Species Act
28	ESU	Ecological Significant nit

1	FMP	Fisheries Management Plan
2	FMWG	Fisheries Management Work Group
3	FRFH	Feather River Fish Hatchery
4	FWA	Friant Water Authority
5	Genotype	The genetic makeup, as distinguished from the physical appearance, of
6		an organism or a group of organisms.
7	GHG	greenhouse gas
8	Holding	The act of fish such as spring-run Chinook of staying within a given
9		watershed before spawning.
10	HGMP	Hatchery and Genetics Management Plan
11	Jack	fish that returns a year early
12	Metapopulation	Consists of a group of spatially separated populations of the same species
13		which interact at some level
14	MtCO <sub>2</sub> e	Metric Tonne (1,000 kg) Carbon Dioxide Equivalent. The standard
15		measurement of the amount of CO <sub>2</sub> emissions.
16	NEP	Nonessential population
17	NMFS	National Marine Fisheries Service
18	NPDES	National Pollution Discharge Elimination System
19	NRDC	Natural Resources Defense Council
20	PEIS/R	Program Environmental Impact Statement/ Report
21	Phenotype	The set of observable characteristics of an individual resulting from the
22		interaction of its genotype with the environment.
23	RM	river mile
24	RWQCB	Regional Water quality Control Board
25	SFB	San Francisco Air Basin
26	SJFH	San Joaquin Fish Hatchery
27	SJRRP	San Joaquin River Restoration Program

1	SJVAB	San Joaquin Valley Air Basin
2	Spawning	the mass of eggs deposited by fishes, amphibians, mollusks, crustaceans,
3		etc.
4	Spawner	Sexually mature individual
5	Spring-run Chinook	Central Valley spring-run Chinook salmon ( <i>Oncorhynchus tshawytscha</i> )
6	sorption	Used in chemistry: the taking up and holding of one substance by
7		another. sorption includes the processes of absorption and adsorption.
8	SVAB	Sacramento Valley Air Basin
9	SWP	State Water Project
10	TDS	total dissolved solids
11	TMDL	Total Maximum Daily Load is a calculation of the maximum amount of a
12		pollutant that a waterbody can receive and still meet water quality
13		standards, and an allocation of that load among the various sources of
14		that pollutant. Pollutant sources are characterized as either point sources,
15		or nonpoint sources.
16	USFWS	United States Fish and Wildlife Service
17	VAMP	Vernalis Adaptive Management Program

18

*This page left blank intentionally.*

1     **SECTION 1 PURPOSE AND NEED**

2     **1.1           Introduction**

3     NOAA's National Marine Fisheries Service (NMFS) proposes to establish rules pursuant to  
4     sections 10(j) and 4(d) of the Endangered Species Act (ESA) (16 U.S. Code of Federal  
5     Regulations [USC] 1531 *et seq.*) to allow for the release of Central Valley spring-run Chinook  
6     salmon (spring-run Chinook) as an experimental population into the San Joaquin River as part of  
7     the San Joaquin River Restoration Program (SJRRP) spring-run Chinook reintroduction process,  
8     and to define the take prohibition exceptions to section 9 of the ESA for said reintroduced fish.

9     **1.1.1         Background**

10    Over the past two centuries, development of water resources transformed the San Joaquin River.  
11    Since the 1880s, large areas of valley floor were converted to agricultural production with  
12    irrigation activities that modified the natural flow patterns. With the construction of Friant Dam  
13    on the San Joaquin River and the completion of Friant-Kern Canal and Madera Canal the Friant  
14    Dam diverted San Joaquin River water supplies to over 1 million acres of highly productive  
15    farmland along the eastern portion of the San Joaquin Valley. Operation of the dam ceased flow  
16    for portions of the approximately 153 miles of the river, preventing access to salmon spawning  
17    and rearing habitat, and extirpating salmon runs in the San Joaquin River upstream from its  
18    confluence with the Merced River.

19    The Central Valley spring-run Chinook salmon (*Oncorhynchus tshawytscha*) (Spring-run  
20    Chinook) Evolutionarily Significant Unit (ESU) is listed as threatened under the ESA. The ESU  
21    includes all naturally spawned populations of spring-run Chinook in the Sacramento River and its  
22    tributaries in California, as well as non-adipose clipped fish from the Feather River Hatchery  
23    spring-run Chinook program (70 FR 37160). Hatchery produced, adipose fin-clipped fish are not  
24    protected under this listing (70 FR 37204). Critical habitat was established on September 2,  
25    2005, and became effective on January 2, 2006 (70 FR 52488). Figure 1-1, taken from the Public  
26    Draft Recovery Plan for the Evolutionarily Significant Units of Sacramento River Winter-run  
27    Chinook Salmon and Central Valley Spring-run Chinook Salmon and the Distinct Population  
28    Segment of Central Valley Steelhead (Draft Recovery Plan) (NMFS, 2009a) shows the current  
29    and historical distribution of spring-run Chinook and the established ESU. Note that all current  
30    spring-run Chinook watersheds are located in the Sacramento River basin.

31  
32    **1.1.2         Settlement and Statute**

33    In 1988, a coalition of environmental and fishing groups, led by the Natural Resources Defense  
34    Council (NRDC), filed a lawsuit challenging renewal of long-term water service contracts  
35    between the United States and Central Valley Project (CVP) Friant Division contractors. After  
36    more than 18 years of litigation of this lawsuit, known as *NRDC, et al., v. Kirk Rodgers, et al.*, a  
37    Settlement was reached (Settlement). On September 13, 2006, the Settling Parties, including

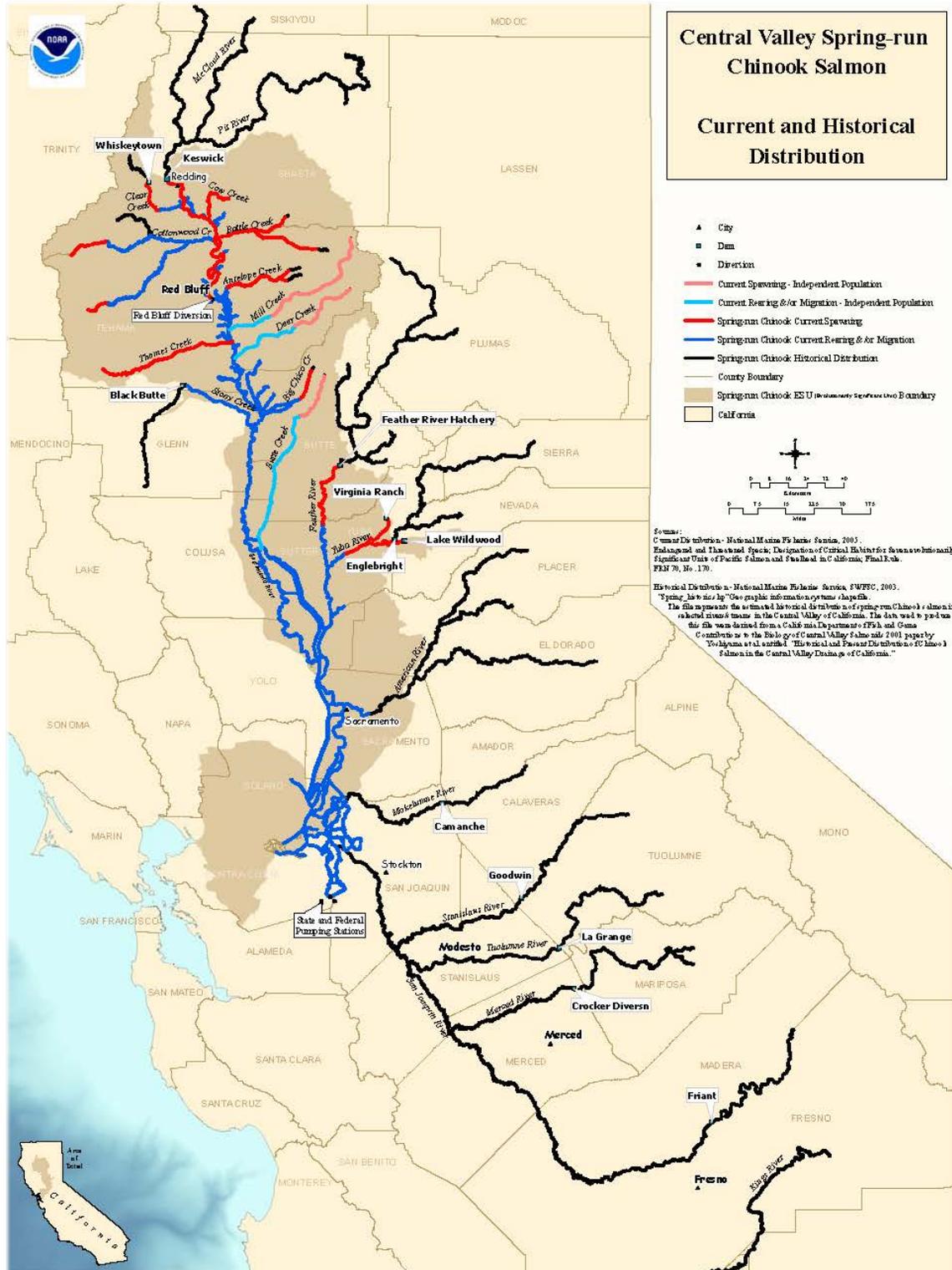


Figure 1-1. Current and Historical Distribution of Central Valley Spring-run Chinook Salmon (from Draft Recovery Plan [NMFS 2009a]).

1 NRDC, Friant Water Users Authority [now the Friant Water Authority], and the U.S.  
2 Departments of the Interior and Commerce, agreed on the terms and conditions of the Settlement,  
3 which was subsequently approved by the U.S. Eastern District Court of California on October  
4 23,2006. Implementation of the Settlement is accomplished through the SJRRP.

5 The Implementing Agencies of the SJRRP are the Bureau of Reclamation (Reclamation) and Fish  
6 and Wildlife Service (USFWS) from the Department of Interior, the National Marine Fisheries  
7 Service (NMFS) from the Department of Commerce and, by Memorandum of Understanding,  
8 from the State of California, the Department of Fish and Game [now the Department of Fish and  
9 Wildlife (DFW)] and the Department of Water Resources (DWR).

10 The Settlement establishes two primary goals:

11 **Restoration Goal** – To restore and maintain fish populations in “good condition” in the  
12 mainstem San Joaquin River below Friant Dam to the confluence of the Merced River, including  
13 naturally reproducing and self-sustaining populations of salmon and other fish.

14 **Water Management Goal** – To reduce or avoid adverse water supply impacts on all of  
15 the Friant Division long-term contractors that may result from the Interim flows and Restoration  
16 Flows provided for in the Settlement.

17 Paragraph 14 of the Settlement states that the Restoration Goal “shall include the reintroduction  
18 of spring-run and fall-run Chinook salmon to the San Joaquin River between Friant Dam and the  
19 confluence of the Merced River.” Because fall-run Chinook are not listed as threatened or  
20 endangered their reintroduction is not analyzed in this EA (see section 1.4.1).

21 The Federal Implementing Agencies are authorized to carry out the Settlement by the San Joaquin  
22 River Restoration Settlement Act (Restoration Act) (Pub. L. 111-11, 123 Stat. 1349 (2009)). This  
23 legislation also mandates that spring-run Chinook reintroduced into the San Joaquin River under  
24 the SJRRP shall be as an experimental population pursuant to section 10(j) of the ESA of 1973  
25 (16 U.S.C. 1539(j)). The Restoration Act further requires NMFS to prepare a rule pursuant to  
26 4(d) so that reintroduction will not impose more than “*de minimus*: water supply reductions,  
27 additional storage releases, or bypass flows on unwilling third parties.” Consequently, in order to  
28 release spring-run Chinook into the wild under the SJRRP, NMFS is required to complete the  
29 rulemaking necessary to designate an experimental population for the San Joaquin River and  
30 promulgate 4(d) rules for that experimental population.

31 Section 10(j) and section 4(d) allow exemption to section 9 take prohibitions, when, for the  
32 conservation of the species, regulatory flexibility will allow greater likelihood of successful  
33 introduction and reduce landowner concerns. Adoption of regulations does not require  
34 reintroduction of the species. Physical activities to implement reintroduction requires permitting  
35 of specific actions as covered by sections 10(a)(1)(A) and 4(d).

1 The Environmental Assessment (EA) analyzes the effects of the actions necessary to fulfill  
2 certain requirements of the Restoration Act, and the Settlement – including an analysis of the  
3 potential effects of the establishment of the experimental population (section 10(j)) area, the  
4 release of spring-run Chinook to the San Joaquin River, and the potential effects to the ESU. As  
5 a threatened species the existing population of spring-run Chinook in the Sacramento River basin  
6 has specific existing take exemptions established under section 4(d) of the ESA and set forth in  
7 50 CFR Part 223 (NOAA, Endangered and Threatened Species: Final Listing Determinations for  
8 16 ESUs of West Coast Salmon, and Final 4(d) Protective Regulations for Threatened Salmonid  
9 ESUs, 70 Fed. Reg. 37160 (June 28, 2005)). The EA also analyzes the potential effects of  
10 establishing new take exemptions under section 4(d) of the ESA for the reintroduced fish.

11 **1.2 Endangered Species Act**

12 **1.2.1 NMFS Responsibilities for Management under the Endangered Species Act**

13 When Congress enacted the ESA, it vested responsibilities for management of species listed as  
14 threatened and endangered to the Secretaries of the Interior and Commerce (Secretaries). Most of  
15 the ESA mandates require the Secretaries to manage species and listed populations through  
16 promulgation of protective regulations and establishment of prohibited acts; development and  
17 implementation oversight of recovery plans; management of listing determinations and  
18 subsequent management decision-making; review, approval, and oversight of applicant-requested  
19 program and permit approvals and hardship exemptions; and management of inter-agency  
20 consultations related to the conservation of listed species<sup>1</sup>. As an agency within the Department  
21 of Commerce, the NMFS is responsible for the management of ESA conservation programs  
22 related to marine and anadromous fish species (<http://www.nmfs.noaa.gov/pr/laws/esa/>)<sup>2</sup>

23

---

<sup>1</sup> Examples of Department of Commerce management responsibilities for listed species conservation can be found throughout the ESA, including the critical habitat program definition (“...those physical or biological features... (II) which may require special management considerations or protection...”) (16 USC 1532 (5)(A)(i)), the basis for listing determinations (“the Secretary shall implement a system to monitor effectively the status of all species...”) (16 USC 1533 (b)(3)(C)(A)(iii)), and recovery planning (The Secretary shall develop and implement plans...for the conservation and survival of endangered species and threatened species...”) (16 USC 1533 (f)(1)).

<sup>2</sup> The mission statement for the NMFS Southwest Region is to conserve, protect, and manage Pacific salmon, groundfish, halibut, and marine mammals and their habitats under the Endangered Species Act (ESA) and other federal laws (<http://www.swr.noaa.gov/>).

1     **1.2.2         Statutory and Regulatory Framework To Be Followed**

2     The June 2005 Federal Register notice (June 28, 2005, 70 FR 37160) presented the final listing  
3     determination for 16 ESUs of West Coast Salmon, which included Central Valley spring-run  
4     Chinook. In addition to determining the status of each salmon ESU (i.e., whether it was  
5     endangered or threatened) the Federal Register notice also included an announcement that the  
6     hatchery fish populations within the specific ESU would be included in the listing determinations  
7     for the ESU. NMFS further announced that it had amended the section 4(d) protective  
8     regulations for threatened salmonid ESUs to exclude listed hatchery fish marked by a clipped  
9     adipose fin from the ESA take prohibition; and simplified existing 4(d) protective regulations so  
10    that the same set of limits apply to all threatened salmonid ESUs (June 28, 2005, 70 FR 52488).  
11    Therefore, in the case of spring-run Chinook in the Sacramento River Basin, it was determined  
12    that the population was threatened, but those fish from the Feather River Hatchery marked by a  
13    clipped adipose fin would not be included in the ESA take prohibitions according to the amended  
14    section 4(d).

15    The ESA Section 4(d) leaves it to the Secretary of Commerce’s (Secretary) discretion whether  
16    and to what extent to promulgate protective regulations for threatened species. Section 4(d) states  
17    that “[w]henever a species is listed as a threatened species ..., the Secretary shall issue such  
18    regulations as *he deems necessary and advisable* to provide for the conservation of such species  
19    [emphasis added]. “The Secretary may ... prohibit with respect to any threatened species any act  
20    prohibited under section 9(a)(1) ... with respect to endangered species.” This gives the Secretary  
21    flexibility under section 4(d) to tailor protective regulations that appropriately reflect the  
22    biological condition of each threatened ESU and the intended role of listed hatchery fish (June 28,  
23    2005, 70 FR 37160).

24    For the purposes of this document, reintroduction is defined as the deliberate release of a species  
25    into the wild from captivity or relocated from other areas where the species still survives, in zones  
26    formerly inhabited by said species but from where it has disappeared for a number of reasons, ,  
27    with the expectation that such a release will contribute to the re-establishment of a population or  
28    populations of the species.

29    Under the Settlement, reintroduction of spring-run Chinook in the San Joaquin River will occur  
30    as a process over a number of years. Implementation of the restoration actions planned in the  
31    Settlement are necessary to allow a reasonable expectation that a portion of those fish released  
32    into the river would complete their life cycle and contribute to future generations of the  
33    population. Reintroduction will begin with actions appropriate to existing habitat and to refining  
34    methods that would be used. Succeeding actions are expected to have more likely success as the  
35    habitat improvements and accompanying actions in the Settlement are implemented.

36    Individuals that are used to establish the experimental population may be collected from an  
37    existing donor population, provided their removal will not appreciably reduce the likelihood of  
38    the survival and recovery of the donor population, and provided appropriate permits are issued in  
39    accordance of section 10(a)(1)(A). Under section 10(a)(1)(A), Federal and non-Federal entities

1 may apply for permits from NMFS to take ESA-listed species under the jurisdiction of NMFS, if  
2 such taking is for scientific purposes or to enhance the propagation or survival of the affected  
3 species. Actions that may affect listed species are reviewed by NMFS through section 7 or section  
4 10 of the ESA. Authorization for the collection of spring-run Chinook and issuance of  
5 10(a)(1)(A) permits is a discretionary action of NMFS and is analyzed separately.

6 The approach for reintroduction will include use of a conservation hatchery facility to assist the  
7 establishment of the population (Reclamation and DWR, 2011). The USFWS submitted in  
8 December 2011 a 10(a)(1)(A) permit application for collection of broodstock from the Feather  
9 River Fish Hatchery (FRFH) for development of culturing techniques that could be used in the  
10 reintroduction of spring-run Chinook to the San Joaquin. This permit was approved by NMFS in  
11 October 2012. This permit allows a captive broodstock, but no release of these fish. Subject to  
12 additional permits these fish could be used as founding stock for release to the river.

13 Under section 10(j) of the ESA, 16 USC 1539(j), the Secretary can designate reintroduced  
14 populations established outside the species' current range, as "experimental" and criteria for the  
15 designation are identified. NMFS has not adopted guidance on establishing 10(j) rules. NMFS is  
16 preparing the proposed section 10(j) rule using USFWS guidance for CFR 50 17.80 to 17.83.  
17 The term "experimental population" means an introduced and/or designated population (including  
18 any off-spring arising solely therefrom) that has been so designated only when, and at such times  
19 as the population is wholly separate geographically from nonexperimental populations of the  
20 same species. Where part of an experimental population overlaps with natural populations of the  
21 same species on a particular occasion, but is wholly separate at other times, specimens of the  
22 experimental population will not be recognized as such while in the area of overlap. That is,  
23 experimental status will only be recognized outside the areas of overlap. The designation and  
24 release must further the conservation of the species. The designation and release must be done  
25 through rulemaking that identifies the location of the population, and must state whether the  
26 population is essential or nonessential to the continued existence of the species.

27 A population would be considered nonessential if the loss of the experimental population would  
28 not reduce the prospect for future survival of the species in the wild. The experimental  
29 population is designated as a threatened species regardless of the species' designation elsewhere  
30 in its range. For the purpose of section 7 interagency consultations, a nonessential experimental  
31 population is considered a candidate species and a conference opinion is utilized (unless it occurs  
32 in a National Wildlife Refuge or National Park, where it is treated as threatened). No critical  
33 habitat can be designated for nonessential populations, while critical habitat can be designated for  
34 those populations that are deemed to be essential. Section 7 applies to actions by Federal  
35 agencies, thus section 7 consultations are not required for activities by non-federal entities, or  
36 undertaken on private land unless they are authorized, funded, or carried out by a Federal agency.

### 37 **1.3 Relationship of the Proposed Experimental Population to Recovery Efforts**

38 The Draft Recovery Plan (NMFS 2009a) has the overarching aim of recovering the spring-run  
39 Chinook ESU so that it may warrant removal from the threatened species list. The recovery

1 strategies and actions proposed in the Draft Recovery Plan will protect and improve ecosystem  
2 functions and restore normative ecological processes to levels that support recovery of spring-run  
3 Chinook populations. The actions reflect direction identified in regional and local plans, recent  
4 modeling and research findings, and local expert input provided by the planning team members.  
5 Together, these strategies and actions call for maintaining high quality habitats and their  
6 productive capacity, improving ecosystem processes and habitats that are impaired, but are  
7 currently important to productive capacity, and habitat restoration through passive and active  
8 measures. The conceptual recovery strategy for the spring-run Chinook ESU includes (1) securing  
9 extant populations by implementing key habitat restoration actions and (2) establishment of  
10 additional viable independent populations in the ESU. Introduction of the proposed experimental  
11 population of spring-run Chinook to the San Joaquin River repopulates the Southern-Sierra  
12 Nevada Diversity Group, and further support the recovery of the species.

13 **1.3.1 Regulatory Issues That Are to be Addressed by Designation.**

14 **1.3.1.1 Proposed Designation will Further the Conservation of the Species**

15 The Settlement establishes a framework for accomplishing the Restoration and Water  
16 Management goals that will require environmental review, design, and construction of projects  
17 over a multiple-year period. To achieve the Restoration Goal, the Settlement calls for a  
18 combination of channel and structural modifications, and habitat improvements along the San  
19 Joaquin River below Friant Dam, releases of water from Friant Dam to the confluence of the  
20 Merced River (referred to as Interim and Restoration flows), and the reintroduction of Chinook  
21 salmon. With these actions, the prognosis for spring-run Chinook populations to return is good  
22 (NMFS 2009a). The 10(j) designation and 4(d) rule will further the conservation of the species  
23 and will incorporate all reasonably feasible measures to avoid and minimize the impacts of any  
24 taking allowed, while also meeting the Restoration Act's commitment to only *de minimus* impacts  
25 to water supply reductions, additional storage releases, or bypass flows on unwilling third parties.  
26 Furthermore, NMFS will ensure, through the section 10 permitting authority and the section 7  
27 consultation process, that the use of animals from any donor population for these reintroductions  
28 is not likely to jeopardize the continued existence of the species.

29 **1.3.1.2 The Proposed Experimental Population is Nonessential**

30 Under ESA section 10(j)(2)(B), before authorizing the release of any experimental population,  
31 NMFS, as the responsible agency, must determine whether or not such population is essential to  
32 the continued existence of the species. The existing ESU includes three independent wild, and  
33 one hatchery supported population. Genetic heterogeneity exists among the wild populations.  
34 Although current spring-run Chinook abundance trends are down in recent years, restoration  
35 activities on Clear Creek, Battle Creek, and Butte Creek have allowed persistent populations of  
36 spring-run Chinook to return. In 2006, the Butte Creek population abundance exceeded 10,000  
37 adults. Early indicators in 2012 suggest a comparably large run will occur. In Battle Creek,  
38 spring-run Chinook returns reached the highest on record in 2012 at over 800 fish. Another  
39 factor to consider is that NMFS will use the section 10 permitting authority and the section 7

1 consultation process, to ensure that the use of fish from any donor population for this  
2 reintroduction is not likely to jeopardize the continued existence of the spring-run Chinook ESU  
3 and will further the conservation of the species. Given the existence of several extant populations  
4 and additional restoration actions underway on Butte Creek, and other watersheds, to benefit  
5 spring-run Chinook, the continued existence of the species is not dependent on a population on  
6 the San Joaquin River. Consequently, this experimental population will be designated as a  
7 nonessential experimental population (NEP).

8 **1.4 Use of Previous Environmental Documentation for the Environmental**  
9 **Assessment**

10 **1.4.1 San Joaquin River Restoration Program Environmental Impact**  
11 **Statement/Report**

12 Implementation of the restoration program for the San Joaquin River requires an analysis of the  
13 potential environmental effects under the National Environmental Policy Act and for program  
14 aspects and actors subject to state law, the California Environmental Quality Act. The SJRRP  
15 Program Environmental Impact Statement/Report (SJRRP PEIS/R) evaluated the potential direct,  
16 indirect, and cumulative impacts on the environment at a program level that could result from  
17 implementing the Settlement consistent with the Restoration Act (Reclamation and DWR 2011).  
18 Program level analysis of habitat and conveyance (channel improvement) projects and the  
19 reintroduction of “Chinook salmon”(Reclamation and DWR 2011), Although the Settlement  
20 established a priority for the reintroduction of spring-run Chinook the SJRRP PEIS/R analyzed  
21 the reintroduction of Chinook salmon which would include both fall-run and spring-run at the  
22 programmatic level. The SJRRP PEIS/R also analyzed, at a project level of detail, the potential  
23 direct, indirect, and cumulative impacts that could result from implementing certain aspects of the  
24 Settlement, including release, conveyance, and recapture of Interim and Restoration flows. In  
25 addition, the SJRRP PEIS/R included feasible mitigation measures to avoid, minimize, rectify,  
26 reduce, or compensate for significant adverse impacts.

27 As a programmatic document, the SJRRP PEIS/R provided information for use in the  
28 environmental analysis of the future site specific projects located within an area identified as the  
29 Restoration Study Area, an area that included lands above Friant Dam and north of the Merced  
30 River. An example of this information is the description of the existing conditions along the San  
31 Joaquin River. The SJRRP PEIS/R has a discussion of Biological Resources in two chapters, the  
32 first chapter for the fisheries in the region and the second covering vegetation and wildlife. In the  
33 chapter on fisheries, the SJRRP PEIS/R presented the existing conditions of all of the fisheries  
34 within the area to be restored as well as the conditions further downstream and upstream of the  
35 proposed Restoration Area where the SJRRP project will be done.

36 The analysis in the SJRRP PEIS/R for the most part describes the potential impacts to existing  
37 fish populations from the restoration program activities. However, the SJRRP PEIS/R included a  
38 discussion as to the possible use of fish stocks, taken from outside of the basin, and the use of  
39 hatchery stock and the development of broodstock at a hatchery facility located near Friant Dam.

1 The SJRRP PEIS/R also analyzed reintroduction of spring-run Chinook with regard to  
2 hybridization between spring-run Chinook and fall-run Chinook, competition between  
3 reintroduced spring-run Chinook and fall-run Chinook on the San Joaquin River tributaries, and  
4 disease entering the San Joaquin from use of out-of-basin spring-run Chinook stock. However,  
5 analysis of the potential effects of the reintroduction of spring-run Chinook to the San Joaquin  
6 River was considered only at the program level. As stated in the Draft SJRRP PEIS/R (and  
7 amended in the Final SJRRP PEIS/R):

8           This Draft PEIS/R identifies potential system effects associated with reintroducing  
9 salmon. ... Specific environmental effects related to the reintroduction of spring-run  
10 Chinook salmon would be addressed in the subsequent project-specific NEPA analysis,  
11 and possibly CEQA analysis, in compliance with an associated Special Rule authorizing  
12 the experimental population (Reclamation and DWR 2012).

13 Some information from the PEIS/R was incorporated by reference in this EA.

14 **1.4.2 Fisheries Management Work Group Documents**

15 The SJRRP Fisheries Management Plan (FMP) was created by the Fisheries Management Work  
16 Group (FMWG) to provide a roadmap to adaptively manage efforts to restore and maintain  
17 naturally reproducing and self-sustaining populations of Chinook salmon and other fish in the San  
18 Joaquin River between Friant Dam and the confluence with the Merced River (SJRRP 2009).  
19 The FMWG Genetics Subgroup developed a strategy for selection of donor stock for collection  
20 for the reintroduction of spring-run Chinook (Stock Selection Strategy SJRRP 2010). This  
21 document provided background information for development of this reintroduction strategy. The  
22 FMP and Stock Selection Strategy were used in developing possible alternatives.

23 **1.4.3 Central Valley Spring-run Chinook Salmon and Steelhead in the Sacramento**  
24 **River Basin Background Report**

25 The discussion of the Affected Environment (Section 3 of this EA) within the Restoration Study  
26 Area used sections from the SJRRP PEIS/R. Information for those areas outside of the  
27 Restoration Study Area was taken from either the Stock Selection Strategy (SJRRP 2010) or the  
28 Central Valley Spring-run Chinook Salmon and Steelhead Sacramento Basin Background Report  
29 (Sacramento Background Report) prepared by the DWR (DWR 2009).

30 The Stock Selection Strategy identified Clear Creek and Battle Creek as potential donor stock  
31 sources (see section 3.0 Action Area, below). However, the strategy document then focused on  
32 only four of the upper Sacramento River tributaries (i.e., Feather River, Deer Creek, Mill Creek,  
33 and Butte Creek). The Sacramento Background Report was used for description as to the existing  
34 conditions along Clear Creek and Battle Creek.

1     **1.5           Purpose and Need Statement**

2     The National Environmental Policy Act (NEPA) regulations require a statement of “the  
3     underlying purpose and need to which the agency is responding in proposing the alternatives,  
4     including the Proposed Action” (40 Code of Federal Regulations (CFR) 1502.13).

5     The purpose of the Proposed Action is to reintroduce spring-run Chinook into the San Joaquin  
6     River, by implementing the provisions of the Restoration Act, thereby fulfilling aspects of the  
7     Settlement, the Restoration Act, and elements of the Draft Recovery Plan. The ESA section 10(j)  
8     and 4(d) proposed rules allow for the reintroduction of spring-run Chinook, as an experimental  
9     population, into the San Joaquin River as part of the SJRRP as conditioned by the Restoration  
10    Act. The experimental population and the take provisions directly support the terms of the  
11    Settlement.

12    The need for the action is to restore and maintain fish populations in the mainstem San Joaquin  
13    River, including Chinook salmon, in order to implement the provisions of the Settlement as  
14    conditioned by the Restoration Act. The action also fulfills elements of the Draft Recovery Plan.  
15    To meet these goals, NMFS is proposing to release spring-run Chinook a species listed as  
16    threatened under the ESA into portions for the San Joaquin River that was part of its historic  
17    range and where the species does not currently exist. At the same time, the proposed 4(d) take  
18    provisions minimize the effect on certain otherwise lawful activities from the reintroduction of  
19    these fish. Further, the taking of fish must be done in such a way as to not jeopardize the already  
20    threatened source populations, while providing for a founding stock that is most likely to succeed  
21    in the reintroduction area.

22    **1.6           Description of Action Area, Study Area, and Restoration Area**

23    The following terms are used in this EA to describe where project related activities may occur.  
24    The Action Area of this EA (Figure 1-2) is the most inclusive area. The Action Area includes  
25    portions of the Sacramento River and San Joaquin River Basins, and the Sacramento-San Joaquin  
26    Delta (Delta). As proposed, watersheds within the Sacramento River Basin would be the source  
27    of donor stock and the San Joaquin Basin is the focal location of the reintroduction. However,  
28    some salmon may stray into accessible watersheds. Consequently the Action Area includes areas  
29    that salmon reintroduced into the San Joaquin River would use (i.e., the Delta) or may stray into.

30    The Sacramento River Basin supports the remaining extant spring-run Chinook populations.  
31    Sacramento River tributary watersheds that have runs include the Feather River, Yuba River,  
32    Deer Creek, Mill Creek, Butte Creek, Clear Creek and Battle Creek (SJRRP 2010). While there is  
33    a wild river spawning population, a component of the Feather River spring-run Chinook  
34    population is spawned at the FRFH.



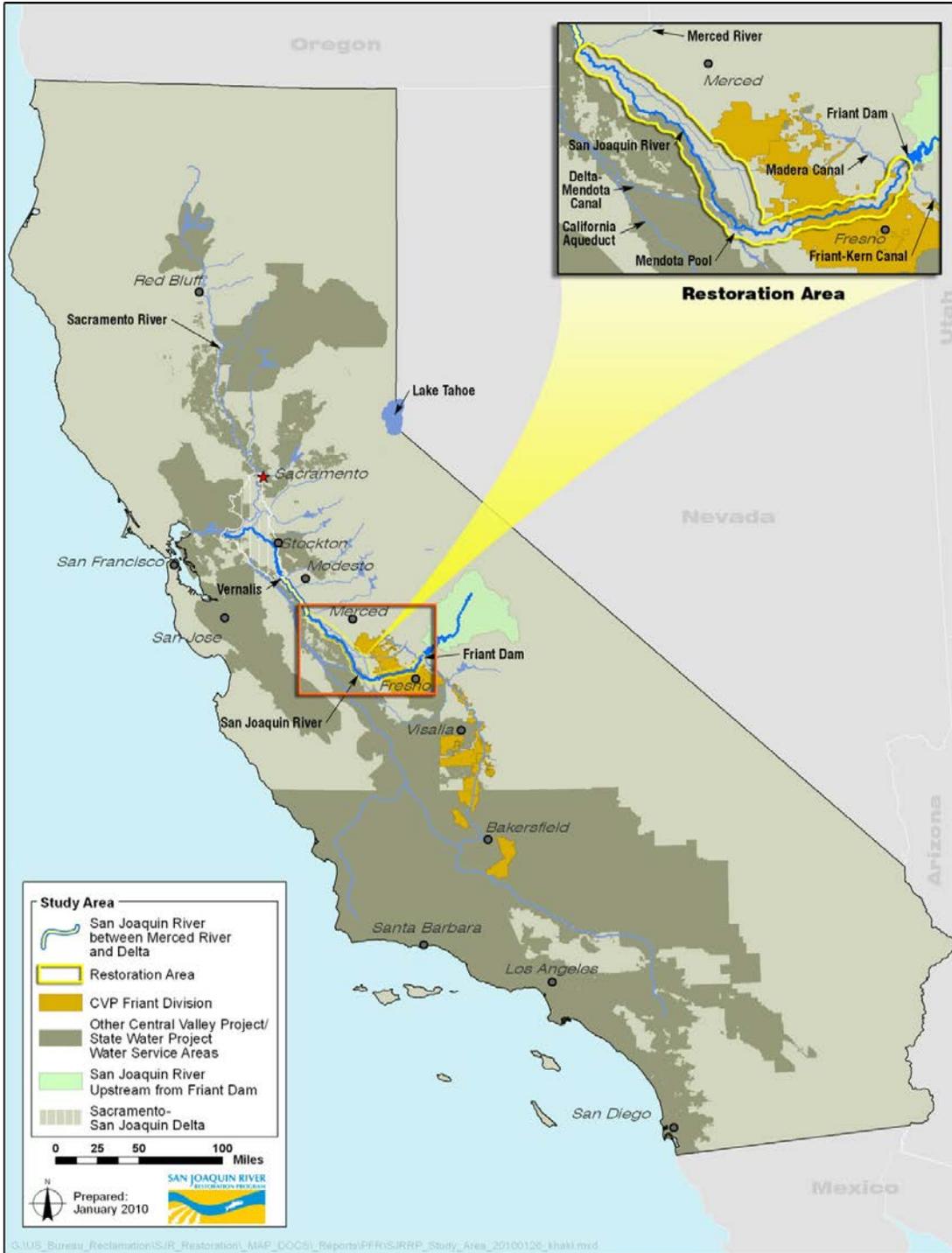
1 The SJRRP PEIS/R describes the San Joaquin River and surrounding area using two terms: Study  
2 Area and Restoration Area. The Study Area of the SJRRP consists of the San Joaquin River, the  
3 Delta and those portions of the CVP that are served by the Friant Division (Figure 1-3). The San  
4 Joaquin River from Friant Dam near the town of Friant, California, to the confluence of the  
5 Merced River is identified in the SJPPR PEIS/R as the Restoration Area since it is within this  
6 area that the SJRRP projects will occur (Figure 1-4). San Joaquin River conditions including  
7 riparian vegetation, geomorphology, and channel morphology are highly variable throughout the  
8 Restoration Area. The Restoration Area is about 153 miles long, and includes an extensive flood  
9 control bypass system (bypass system). The bypass system consists of a series of dams,  
10 bifurcation structures, flood channels, levees, and portions of the main river channel; and is  
11 managed to maintain flood-conveyance capacity. The basic features of the bypass system include:  
12 Fresno Slough (also known as James Bypass), the Chowchilla Bypass and Bifurcation Structure,  
13 and the Eastside and Mariposa Bypasses.

14 The Delta is a region where two of California's largest rivers meet. Freshwater from the  
15 Sacramento and San Joaquin rivers mingles with saltwater from the Pacific Ocean, creating the  
16 West Coast's largest estuary. It is composed of 57 leveed island tracts and 700 miles of sloughs  
17 and winding channels (DWR 2012). The Delta to the Pacific Ocean is considered part of the  
18 Action Area since waters, and to some extent fish populations, from the Sacramento and San  
19 Joaquin rivers can interact. The Pacific Ocean is not included in the analysis of this EA as the  
20 effects are expected to be nominal as a result of the comparative number of fish likely to be  
21 produced through the reintroduction and the extent of the proposed rule would not apply to the  
22 ocean.

## 23 **1.7 Scoping**

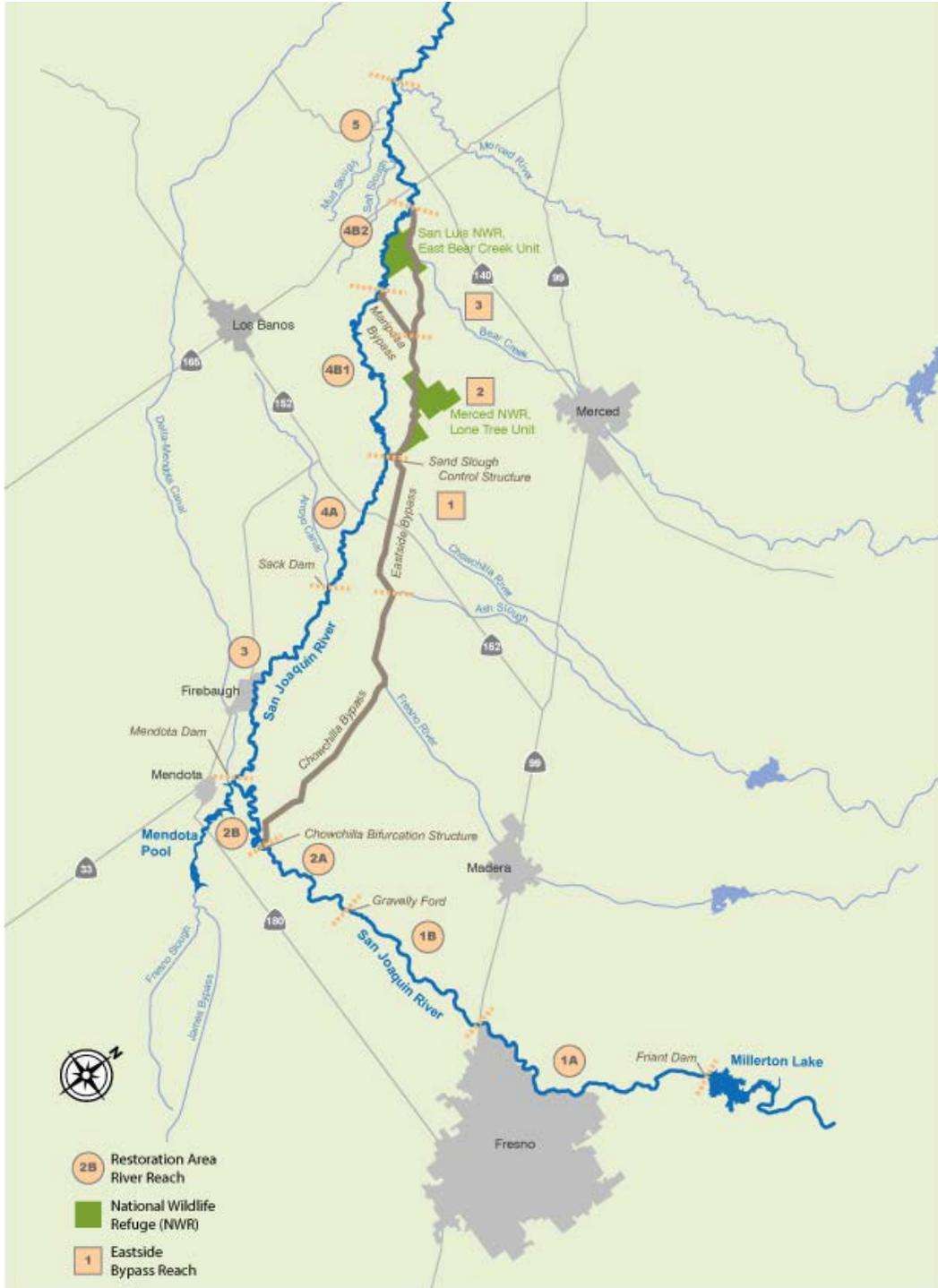
24 April 21, 2010 in the Federal Register: Publication of Notice of Intent to Prepare an  
25 Environmental Assessment and Conduct San Joaquin River Chinook Salmon Scoping Meeting  
26 announced that NMFS was going to prepare an EA to analyze the potential impacts of the  
27 proposed reintroduction of spring-run Chinook to the mainstem of the San Joaquin River. The  
28 Notice of Intent also included announcement of time and location of scoping meeting for the  
29 proposed document. As part of the scoping process the following events occurred:

- 30 • On April 28, 2010, scoping meeting on proposed EA held in Fresno, California.
- 31 • On November 15, 2010, NMFS sent 10 NEPA notification letters to federally recognized  
32 tribes in accordance with Executive Order 13175, Consultation and Coordination with  
33 Indian Tribal Governments, to inform them that NMFS had begun planning for the  
34 preparation of an environmental assessment and public scoping process regarding the  
35 permitting and rule-making for reintroduction of spring-run Chinook to the San Joaquin  
36 River and to request comment.
- 37 • On November 15, 2010, NMFS sent 74 letters to non-federally recognized tribes  
38 requesting them to comment and/or participate in the public scoping process as interested  
39 parties.



Source: Reclamation and DWR 2012

Figure 1-3 San Joaquin Restoration Plan Study Area



Source: Reclamation and DWR 2012

Figure 1-4 San Joaquin River Restoration Area

*Section 1 Purpose and Need*

---

- 1 • On February 2011, NMFS released the section 10(a)(1)(A) permit application for public  
2 comment from February 4, through March 7, 2011, and held public workshops in Chico  
3 on February 3, Fresno on February 7, and Los Banos, on February 8, for the  
4 section 10(a)(1)(A) permit application. Although the permit was a separate action  
5 questions on the reintroduction and the experimental population process were raised and  
6 addressed.
- 7 • On April 7, 2011, NMFS met with the Southern Sierra Miwuk Tribe to discuss the  
8 spring-run Chinook reintroduction process.
- 9 • On May 17, 2011, SJRRP Fisheries Technical Feed Back Group Meeting was held at  
10 2800 Cottage Way, Sacramento, CA. Public meeting at which the 10(a)(1)(A) permitting  
11 process and the 10(j) rule process were discussed.
- 12 • On November 1, 2011, SJRRP Restoration Goal Technical Feedback Group Meeting was  
13 held in Fresno, California. Public meeting at which the 10(a)(1)(A) permit process and  
14 the 10(j) rule process were discussed.
- 15 • On January 20, 2012, SJRRP Fisheries Technical Feed Back Group Meeting was held at  
16 2800 Cottage Way, Sacramento, CA. Public meeting at which the 10(j) rule process was  
17 discussed.
- 18 • On March 2012, Focus Group meetings with State Water Contractors and flood  
19 management interests.

20  
21 Of the 84 letters sent to federally and non-federally recognized tribes and a presentation made to  
22 the Southern Sierra Miwuk Tribe, one response was received in support of the plan to restore  
23 salmon, and no specific tribal interests were expressed regarding reintroduction. There are no  
24 tribal treaty or fishing rights affected by the proposed action. As a result, no further discussion of  
25 tribal interests will be part of this document.

*This page left blank intentionally.*

1     **SECTION 2 PROPOSED ACTION AND ALTERNATIVES**

2     The Proposed Action is the reintroduction of spring-run Chinook to the San Joaquin River. As  
3     part of the action the reintroduced population will have the designation of an experimental  
4     population pursuant to section 10(j) and take provisions in accordance to section 4(d) of the ESA.  
5     This action will allow implementation of the provisions of the Settlement as conditioned by the  
6     Restoration Act, thereby fulfilling the Settlement, the Restoration Act requirements, and elements  
7     of the Draft Recovery Plan.

8     As discussed in Section 1, the reintroduction of spring-run Chinook is a long-term process that  
9     will require many years of collecting, propagating, and releasing of salmon into the San Joaquin  
10    River. This reintroduction is being implemented as part of the SJRRP. Modifications to the  
11    conveyance structures and habitat conditions are being led by other agencies and are in progress.  
12    It is expected that, over time, habitat conditions will improve and there will be an increase in the  
13    potential success of the reintroduced salmon. However, habitat conditions are not currently  
14    consistently beneficial for salmon in all reaches of the San Joaquin River. Also, over the course  
15    of the reintroduction process, potential donor population abundance may vary widely on an  
16    annual basis in response to a variety of conditions. Consequently, the reintroduction process will  
17    be implemented in such a way that the collection of spring-run Chinook in any given year  
18    considers both the condition of potential donor populations and the likely success of reintroduced  
19    spring-run Chinook, given the status of the habitat.

20    The objectives of the Proposed Action are as follows:

- 21           1. Identification of the optimal source stock(s) that is most likely to result in the  
22           successful reintroduction of spring-run Chinook to the San Joaquin River.
- 23           2. Designation of a nonessential experimental population (NEP) for spring-run Chinook  
24           within the San Joaquin River using section 10(j) of the ESA.
- 25           3. Promulgation of take exemption measures using section 4(d) of the ESA for the  
26           conservation of the species, and to ensure that spring-run Chinook reintroduced to the  
27           San Joaquin River will not impose more than *de minimus* water supply reductions,  
28           additional storage releases, or bypass flows on unwilling third parties, as defined  
29           under Restoration Act section 10011(c)(1), due to such reintroduction.

30    **2.1           Alternatives to Be Analyzed**

31    **2.1.1        No Action Alternative**

32    Under the No Action Alternative the channel and habitat improvements proposed in the SJRRP  
33    would be carried out. However, there would be no collection of donor stock, no 10(j) designation  
34    of an experimental population, and spring-run Chinook would not be reintroduced intentionally to  
35    the San Joaquin River. Furthermore, there would be no take exemptions established within the  
36    San Joaquin River basin under a 4(d) rule, nor for persons or entities diverting or receiving water  
37    pursuant to applicable State and Federal laws. Any actions related to spring-run Chinook in the

1 San Joaquin River and associated tributaries and waterways would remain under the existing 4(d)  
2 rule for the Central Valley Spring-run Chinook Salmon ESU (50 CFR 223.203; 70 FR 37160).

3 The presence of some spring-running Chinook salmon on the Stanislaus and Tuolumne rivers  
4 indicates that re-colonization could occur on the San Joaquin River when conditions are  
5 favorable, but the process would likely be very long and would not achieve the Restoration Goal  
6 of the Settlement in a timely manner. Under the No Action Alternative, the existing 4(d) rule  
7 would apply to any strays entering the San Joaquin River and any natural colonization of the San  
8 Joaquin River.

9 **2.1.2 Action Alternative Development**

10 The development of Alternatives to the Proposed Action requires that each of the ESA parts of  
11 the Proposed Action are individually identifiable alternatives independent of the other parts, and  
12 may be implemented independently or in combination, with no change in the effect on the  
13 environment. This means that for the reintroduction of spring-run Chinook, the analysis is for the  
14 donor stock (i.e., Stock Source) alternatives, and the 10(j) and the 4(d) rule provisions. It should  
15 be noted that the alternatives being developed are for the reintroduction of spring-run Chinook.  
16 Even if spring-run Chinook are not reintroduced fall-run Chinook will be reintroduced, whether  
17 by natural recolonization or planting. Because of fall-run Chinook's status as a non-threatened or  
18 endangered species, and previous analysis done in the PEIS/R, the reintroduction of fall-run  
19 Chinook was not fully analyzed in this EA. However, there is general information as to the  
20 location of fall-run Chinook population in the San Joaquin River basin in Section 3, Affected  
21 Environment. The potential effects of fall-run Chinook reentering the San Joaquin River  
22 upstream of the confluence of the Merced River are discussed in Section 4, Environmental  
23 Consequences.

24 Alternatives for the section 10(j) and 4(d) rule provisions include the extent of the nonessential  
25 experimental population designation area (NEP Area Alternatives) and the length of time the  
26 rules would be enforced (Duration Alternatives).

27 In addition to the Stock Source and the 10(j) and 4(d) rule provisions alternatives, described  
28 below, the EA is required to consider the No Action Alternative. Under the No Action  
29 Alternative, the SJRRP projects proposed to improve the habitat, flows, and water management  
30 would be carried out; however, the experimental population would not be established and the  
31 existing 4(d) rule (50 CFR 223.203, 70 FR 37160) pertaining to the Central Valley spring-run  
32 Chinook ESU would remain in force.

33 **2.1.3 Common Activities**

34 During the development of Alternatives it was found that there were a number of activities that  
35 would be common to each of the potential NEP Area Alternatives and Stock Source Alternatives.  
36 These common activities are discussed below.

1     **2.1.3.1 Activities Common to Source Stock Alternatives**

2     The physical activities required to collect, transport and propagate donor stock are expected to be  
3     the same regardless of the particular stock being collected. This analysis will address impacts  
4     associated with removing fish from a population, but the specific analysis of the impact of  
5     particular collection methods will be addressed in the analysis necessary for the proposed  
6     issuance of the 10(a)(1)(A) permit for that collection activity. In addition to the collection and  
7     transplantation methods, the following assumptions are common to all of the Alternatives, with  
8     the exception of the No Action Alternative.

- 9             • SJRRP Settlement is implemented including the reintroduction of Spring-run Chinook.
- 10            • Take of donor stock issued under section 10(a)(1)(A) will consider source population  
11            condition and San Joaquin River habitat condition.
- 12            • Implementing Agencies are responsible for success of the SJRRP.
- 13            • DFW coordination with NMFS on fishing regulations to accommodate the  
14            reintroduction.
- 15            • A conservation hatchery facility for propagation of spring-run Chinook will be utilized  
16            to minimize the number of individuals taken from existing populations.
- 17            • Release of spring-run Chinook would be from conservation hatchery facility broodstock,  
18            or from direct transfer of fish at appropriate life stages..
- 19            • Voluntary actions and partnerships that contribute to the conservation of the species will  
20            be encouraged.
- 21            • The San Joaquin experimental population’s nonessential versus essential designation  
22            will be considered as part of the spring-run Chinook ESU five year periodic status  
23            review.

24     **2.1.3.2 Activities Common to Section 10(j) and Section 4(d) Rule Alternatives**

25     The Restoration Act requires NMFS establish a 4(d) rule governing incidental take of  
26     reintroduced spring-run Chinook that also ensures minimal impact from reintroduction to specific  
27     third party water users. Congressional intent is clearly stated that the effect of the reintroduction  
28     shall not incur additional liabilities to specific facilities that already affect spring-run Chinook of  
29     the ESU. This 4(d) rule is considered by NMFS only in light of the need to reintroduce spring-  
30     run Chinook to fulfill the Settlement and to further recovery of the species. It must apply to the  
31     ESU in a way to account for, and to discount the incidental take of individuals generated by the  
32     reintroduction to the San Joaquin River as a result of diverting or receiving water pursuant to  
33     Federal and State water rights.

34     The Restoration Act directs NMFS to apply the provisions of ESA section 10(j) for the  
35     reintroduction of spring-run Chinook to the San Joaquin River. Congressional intent for the  
36     inclusion of section 10(j) in the ESA is to allow for a less restrictive regulatory condition for  
37     reintroduction of ESA listed species, specifically to reduce local resistance to such  
38     reintroductions. The mechanism for reducing the regulatory burden is to develop specific

1 provisions regarding take that will apply to the experimental population, and their progeny, under  
2 the authority of section 4(d). In practice these provisions are broadly applicable, such that section  
3 9 take prohibitions do not apply to take that occurs incidental to otherwise lawful activities.

4 ESA section 10(j) requires that an experimental population be geographically isolated from other  
5 populations of the species, so as to be distinguishable for the purposes of applicable take  
6 prohibitions.

7 For the purposes of this EA, the analysis of the section 10(j) and section 4(d) rule alternatives  
8 assumes the following common conditions:

- 9 • There will be a source of spring-run Chinook for the reintroduction.
- 10 • The experimental population will have a designated area.
- 11 • Within the experimental population designated area, direct take will be prohibited. This  
12 will include:
  - 13 ○ Angling
  - 14 ○ Take due to negligent actions
  - 15 ○ Take that occurs pursuant to an otherwise illegal activity.
- 16 • Provisions of the 4(d) rule will apply equally to hatchery adipose fin-clipped fish and  
17 intact fish.
- 18 • Within the experimental population's designated area, take exemptions will include:
  - 19 ○ Exemption for take incurred incidental to otherwise lawful activities
  - 20 ○ Take for scientific, research, or enhancement purposes, provided that it is  
21 permitted through a designated process
  - 22 ○ Take that may be allowed under a Fishery Management and Evaluation Plan  
23 developed by the State of California and approved by NMFS. This may include  
24 angling at a later time.
- 25 • In the area between the current designated boundary of the Central Valley spring-run  
26 Chinook salmon ESU and the designated experimental population, provisions under 4(d)  
27 will exempt take by specific third party water users of spring-run Chinook originating  
28 from the San Joaquin River. Take authorizations from the other provisions of the  
29 existing 4(d) rule for spring-run Chinook continue to apply to these populations  
30 (research, rescue, etc., see 50 CFR 223.203, 70 FR 37160).
- 31 • Other state and federal regulations that protect water quality, riparian habitat, other ESA  
32 listed species, and other environmental conditions will incidentally afford some  
33 protection of reintroduced spring-run Chinook from certain classes of harm, as defined  
34 in ESA section 9. The NEP will not change requirements applicable to other laws and  
35 regulations that are protective of the environment. In complement to the above and in  
36 addition to the proposed 4(d) rule, protective measures including programs for strategic  
37 screening and participation in habitat conservation programs will be implemented in  
38 conjunction with SJRRP activities and are intended to provide net benefit to  
39 reintroduction.

1     **2.2           Stock Source Alternatives**

2     For the reintroduction and establishment of a spring-run Chinook salmon population into the San  
3     Joaquin River, the SJRRP FMWG was tasked with identifying the potential donor stock sources.  
4     The FMWG Genetics subgroup developed a strategy for selection of donor stock for collection  
5     for the reintroduction of spring-run Chinook (SJRRP 2010). Only spring-run Chinook from the  
6     Central Valley ESU are considered for reintroduction as an experimental population.  
7     Populations of spring-run Chinook remain in Deer, Mill, and Butte creeks. Another spring-run  
8     Chinook population occurs in the wild on the Feather River below Oroville Dam; individuals  
9     from this population also are spawned at the FRFH. Spring-running salmon populations are re-  
10    establishing on Clear and Battle creeks (Newton and Brown 2004) and other dependent  
11    populations occur in the Sacramento River Basin (Lindley et al. 2004). The FMWG also  
12    identified the existence of periodic spring running adult salmon from the Stanislaus and  
13    Mokelumne rivers.

14    The primary goal of donor stock selection is to identify the stock(s) with the highest likelihood of  
15    establishing a self-sustaining, naturally reproducing population in the San Joaquin River  
16    Restoration Area (San Joaquin River between Friant Dam and the confluence with the Merced  
17    River). The development of the Stock Source Alternatives for analysis in this EA has to weigh  
18    the potential risk to the existing spring-run Chinook population being used as donor stock against  
19    the benefit of reintroduction of spring-run Chinook in the San Joaquin River.

20    A key component to identifying the “best” stock(s) is conducting genetic analyses of extant  
21    populations to ascertain the genetic integrity of all potential source populations. Measurement  
22    indices that are useful for analysis of potential donor stock(s) include, but are not limited to:  
23    effective population size, genetic comparisons to historic population in the upper San Joaquin  
24    River (if feasible); within population genetic diversity and inbreeding coefficient levels; among  
25    population genetic diversity; and hatchery influence. Optimum characteristics for the chosen  
26    donor population sources include:

- 27       • Be of local or regional origin (Central Valley)
- 28       • Have life history (behavioral and physiological) characteristics that fit conditions  
29        expected to occur on the San Joaquin River, thereby maximizing the probability of  
30        successful reintroduction
- 31       • Large effective population size
- 32       • High within-population genetic diversity with low inbreeding coefficients
- 33       • Adequate representation of overall ESU genetic diversity

34    The independent spring-run Chinook populations on Deer, Mill, and Butte creeks and in the  
35    Feather River may be the best candidate populations for this program, having relatively large  
36    effective population size or unique genetic profiles.

1 In developing donor stock alternatives and the subsequent analysis the following aspects were  
2 considered: genetic diversity, current population size, availability of donor stock, and  
3 compatibility of life history characteristics to anticipated restored Restoration Area conditions.  
4 Only spring-run Chinook populations from the Central Valley spring-run Chinook salmon ESU  
5 were considered because they experience habitat conditions most similar to expected conditions  
6 in the Restoration Area and to maintain the integrity of the common gene pool of the ESU.

7 Based on the Stock Selection Strategy (SJRRP 2010) the following Stock Source Alternatives are  
8 analyzed in this EA.

9 *All Donor Stock Sources Alternative (Preferred Alternative):* Under the All Donor Stock  
10 Source Alternative collection of donor stock would come, over time, from all of the identified  
11 donor stock watersheds: the Feather River, Deer and Mill Creeks, and Butte Creek. Under  
12 this Alternative there could also be opportunistic collecting of spring-run Chinook salmon in  
13 other watersheds (i.e., Clear and Battle creeks). This Alternative provides for the widest  
14 range of genetic variation in the reintroduced population and the highest likelihood of  
15 success. However, as described earlier, current habitat conditions in the Restoration Area are  
16 not consistently suitable to support salmon. Additionally, the recent review of spring-run  
17 Chinook ESU status (NMFS 2011) identified wild spring-run Chinook abundance as being a  
18 declining trend (NMFS 2011). Also, the conservation hatchery facility is not yet fully  
19 functional. Therefore the analysis of the All Donor Stock Sources Alternative will consist of  
20 an analysis of a phased collection of donor stock.

21 FRFH is a consistent source of spring-run Chinook. The facility may plan for sufficient  
22 production to allow individuals to be collected with no effect on the population or the ESU.  
23 Individuals will be collected at a life history stage that is most appropriate. For example,  
24 broodstock collections may be best done at the egg stage but direct release may be more  
25 successful with juvenile fish. Initially, when channel and habitat improvements are in  
26 development, collections for direct release to the San Joaquin River would rely on FRFH  
27 eggs and juveniles. Broodstock development would also rely on FRFH eggs unless wild  
28 populations were sufficiently abundant to support collection of individuals whose genetics  
29 could be integrated into the broodstock program, guided by a NMFS approved Hatchery and  
30 Genetics Management Plan. Over time it is anticipated that the proportional representation of  
31 FRFH genotypes will be balanced with genotypes from other donor sources. Over time,  
32 broodstock at the conservation hatchery facility will produce juveniles that will be released to  
33 the river in sufficient numbers to enable, in combination with SJRRP channel and habitat  
34 improvements, the return of sufficient adults to complete their life cycle. Ultimately, the fish  
35 will establish a naturally self-sustaining population of spring-run Chinook, and the  
36 conservation hatchery contribution will be phased out. All collections of donor stock will  
37 require the application for and approval of section 10(a)(1)(A) permit(s), and associated  
38 NEPA and section 7 review.

1 Discussion of both the phased introduction and use of all the donor stocks will include  
2 potential impacts to existing fish populations in the San Joaquin River and the donor stock  
3 populations and to achieving the goal of a naturally self-sustaining San Joaquin River  
4 population.

5 *Feather River Fish Hatchery (FRFH) Source Only Alternative:* During the entire  
6 enhancement period the only donor-stock collected will be spring-run Chinook from the  
7 FRFH. In contrast to the All Donor Source Stock Alternative, analysis of the FRFH Source  
8 Only Alternative includes not going forward with collection of any donor stock outside of the  
9 FRFH.

10 *Single Source Alternative:* Under the Single Source Alternative, collection of donor stock  
11 would come from wild fish from only one of the independent donor stock watersheds: the  
12 Feather River, Deer and Mill Creeks, or Butte Creek. While Deer and Mill Creeks would be  
13 used as potential donor stock sources in combination with other stock sources in the All  
14 Donor Stock Source Alternative, the potential effect on their smaller population as the single  
15 source rules them out for consideration under this Alternative. Feather River spring-run  
16 Chinook have been heavily influenced by FRFH practices for spring and fall-run Chinook.  
17 Unlike carefully managed collection of spring-run Chinook from known hatchery crosses, it  
18 would be difficult to collect known spring-run Chinook from Feather River wild fish without  
19 additional handling and genetic testing and rejection of unsuitable fish. The spring-run  
20 Chinook population in Butte Creek is considered persistent and viable and is one of the most  
21 productive spring-run Chinook streams in the California Central Valley (NMFS 2009b).  
22 Therefore, the Single Source Alternative analyzes the effect of using Butte Creek as the single  
23 source of donor stock.

24 **2.3 Section 10(j) Rule Alternatives.**

25 **2.3.1 10(j) Area Alternatives**

26 *Area 1 Alternative:* Under this Alternative, the nonessential experimental population area  
27 (NEP area) would be established under the 10j of the ESA as shown on Figure 2-1. The area  
28 consists of the San Joaquin River south of Mossdale County Park, which is near the city of  
29 Manteca, to Friant Dam in Fresno County. If viewed that the mainstem of San Joaquin River  
30 forms the spine of the NEP area, the eastern side of the NEP area would include the San  
31 Joaquin River's main tributaries, the Stanislaus River to Goodwin Dam, the Tuolumne River  
32 to the La Grange Dam, and Merced River to Merced Falls Dam, their associated watersheds  
33 and any other eastern watersheds that feed directly into the San Joaquin River.



1 To the west of the San Joaquin River, Del Puerto Creek, Orestimba Creek, Los Banos Creek  
2 and numerous unnamed watersheds feeding into the San Joaquin River would also be  
3 included in the NEP area. There are a number of unnamed man-made conveyances used for  
4 the irrigation of the surrounding agricultural lands. While not natural waterways, salmon  
5 have been known to use canals so these would also be included. Lastly, in high water years,  
6 water from the Kings River may flow northward into the San Joaquin River using both  
7 natural and man-made conveyances such as Fresno Slough and James Bypass. During these  
8 periods of high water flows when the Kings River is connected to the San Joaquin River, the  
9 Kings River and its associated watersheds up to Pine Flat Dam would also be considered to  
10 be within the NEP area.

11 Additionally, outside the experimental population’s geographic designation and outside of the  
12 current ESU of the species (including portions of the San Joaquin River downstream of  
13 Mossdale County Park and in the Delta) the rule pursuant to section 4(d) of the ESA would  
14 exempt take of Central Valley spring-run Chinook that originate from the San Joaquin River  
15 as follows:

- 16 a. Take will be authorized for otherwise lawful activities relating to diverting or receiving  
17 water pursuant to applicable State and Federal laws, so that the reintroduction will not  
18 impose more than *de minimus* water supply reductions, additional storage releases, or  
19 bypass flows on unwilling third parties due to such reintroduction. For the purpose of the  
20 rule, the term “third party” means persons or entities not parties to the Settlement  
21 diverting or receiving water pursuant to applicable State and Federal laws and include  
22 Central Valley Project (CVP) contractors outside of the Friant Division of the CVP and  
23 the State Water Project (SWP).  
24 b. Take will be authorized of spring-run Chinook at the CVP and SWP projects in the South  
25 Delta that originates from the San Joaquin River, including fish from the NEP  
26 experimental area. NMFS will annually determine by January 15 of each year the share  
27 of take at the CVP and SWP facilities that originates from the San Joaquin River. This  
28 determination will provide a methodology for deducting San Joaquin River origin spring-  
29 run Chinook salmon from the operational triggers and incidental statements associated  
30 with any biological opinion that is in effect at the time for operations of the CVP and  
31 SWP facilities.

32  
33 *Area Alternative 2 (Preferred Alternative):* Under the Area Alternative 2, the NEP area  
34 would include the Restoration Area of the San Joaquin River (Figure 2-2), from Friant Dam  
35 to upstream of the confluence of the Merced River and the drainage of the Kings River as the  
36 geographic boundary for the experimental population designation. Provisions for the  
37 exemption of take within the NEP are described under the Common Activities.

38 Additionally, outside the experimental population’s geographic designation and outside of the  
39 current ESU of the species (including portions of the San Joaquin River downstream of the  
40 Merced Confluence, tributaries to the San Joaquin River and in the Delta) the rule pursuant to  
41 section 4(d) of the ESA would exempt take of spring-run Chinook as follows:

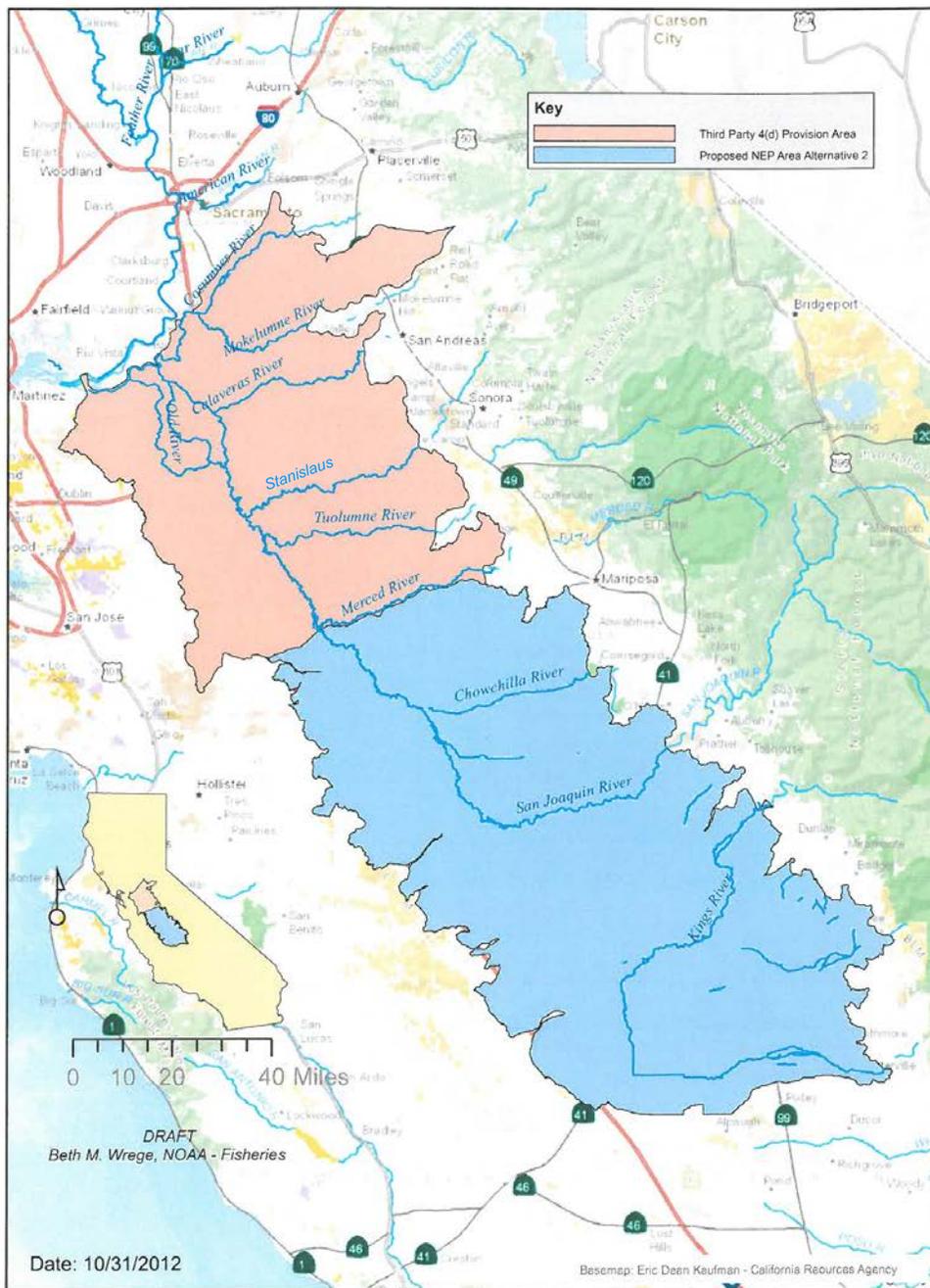


Figure 2-2 10(j) Area Alternative 2. HUC map for San Joaquin River south to the Merced River and the King River drainage would be the NEP area.

- 1 a. Take will be exempted for spring-run Chinook originating in the San Joaquin River  
2 within the Merced River, the Tuolumne River, and the Stanislaus River for otherwise  
3 lawful activities relating to diverting or receiving water pursuant to applicable State and  
4 Federal laws, so that the reintroduction will not impose more than *de minimus* water  
5 supply reductions, additional storage releases, or bypass flows on unwilling third parties  
6 due to such reintroduction. For the purpose of the rule, the term “third party” means  
7 persons or entities not parties to the Settlement diverting or receiving water pursuant to  
8 applicable State and Federal laws and include CVP contractors outside of the Friant  
9 Division of the CVP and the SWP.
- 10 b. Take will be authorized of spring-run Chinook at the CVP and SWP projects in the South  
11 Delta that originates from the San Joaquin River, including fish from the NEP  
12 experimental area. NMFS will annually determine by January 15 of each year the share  
13 of take at the CVP and SWP facilities that originates from the San Joaquin River. This  
14 determination will provide a methodology for deducting San Joaquin River origin spring-  
15 run Chinook salmon from the operational triggers and incidental statements associated  
16 with any biological opinion that is in effect at the time for operations of the CVP and  
17 SWP facilities.  
18

19 **2.3.2 10(j) Duration Alternatives**

20 *10(j) Duration Alternative 1:* Under the Duration Alternative 1, the 10(j) experimental  
21 population designation will be in effect until December 31, 2025. This alternative is based on  
22 the assumption that the Restoration Goal is achieved and that achieving Restoration Flows  
23 and habitat improvements will provide for re-establishment of a natural, self-sustaining  
24 salmon population. Paragraph 20(a) of the Settlement identifies that in 2025 certain terms of  
25 the Settlement, including Restoration Flows, may be revised through a court process. The  
26 Restoration Act (section 10011(e)(1)) requires the Secretary to forebear on section 18 of the  
27 Federal Power Act (16 U.S.C. 811) prescriptions in Federal Energy Regulatory Commission  
28 proceedings on the Merced, Tuolumne, and Stanislaus rivers until 2025. The Restoration Act  
29 (section 10011(d)(1)) also requires a report to Congress in 2024 on status of the  
30 reintroduction. These three terms, singularly or in combination, could alter conditions for  
31 spring-run Chinook and the basis for the NEP designation in 2025.

32 *10(j) Duration Alternative 2 (Preferred Alternative):* Under the Duration Alternative 2, the  
33 10(j) experimental population designation would remain in effect unless NMFS makes a  
34 determination that the level of protection afforded by the NEP no longer ensures protection  
35 and provides for conservation of the species. While there would be a formal review of the  
36 essential or nonessential status of the experimental population during future reviews of the  
37 status of the species that will occur every five years, as a whole there would no formal review  
38 regarding the maintenance of the experimental population itself. Any future proposed  
39 changes to the rule would be made through the federal rule-making process.

1    **2.4           Alternatives Considered and Eliminated from Further Consideration**

2    **2.4.1        Stock Source Alternatives**

3       *Spring Stray Alternative:* Under the Spring Stray Alternative, donor stock collection would  
4       occur through opportunistic collecting of early spring-running Chinook salmon adult strays  
5       on the Yuba, Stanislaus, and Mokelumne rivers and on Battle and Clear creeks. Current data  
6       indicates that the numbers of stray spring-running Chinook would not be large enough to  
7       establish a population on the San Joaquin River (Maslin *et al.* 1997, Snider 2001). Therefore,  
8       it is unlikely that enough fish could successfully be collected under this Alternative to meet  
9       the goal of restoring spring-run Chinook to the San Joaquin River. Additionally, collecting  
10      fish from the small developing runs on Clear and Battle creeks could prevent full  
11      establishment of these runs. Because it is likely that this alternative would not meet the  
12      goals of restoring spring-run Chinook to the San Joaquin River it has been eliminated from  
13      further consideration.

14   **2.4.2        Section 10(j) Rule Alternatives.**

15   **2.4.2.1     10(j) Area Alternative 3**

16      Under the Area Alternative 3, the NEP area would include only the Restoration Area of the  
17      San Joaquin River, from Friant Dam to the confluence of the Merced River as the geographic  
18      boundary for the experimental population designation. Under this alternative, the Kings  
19      River drainage would not be included. This alternative was rejected because unlike Area  
20      Alternative 2, during those years in which connectivity occurs between the San Joaquin River  
21      basin and the Kings River, any spring-run Chinook would not be considered part of the NEP,  
22      therefore it would be possible that third parties would be subject to ESA regulations under  
23      normal, legal activities in these areas. Therefore this Alternative does not give regulatory  
24      relief to third parties as intended in the Settlement and the Restoration Act.

25   **2.4.2.2     10(j) Area Alternative 4**

26      Under the Area Alternative 4, the NEP area would include only the main steam of the San  
27      Joaquin River from Friant Dam to Mossdale County Park as the geographic boundary for the  
28      experimental population designation. This alternative would exclude tributaries and the other  
29      waterways associated with the mainstem San Joaquin River. This alternative was not deemed  
30      to be reasonable, because Chinook salmon naturally exhibit some low levels of straying to  
31      non-natal streams, hence this NEP designation would not provide the regulatory relief to third  
32      parties that is intended in the Settlement and the Act.

33   **2.4.2.3     10(j) Area Alternative 5**

34      Under the Area Alternative 5, the NEP area would include, in addition to the NEP area  
35      designated in Area Alternative 1, the San Joaquin River north of Mossdale County Park. This

1 alternative was rejected because Delta juvenile salmonid monitoring indicates that existing  
2 spring-run Chinook are likely to occur downstream of Mossdale, and according to the section  
3 10(j) an experimental population is any population authorized by the Secretary for release, but  
4 only when, and at such times as, the population is wholly separate geographically from  
5 nonexperimental populations of the same species, i.e., isolated from other existing  
6 populations of the species. Individuals of the experimental populations will not be  
7 recognized as such while in the area of overlap with nonexperimental populations. That is,  
8 experimental status will only be recognized outside the areas of overlap. Since the area north  
9 of Mossdale County Park is likely to overlap the existing population this area, by law, cannot  
10 be included in the NEP area.

11 **2.4.2.4 10(j) Duration Alternative 3**

12 Under the Duration Alternative 3 the NEP would be monitored and the designation would be  
13 renewed and revised every five years in tandem with the status of the species review of the  
14 CV spring-run Chinook salmon ESU. This alternative time period was rejected because it has  
15 limited certainty for the human environment and does not fulfill the intent of the Restoration  
16 Act.

17 To summarize, Table 2-1 shows the matrix of Stock Source Alternatives and the 10(j) and 4(d)  
18 Rule Alternatives that are considered for analysis in the EA. Those alternatives that have been  
19 eliminated from further consideration are shaded.

Table 2-1 Alternatives Considered by Type (Blue Column) read left to right. Shaded alternatives were not analyzed.

<p><b>No Action</b></p>	<p>Existing 4(d) take exemptions for spring-run Chinook would apply to strays and natural colonization.</p> <p>No new rules created</p>				
<p><b>Stock Source Alternatives</b></p>	<p>All Donors Stock Sources</p>	<p>Feather River Source Only</p>	<p>Single Source</p>	<p>Spring Stray</p>	
<p><b>10(j) Area Alternatives</b></p>	<p><b>Area 1</b> Friant Dam to Mossdale; up major tributaries to first major anadromous barrier; including appurtenant drainages and conveyance (HUC's) and including Kings River drainage. Within the NEP area, incidental take allowed as a result of otherwise lawful activity. Directed take, including adipose-clipped fish, must be under permit or within California fishing regulations. 4(d) take exemptions apply to third party water activities between NEP area and designated ESU boundary at the CVP and SWP export facilities for reintroduced spring-run Chinook.</p>	<p><b>Area 2 (Preferred Alternative)</b> Restoration area south of the confluence with the Merced River to first major anadromous barrier; including appurtenant drainages and conveyance (HUC's) and including Kings River drainage. Take provisions within the NEP area are the same as Area Alternative 1. 4(d) take exemptions apply to spring-run Chinook for third party water activities on the San Joaquin River and tributaries between NEP area and Mossdale County Park and at the CVP and SWP export facilities.</p>	<p><b>Area 3</b> Restoration area only excluding Kings River drainage.</p>	<p><b>Area 4:</b> Mainstem only, from Friant Dam to Mossdale.</p>	<p><b>Area 5</b> Area of Alternative 1 plus San Joaquin River north of Mossdale.</p>
<p><b>10(j) Duration Alternatives</b></p>	<p><b>Duration 1</b> In effect through 2025</p>	<p><b>Duration 2 (Preferred Alternative)</b>No expiration</p>	<p><b>Duration 3</b> Renewable with each 5 year spring-run Chinook status review</p>		

1     **SECTION 3 AFFECTED ENVIRONMENT**

2     **3.1           Introduction**

3     The following section first presents a current status of spring-run Chinook within the project  
4     action area. The surrounding environment affected by this project, and thereby evaluated in this  
5     EA, includes portions of the Sacramento River (i.e., Deer, Mill, and Butte creeks) and the Feather  
6     River. Portions of the San Joaquin River which make up the proposed Restoration Area include  
7     the following tributaries: the Merced, the Stanislaus, the Tuolumne, and Mokelumne rivers. Also  
8     included are portions of the Delta. Finally, a description of additional fish species currently  
9     present in these areas, along with the current environmental conditions that affect spring-run  
10    Chinook in these locations, is provided below.

11    **3.2           Central Valley Spring-run Chinook Salmon**

12    **3.2.1        Life History**

13    Spring-run Chinook generally leave the ocean and enter the Sacramento River from March to July  
14    as immature fish. Lindley *et al.* (2007) indicate that adult spring-run Chinook enter native  
15    tributaries from the Sacramento River primarily between mid-April and mid-June. Typically,  
16    spring-run Chinook utilize mid-to high-elevation streams that provide appropriate temperatures  
17    and sufficient flow, cover, and pool depth to allow over-summering while conserving energy and  
18    allowing their gonadal tissue to mature (Yoshiyama *et al.* 1998).

19    Spring-run Chinook spawning occurs between late August and early October depending on water  
20    temperatures (NMFS 2002). Between 56 and 87 percent of adult spring-run Chinook that enter  
21    the Sacramento River basin to spawn are 3 years old (Calkins *et al.* 1940, Fisher 1994). The eggs  
22    are deposited in the gravel, where incubation, hatching, and emergence occur. The emergence of  
23    spring-run Chinook fry occurs from November to March, depending again on water temperatures  
24    (DFW 1998). Spring-run Chinook exhibit both of the freshwater life history types (i.e., stream-  
25    type and ocean-type) described by Healey (1991). The stream-type spring-run Chinook reside in  
26    freshwater for a year or more following emergence, and the ocean-type Chinook migrate to the  
27    ocean within their first year (DWR 2009). The fry use shallow, nearshore areas with slow current  
28    and good cover (DFW 1998). Higher elevation streams such as Mill and Deer creeks generally  
29    have a higher proportion of spring-run Chinook exhibiting the stream-type life history (DWR  
30    2009). These juveniles spend 9 to 10 months in their natal streams and up to 18 months in  
31    freshwater (USFWS 1995, DFW 1998). In lower elevation streams such as Butte Creek, the  
32    juveniles exhibit more of an oceantype life history with a higher proportion of the production  
33    leaving the tributaries from December to February (DFW 2000). These young of the year (YOY)  
34    may rear in the bypasses, the lower Sacramento River, and the Delta until ready to enter the ocean  
35    (DWR 2009). DFG conducted a life history investigation on Butte Creek from 1995 to 2003 and  
36    found that spring-run that emigrated from the creek as yearlings contributed greatly to the ocean  
37    harvest rate, suggesting that yearlings survive at higher rates than YOY (DFG 2004b). In  
38    general, spring-run Chinook spend between 1 and 4 years in the ocean before returning to spawn  
39    (Myers *et al.* 1998).

1     **3.2.2     Historical Distribution**

2     Historically spring-run Chinook were the second most abundant salmon run in the Central Valley  
3     (CDFW 1998). These fish occupied the upper and middle reaches (1,000 to 6,000 feet) of the San  
4     Joaquin, American, Yuba, Feather, Sacramento, McCloud and Pit rivers, with smaller populations  
5     in most tributaries with sufficient habitat for over-summering adults (Stone 1874, Rutter 1904,  
6     Clark 1929). The Central Valley Technical Review Team estimated that historically there were  
7     18 or 19 independent populations of spring-run Chinook along with a number of dependent  
8     populations, all within four distinct geographic regions (diversity groups) (Lindley *et al.* 2004).  
9     Of these 18 populations, only 3 wild populations (Mill, Deer, and Butte creeks on the upper  
10    Sacramento River) currently exist (Draft Recovery Plan 2009a). In addition to these three extant  
11    populations, there are other tributaries within the Sacramento River that are known to contain  
12    populations of spring-run Chinook, such as the Feather River (Draft Recovery Plan 2009a).  
13    However, these populations all have low abundance, and/or are heavily influenced by hatchery  
14    origin spring-run fish from the Feather River hatchery (Draft Recovery Plan 2009a). The Central  
15    Valley drainage as a whole is estimated to have supported spring-run Chinook runs as large as  
16    600,000 fish between the late 1880s and 1940s (DFG 1998). Before the construction of Friant  
17    Dam, nearly 50,000 adults were counted in the San Joaquin River alone (Fry 1961). Construction  
18    of other low elevation dams in the foothills of the Sierra Nevada on the American, Mokelumne,  
19    Stanislaus, Tuolumne, and Merced rivers, is thought to have extirpated spring-run Chinook from  
20    these watersheds of the San Joaquin River. Observations in the last decade suggest that perhaps a  
21    naturally occurring population may exist in the Stanislaus and Tuolumne rivers (Franks 2012).  
22    Naturally-spawning populations of spring-run Chinook currently are restricted to accessible  
23    reaches of the upper Sacramento River, Antelope Creek, Battle Creek, Beegum Creek, Big Chico  
24    Creek, Butte Creek, Clear Creek, Deer Creek, Feather River, Mill Creek, and the Yuba River  
25    (DFG 1998).

26    **3.2.3     Current Distribution**

27    Much of the historical habitat of spring-run Chinook is currently blocked by dams (DWR  
28    Background Report 2009). On the Feather River, only 35 km (22 miles) of habitat on the  
29    mainstem below Oroville Dam remains, and there is no spatial or temporal separation between  
30    spring-run and fall-run Chinook (Schick *et al.* 2005). This has resulted in the hybridization of the  
31    two runs from in-river spawning and past hatchery operations (Yoshiyama *et al.* 2001).  
32    However, an early-returning population persists within both the Feather and Yuba rivers, and is  
33    supported by FRFH operations (Yoshiyama *et al.* 2001, DWR 2007, Lindley *et al.* 2007).

34    **3.2.4     Viable Population Summary for Spring-run Chinook**

35    **3.2.4.1    Abundance**

36    From 2001 to 2005, the spring-run Chinook ESU has experienced a trend of increasing  
37    abundance in some natural populations, most dramatically in the Butte Creek population (Good *et*  
38    *al.* 2005). The non-adipose clipped FRFH spring-run Chinook has been included in the ESU

1 based on its genetic linkage to the natural population and the potential development of a  
2 conservation strategy for the hatchery program. In contrast to the first half of the decade, the next  
3 5 years (2006 to 2010) of adult returns indicate that population abundance declined from the  
4 peaks seen in the 5 years prior for the entire Sacramento River basin. The recent declines in  
5 abundance place the Mill and Deer creek populations in the high extinction risk category due to  
6 the rate of decline and, in the case of Deer Creek, also the level of escapement. Butte Creek has  
7 sufficient abundance to retain its low extinction risk classification, but the rate of population  
8 decline in the past several years is nearly sufficient to classify it as a high extinction risk based on  
9 this trend (Lindley *et al.* 2007).

#### 10 **3.2.4.2 Productivity**

11 The geometric mean for the extant Butte, Deer, and Mill creek spring-run Chinook populations  
12 between 2001 and 2005 ranged from 491 to 4,513 fish, indicating increasing productivity over the  
13 short-term (Good *et al.* 2005). The productivity of the Feather and Yuba river populations and  
14 contribution to the spring-run Chinook ESU currently is unknown (Good *et al.* 2005).

#### 15 **3.2.4.3 Diversity**

16 The spring-run Chinook ESU is comprised of two genetic complexes. Analysis of natural and  
17 hatchery spring-run Chinook stocks in the Central Valley indicates that the northern Sierra  
18 Nevada diversity group spring-run Chinook populations of Mill, Deer, and Butte creeks, have  
19 retained their genetic integrity, as opposed to the genetic integrity of the Feather River  
20 population, which has been somewhat compromised. Genetic analysis of FRFH spring-run  
21 Chinook shows evidence of hybridization between spring-run and fall-run hatchery stocks, and  
22 Feather River spring-run Chinook that have strayed into the Yuba River appear to have  
23 introgressed with the fall-run Chinook also inhabiting the river. Additionally, the diversity of the  
24 spring-run Chinook ESU has been further reduced with the loss of the San Joaquin River basin  
25 spring-run Chinook population.

26 In the Central Valley, spring-run Chinook are genetically distinct from fall-run Chinook. A few  
27 individual fish, however, may exhibit migration patterns that differ from the norm. Phenotypic  
28 behaviors are behaviors that normally are driven by genetic background, but that are performed  
29 by individuals that do not have that normal genetic background. Adult Chinook that are observed  
30 migrating in streams where a sustaining population of spring-run Chinook is not known to exist,  
31 at times of the year typical of spring-run Chinook migration, are called phenotypic spring running  
32 Chinook. Phenotypic spring running Chinook that have been observed on the San Joaquin River  
33 tributaries could be: 1) Chinook of an unknown genotype that show behaviors typical of spring-  
34 run Chinook; 2) from genetically distinct spring-run Chinook parentage, but have strayed from  
35 their home streams; or 3) genetically fall-run Chinook that behave like spring-run Chinook.  
36 Hatchery practices can influence salmon genetics and straying rates.

1    **3.3           Donor Action Areas**

2    **3.3.1        Sacramento River Tributaries**

3    The proposed Donor Stock Alternatives could take eggs or fish from the Sacramento River  
4    tributaries for use in the San Joaquin River. Therefore, the following sections describe the  
5    existing conditions present on the following tributaries: the Feather River and FRFH, and Deer,  
6    Mill, Butte, Clear, and Battle creeks. It should be noted that there is a great deal of variability as  
7    to the amount of information available for each of the tributaries. Some watersheds have more  
8    than 50 years of information whereas others have approximately 20 years plus there are  
9    differences in what information is available that describe the characteristics of each watershed.  
10   Furthermore, any removal of eggs or fish from these sources would require subsequent NEPA  
11   and permit action pursuant to section 10(a)(1)(a) of the ESA.

12   **3.3.1.1     Feather River**

13   The Feather River is a major tributary to the Sacramento River located at the north end of the  
14   western slope of the Sierra Nevada, with a watershed encompassing 5,900 square miles (FERC  
15   2007, NMFS 2009b). The upper Feather River watershed above Oroville Dam is approximately  
16   3,600 square miles and has four tributaries, the North, South, Middle, and West Forks.  
17   Downstream of Oroville Dam, the watershed includes the drainage of the Yuba and Bear rivers,  
18   and the Feather River eventually meets the Sacramento River (NMFS 2009b). Figure 3-1 shows  
19   the lower Feather River watershed and the locations of the FRFH.

20   Spring-run Chinook are spawned artificially in the FRFH, and also spawn naturally in the river  
21   during late September to late October (Reynolds et al. 1993, Yoshiyama 2001) downstream from  
22   the Fish Barrier dam approximately eight miles to the Thermalito Afterbay Outlet (NMFS  
23   2009b).

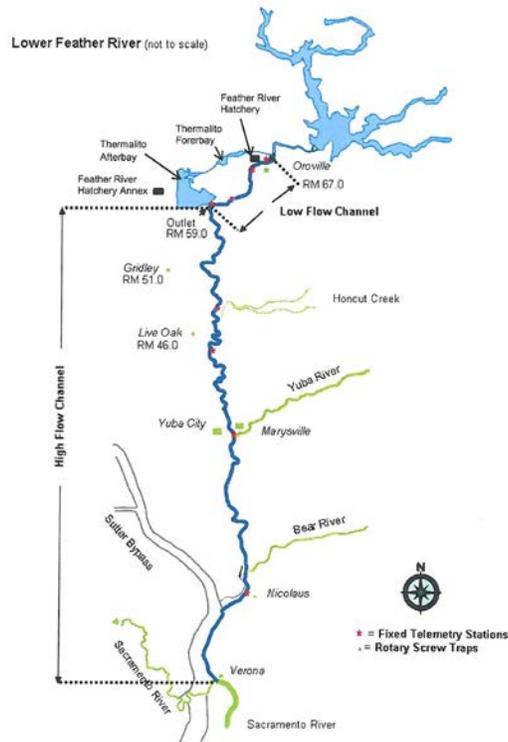


Figure 3-1 Lower Feather River

1 In most years the FRFH has met its production goal of two million spring-run Chinook smolts.  
2 To reach this target, the hatchery typically mates approximately 750 pairs to produce three  
3 million eggs (Figure 3-2). Once the production goal has been met, spring-run Chinook typically  
4 continue to enter the hatchery. In past years, these “surplus” fish have either been released back  
5 to the river, euthanized (designated as “killed, not spawned”), or allowed to die on site  
6 (designated as “Died in Tank”). The “Died in Tank” adults died while waiting to be spawned, or  
7 were allowed to die over time once production goals were met.

8 The number of the “surplus” fish varies from year to year. During the 2011 spawning season at  
9 FRFH the number of surplus adults was particularly large. The number of fish included 486  
10 surplus adults (231 males and 255 females) that entered the hatchery (Table 3-1). Theoretically,  
11 these fish were capable of producing an additional one million eggs.

12 In June of 2012 California Hatchery Scientific Review Group proposed a policy that all fish  
13 produced at California Hatcheries would have a purpose (i.e., no surplus) (California HSRG  
14 2012) this policy has been approved by DFW, USFWS and NMFS. Although there will be no  
15 “surplus” fish, under the revised operational policies for FRFH use of fish for restoration  
16 purposes in the San Joaquin River is an approved production use.

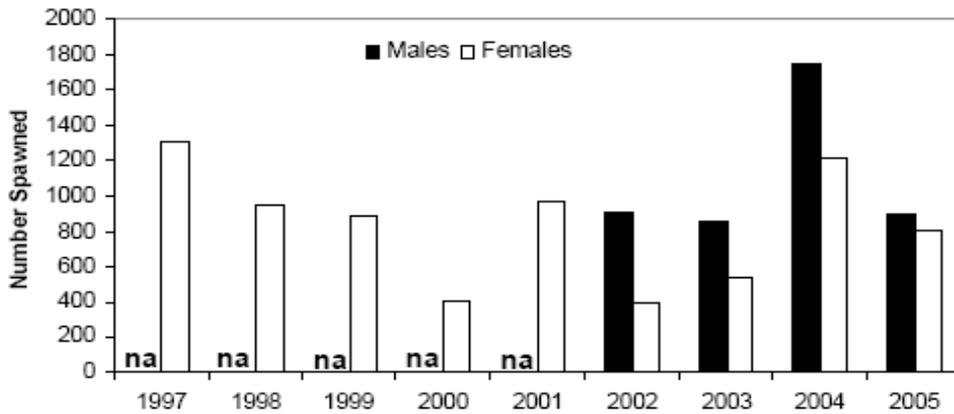


Figure 3-2. Number of spring-run Chinook adults spawned at the FRFH (Source: DWR 2009 as cited in the SJRRP 2010).

	Female	Male	Jack	Died in Tank
<b>2011</b>	255	231	No data	No data
<b>2010</b>	154	23	6	256
<b>2009</b>	0	2	34	76
<b>2008</b>	47, unknown gender		No data	240

Table 3-1. Surplus Fish Observed at Feather River Fish Hatchery in Recent Years (Anna Kastner, cited in 10(a)(1)(A) permit).

Between 1967 and 2008, the highest annual hatchery spring-run Chinook escapement was 8,662, occurring in 2003 (DFW 2009 as cite in SJRRP 2010). Between 1986 and 2007, the average number of spring-run Chinook returning to the FRFH was 3,992, compared to an average of 12,888 spring-run Chinook returning to the entire Sacramento River Basin (NMFS 2009b), and an average of 1,700 fish before the construction of Oroville Dam (Reynolds *et. al.* 1993, Yoshiyama 2001). More recently, FRFH spring-run Chinook escapement from 2005 through 2008 was 1,774, 2,061, 2,674, and 1,418; respectively (DFW 2009, NMFS 2009b). The increase in numbers since the completion of the dam (1968) is attributed to the consistent supply of cold water to both the hatchery and the Low Flow Channel and the contribution of hatchery fish (Reynolds *et. al.* 1993, Yoshiyama *et. al.* 2001).

### 3.3.1.2 Deer Creek

Deer Creek is an eastside tributary to the upper Sacramento River. Deer Creek is 60 miles long and its watershed drains 200 square miles (USFWS 1995). Deer Creek originates on the northern slopes of Butte Mountain at an elevation of approximately 7,320 feet. It initially flows through meadows and dense forests and then descends rapidly through a steep rock canyon into the Sacramento Valley. Deer Creek flows for 11 miles across the Sacramento Valley floor, entering the Sacramento River at River Mile (RM) 220 (Figures 3-3 and 3-4). Along with Butte Creek and Mill Creek, Deer Creek is recognized as supporting genetically distinct, self-sustaining populations of spring-run Chinook (DFG, 2008). The Mill and Deer creek populations appear

- 1 genetically similar to each other compared to the other extant spring-run Chinook populations in  
 2 the Central Valley and likely function together demographically as a metapopulation.
- 3 Spring-run Chinook have been documented migrating upstream on Deer Creek from March  
 4 through early July. Migrations usually end during the peak of the irrigation season when flows  
 5 are insufficient to pass adults and water temperatures begin to approach lethal limits low in the  
 6 watershed.



Source USFWS Anadromous Fish Restoration Program, 2011

Figure 3-3 Spring-run habitat in Deer Creek.

- 7 Table 3-2 shows annual escapement estimates for Deer Creek spring-run Chinook. For the  
 8 Central Valley Project Improvement Act (CVPIA) doubling period 1967-1991, the average  
 9 spawning escapement of spring-run Chinook in Deer Creek was 1,300 (USFWS 1995). From  
 10 1991 to 2011 the average is only 1,024 (DFG, 2012).

11 Table 3-2 Annual Escapement Estimates for Deer Creek

Year	Count	Year	Count	Year	Count
1963	2,302	1980	1,500	1997	466
1964	2,874	1981	-	1998	1,879
1965	-	1982	1,500	1999	1,591
1966	-	1983	500	2000	637
1967	-	1984	0	2001	1,622
1968	-	1985	301	2002	2,195
1969	-	1986	543	2003	2,759

Year	Count	Year	Count	Year	Count
1970	2,000	1987	200	2004	804
1971	1,500	1988	371	2005	2,239
1972	400	1989	84	2006	2,432
1973	2,000	1990	496	2007	644
1974	3,500	1991	479	2008	140
1975	8,500	1992	209	2009	213
1976	-	1993	259	2010	262
1977	340	1994	485	2011	271
1978	1,200	1995	1,295		
1979	-	1996	614		

Source: DFG 2012

**3.3.1.3 Mill Creek**

Mill Creek is a major tributary of the Sacramento River, flowing from the southern slopes of Mount Lassen and entering the Sacramento River at RM 230. The stream originates at an elevation of approximately 8,200 feet and descends to 200 feet at its confluence with the Sacramento River. Mill Creek originates from springs in Lassen Volcanic National Park (LVNP) and initially flows through meadows and dense forests. It descends rapidly through a steep canyon, and then flows eight miles across the Sacramento Valley floor. Its total length is approximately 58 miles. Nearly the entire mainstem habitat is utilized and/or available to spring-run Chinook (Figure 3-4). The Mill Creek watershed encompasses 134 square miles. During the irrigation season (mid-spring to fall), three dams on the lower eight miles of the stream divert most of the natural flow, particularly during dry years. Adult spring-run Chinook have been observed migrating in Mill Creek as early as February. A 10-year study from 1953 to 1964 (DFG 1966 as cited in SJRRP 2010) has documented the majority of upstream migration into Mill Creek as occurring between mid-April and the end of June.

Based on observations of spring-run Chinook adults holding and/or spawning, the known range of this habitat extends a distance of approximately 48 miles from near the Little Mill Creek confluence (C. Harvey 1996, personal communications, as cited in SJRRP 2010) upstream to within one-half mile of the LVNP boundary (personal observation of adult holding, as cited in SJRRP 2010). Suitable spawning habitat on the mainstem of Mill Creek extends to near Morgan Hot Springs (approximately three miles downstream of LVNP), although salmon have been reported spawning in "Middle Creek" (1990 communication with Luke Mason, former caretaker at Hanna Ranch as cited in SJRRP 2010), a small tributary located approximately two miles downstream of the park boundary.



Source USFWS Anadromous Fish Restoration Program, 2011

1

2

3

Figure 3-4. Spring-run Chinook habitat in Mill Creek

4

Table 3-3 shows annual escapement estimates for Mill Creek spring-run Chinook (DFG, 2011).

5

For the CVPIA doubling period 1967-1991, the average spawning escapement of spring-run

6

Chinook in Mill Creek is 800 (USFWS 1995). From 1991 to 2011 the average is 632 (DFG

7

2012).

8

Table 3-3 Annual Escapement Estimates for Mill Creek

Year	Count	Year	Count	Year	Count
1960	2,368	1978	925	1996	253
1961	1,245	1979	-	1997	202
1962	1,692	1980	500	1998	424
1963	1,315	1981		1999	560
1964	1,539	1982	700	2000	544
1965		1983	-	2001	1,100
1966	-	1984	191	2002	1,594
1967	-	1985	121	2003	1,426
1968	-	1986	291	2004	998
1969	-	1987	90	2005	1,150
1970	1,500	1988	572	2006	1,002
1971	1,000	1989	563	2007	920
1972	500	1990	844	2008	362
1973	1,700	1991	319	2009	220
1974	1,500	1992	237	2010	482

Year	Count	Year	Count	Year	Count
1975	3,500	1993	61	2011	366
1976	-	1994	723		
1977	460	1995	320		

Source: DFG 2012

1

2 **3.3.1.4 Butte Creek**

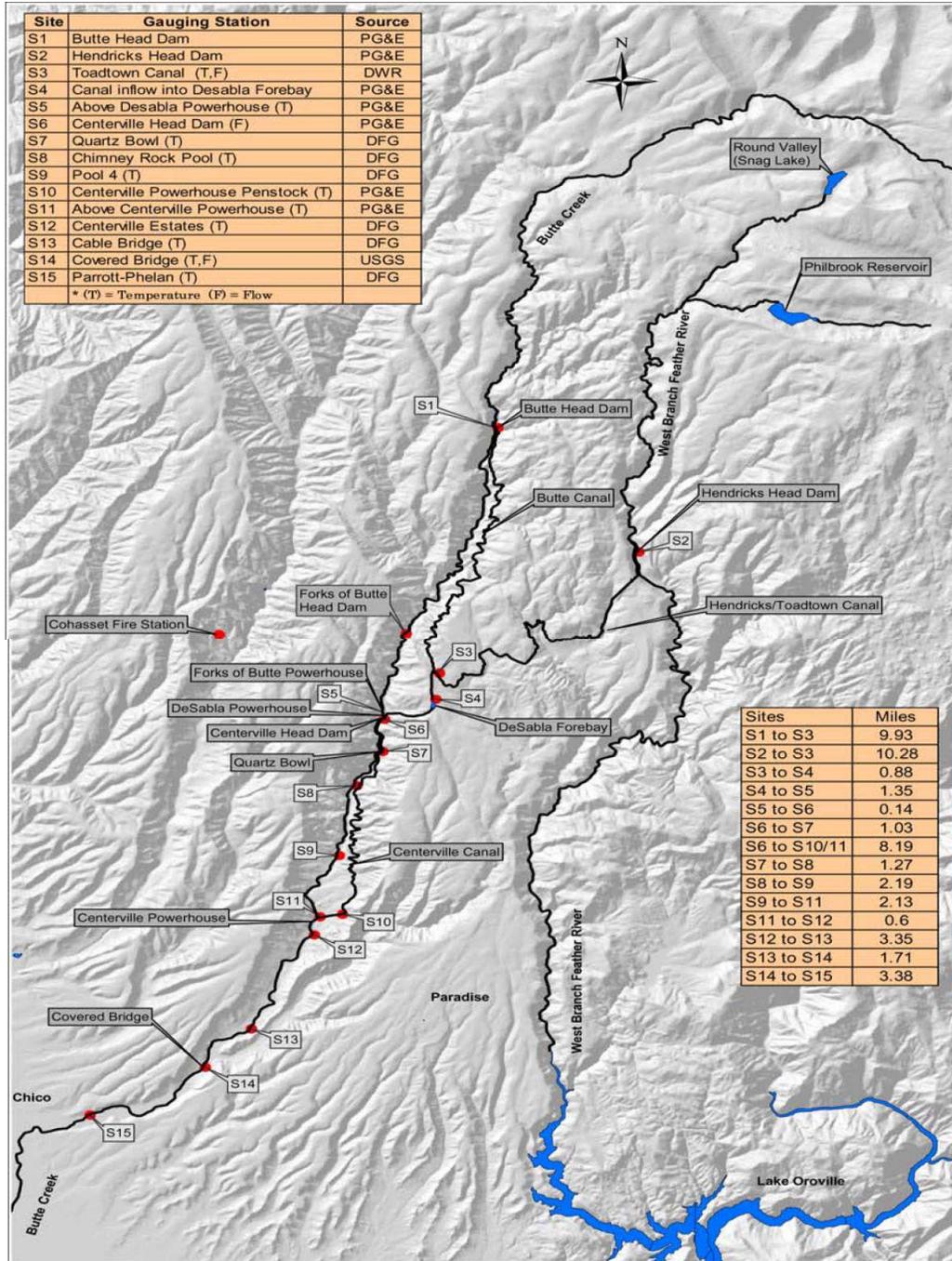
3 The spring-run Chinook in Butte Creek are considered persistent and viable and the creek is one  
 4 of the most productive spring-run Chinook streams in the California Central Valley (NMFS  
 5 2009b). Lindley *et al.*, (2007) indicated that the Butte Creek population is at a low risk of  
 6 extinction due to the population size, general increases in production, and low hatchery influence.  
 7 Butte Creek is one of only three streams to sustain a genetically distinct and viably independent  
 8 population of spring-run Chinook (NMFS 2009b). According to Moyle *et al.*, (2008 as cited in  
 9 SJRRP, 2010) there is a high likelihood of spring-run Chinook going extinct in the next 50-100  
 10 years due to the vulnerability of a catastrophic event and due to the narrow physiological  
 11 tolerances in the summer, where an increase in temperature due to climate change may drastically  
 12 reduce survival. Population numbers have increased within the last two decades, and large pre-  
 13 spawn mortalities have occurred on a few years (Williams 2006 as cited in SJRRP 2010). The  
 14 pre-spawn mortalities were due to a high number of fish concentrated in limited holding pools  
 15 with high water temperatures, resulting in an outbreak of diseases (SJRRP 2010).

16 The entire available holding and spawning area for Butte Creek spring-run Chinook is below 931  
 17 feet elevation, due to a 15-foot waterfall barrier known as the Quartz Bowl Falls. Butte Creek  
 18 spring-run Chinook adults migrate into Butte Creek from February through June, with the peak in  
 19 mid-April. Adult migration is frequently impaired by low flows and high water temperatures in  
 20 June, and adult spring-run Chinook that have not migrated above State Highway 99 by mid-June  
 21 have a lower likelihood of surviving to spawn.

22

Section 3 Affected Environment

1



2  
3

Source SJRRP 2010

4  
5  
6

Figure 3-5 Reaches of Butte Creek and West Branch of the Feather River controlled by Pacific Gas and Electric Company affecting Butte Creek spring-run Chinook, including temperature and flow gage locations and distances

7

1 The data below is based on DFW escapement estimates for the years 1954 – 2011. The  
 2 approximate averages for the last thirty, twenty, and ten years are 3,472; 4,953; and 5,000,  
 3 respectively.

4 Table 3-4. Butte Creek spring-run Chinook Spawning Escapement Estimates for the Period  
 5 1954 through 2011

Year	Run Size								
1954	830	1969	830	1984	23	1999	3679*		
1955	400	1970	285	1985	254	2000	4118*		
1956	3000	1971	470	1986	1371		Snorkel	Prespaw Mortality	Spawn
1957	2195	1972	150	1987	14	2001	9605	193	18312**
1958	1100	1973	300	1988	1300	2002	8785	3431	12597
1959	500	1974	150	1989	1300*	2003	4398	11231	6063
1960	8700	1975	650	1990	100*	2004	7390	418	10221
1961	3100	1976	46	1991	100*	2005	10625		
1962	1750	1977	100	1992	730*	2006	4579	244	6303
1963	6100	1978	128	1993	650*	2007	4943	638	6220
1964	600	1979	10	1994	474*	2008	3935		
1965	1000	1980	226	1995	7500*	2009	2059		
1966	80	1981	250	1996	1413*	2010	1160		
1967	180	1982	534	1997	635*	2011	2130		
1968	280	1983	50	1998	20212*				

6 Source: SJRRP 2012

7 \* Surveys prior to 1989 used various methods with varying precision. Snorkel surveys implemented since 1989 are  
 8 thought to significantly underestimate the actual population size and should only be used as an index. Spawning  
 9 surveys results for 2001 – 2006 were generated by a modified Schaefer Model carcass survey.

10 \*\* Number as reported for 2001 (22,744) in error (Ward *et al.* 2004).

11 † Preliminary data (DFG 2012)

12  
 13 **3.3.1.5 Clear Creek**

14 Clear Creek is approximately 18.1 miles long between the confluence with the Sacramento River  
 15 and Whiskeytown Dam. Whiskeytown Dam is a total barrier to salmonid migration in Clear  
 16 Creek (Figure 3-6). The elevation for this reach drops from 1,000 feet to 400 feet above mean sea  
 17 level (Newton and Brown 2004). USFWS identified two predominant stream channel types in  
 18 Clear Creek. The upper reaches from Whiskeytown Dam down to Clear Creek Road Bridge (RM  
 19 8.5) have steep canyon walls with falls, high-gradient riffles, and deep pools. Below Clear Creek  
 20 Road Bridge, the stream channel widens into an alluvial reach with a much lower gradient.

21 Since 2001, the Dedicated Project Yield Program—authorized by Section 3406(b)2 of the  
 22 CVPIA— has provided additional water year-round to increase streamflow. The increased flows  
 23 and resulting lower water temperatures improve passage, holding, spawning, and rearing

1 conditions for both spring-run Chinook and California Central Valley steelhead (steelhead) (*O.*  
 2 *mykiss*) (Giovannetti and Brown 2007, as cited in SJRRP 2010).

3



4  
 5 Source: USFWS Anadromous Fish Restoration Program, 2011

6 Figure 3-6. Clear Creek

7 The data below are based on DFW escapement estimates for the years 1993 – 2011.  
 8 Given that yearly surveys have only occurred since 1999, the yearly average was  
 9 determined from that year. From 1999 to present the average annual escapement is  
 10 approximately 71.

11 Lindley *et al.* (2004) classified this population as a dependent population, and thus it is  
 12 not expected to exceed the low-risk population size threshold of 2500 fish (i.e., annual  
 13 spawning run size of about 833 fish). The status review of the ESU (NMFS 2011) states  
 14 that the spring-run Chinook population in Clear Creek has been increasing.

15 Table 3-5 Annual Escapement Estimates for Clear Creek

Year	Count	Year	Count
1993	1	2003	25
1994	0	2004	98
1995	2	2005	69
1996		2006	77
1997		2007	194
1998	47	2008	200

Year	Count	Year	Count
1999	35	2009	120
2000	9	2010	21
2001	0	2011	8
2002	66		

Source: DFG 2012

1

2 **3.3.1.6 Battle Creek**

3 Battle Creek is an east-side tributary of the Sacramento River that drains from the southern  
 4 Cascade Range, with attributes similar to tributaries upstream of Shasta Dam (Kier Associates  
 5 1999, Lindley *et al.* 2007). Large snowfields and spring-fed creeks maintain streamflow until late  
 6 summer in both the North and South Forks of Battle Creek, providing suitable holding and  
 7 spawning water temperatures. Spring-run Chinook and steelhead can access approximately 14  
 8 miles of spawning and holding habitat in the North Fork and approximately 18 miles in the South  
 9 Fork (Kier Associates 1999, as cited in SJRRP 2010) (Figure 3-7). The North Fork has high-  
 10 gradient stream segments, similar to those in Mill and Deer creeks, upstream of Eagle Canyon  
 11 Dam and elevations over 2,000 feet occur above North Fork Battle Creek Feeder Dam. On the  
 12 South Fork, similar high-gradient stream segments exist upstream of Inskip Dam; elevations over  
 13 2,000 feet occur upstream of the South Dam (Kier Associates 1999).



Source: USFWS Anadromous Fish Restoration Program, 2011

14  
15

16 Figure 3-7 Battle Creek

1 The data below is based on DFW spring-run Chinook escapement estimates for the years 1995 –  
2 2011. From 1995 to present the average annual escapement is approximately 141.

3 Table 3-6 Annual Escapement Estimates for Battle Creek

Year	Count	Year	Count
1995	66	2004	90
1996	35	2005	73
1997	107	2006	221
1998	178	2007	291
1999	73	2008	105
2000	78	2009	194
2001	111	2010	172
2002	222	2011	157
2003	221		

4 Source: DFG 2012

5 **3.3.2 San Joaquin River Tributaries**

6 Three additional watersheds in the east Sacramento-San Joaquin Delta or San Joaquin River basin  
7 have reports of phenotypic spring-running Chinook. These are the Mokelumne River, an eastside  
8 tributary to the Sacramento-San Joaquin Delta, the Stanislaus River and Tuolumne River both  
9 tributaries to the San Joaquin River. As mentioned the Stanislaus and Tuolumne Rivers are within  
10 the study area established by the SJRRP PEIS/EIR but that discussion of these rivers did not  
11 include details of the spring-running Chinook.

12 **3.3.2.1 Mokelumne River**

13 The lower Mokelumne River is considered an eastside tributary to the Sacramento-San Joaquin  
14 River Delta. Its confluence with the San Joaquin River is within the legal Delta boundaries.  
15 Flows in the Mokelumne River are regulated by a Joint Settlement Agreement (JSA) under  
16 Federal Energy Regulatory Commission License (EBMUD et.al 2008).

17 Camanche Dam is on RM 64 and is the upper limit to anadromy on the Mokelumne River (Figure  
18 3-8). Camanche Dam blocks approximately 80 percent of historical Chinook spawning habitat  
19 (DFG 1991 as cited in SJRRP 2010). There are approximately 10 miles of spawning habitat  
20 downstream of Camanche Dam available for salmonid spawning, and holding habitat is limited to  
21 a few large pools in the first river mile below Camanche Dam.

22 Year round video monitoring on the Mokelumne River began in 2001. Since that time it has  
23 become clear that adult spring-running Chinook are ascending the Mokelumne from April  
24 through June on an irregular basis, in addition to the well-established population of fall-run  
25 Chinook (escapement from August/September through January). Low numbers of spring-running  
26 fish have passed video monitoring at Woodbridge Dam between April and June (SJRRP 2010).



Source: USFWS Anadromous Fish Restoration Program, 2011

Figure 3-8 Mokelumne River

Limited adult spring-run Chinook holding opportunities exist on the Mokelumne River. There are few large pools in the uppermost reach just below Camanche Dam. No assessments of holding or spawning have been conducted and there are no anecdotal reports of these adult fish persisting through the summer months.

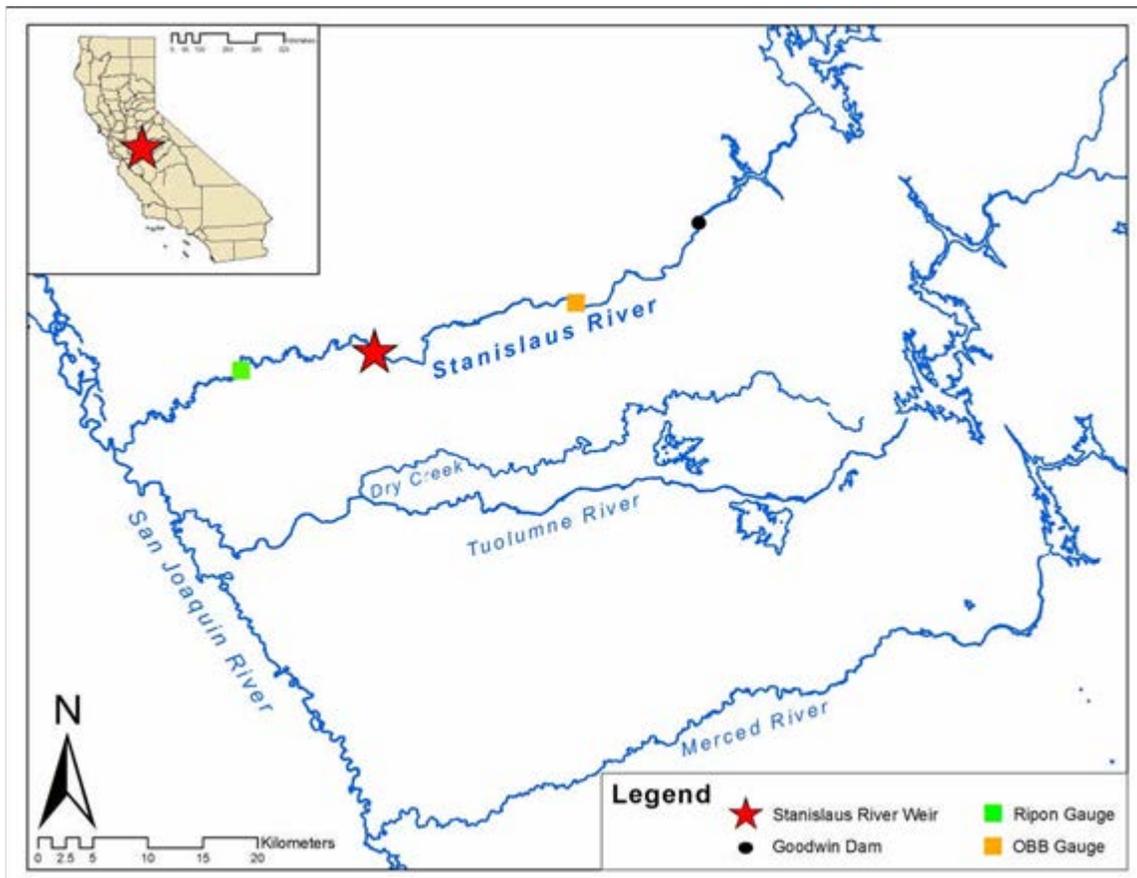
Phenotypic spring-run Chinook on the Mokelumne River have numbered as high as 114 in the spring of 2002 between April and July, with 4 adipose clipped fish observed (Workman 2002). Ninety-seven were observed in 2003 between March and July, with 21 adipose clipped fish observed (Workman 2003). The importance of adipose fin clipped fish is that the clipped fins indicate that these fish are of hatchery origin, not wild populations. None were observed in 2004, and in 2005, 2006, and 2007 when limitations in video monitoring due to construction led to carcass survey data for escapement estimates, and no estimate of phenotypic spring-run Chinook were attempted (Workman 2004, 2005, 2006, Workman and Rible 2007, Workman *et al.* 2008).

### 3.3.2.2 Stanislaus River

The Stanislaus River is one of three major tributaries to the San Joaquin River (Figure 3-9). It is snow fed and its headwaters begin at an elevation of approximately 12,000 ft. Like all San Joaquin River tributaries, multiple dams are located on the upper Stanislaus River. Historically, various life history types of Chinook inhabited the Stanislaus River, including fall-, late fall-, and spring-run Chinook (Reynolds *et al.* 1993). Currently, upstream migration for anadromous fish ends at Goodwin Dam RM 59. Historically, upstream migration and spawning occurred well into the Stanislaus River's three forks, but miles of spawning and rearing habitat were made inaccessible due to dam construction (Fry 1961).

1 In 2002, a resistance board weir was installed on the Stanislaus River to assess escapement  
2 numbers and timing of Chinook salmon and steelhead. In 2003 the weir was improved with the  
3 addition of a Vaki RiverWatcher infrared camera. The weir has been operated ever year, with the  
4 exception of 2008. Phenotypic spring-running Chinook have been observed passing the weir on  
5 the Stanislaus River in April and June (Anderson *et al.* 2007).

6 Chinook have been reported in the Stanislaus River during the summer months. Snorkel surveys  
7 (Kennedy and Cannon 2005) conducted between October 2002 to October 2004 identified adults  
8 in June 2003 and June 2004 between Goodwin Dam and Lovers Leap. Snorkel surveys also  
9 observed Chinook fry in December 2003 at Goodwin Dam, Two Mile Bar, and Knights Ferry,  
10 which indicates spawning occurring in September. This is earlier than when fall-run Chinook  
11 salmon would be spawning in the river. In 2000 DFW (unpublished data) seined a deep pool at  
12 Buttonbush Recreation Area on five occasions between June 29 to August 25, and captured 28  
13 Chinook salmon. Of these, eight were adipose fin-clipped and five had coded wire tags. All  
14 coded wire tagged fish originated from the FRFH. Table 3-7 shows the number of adult Chinook  
15 migrating upstream on the Stanislaus River for the months February through June.



Source SJRRP, 2010

16  
18 Figure 3-9 Tributaries of the San Joaquin River: the Stanislaus, the Tuolumne, and the Merced  
19 Rivers

1 Table 3-7 Weir Counts of Adult Chinook migrating upstream on the Stanislaus River

	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012
February	2	0	0	11	11	Weir not operated	0	0	0	3
March	Weir pulled	Weir pulled	Weir pulled	0	0		10	0 (weir pulled)	0	1
April				0	0		1		Weir removed due to flow increase per NMFS B.O.)	Weir removed due to flow increases per NMFS B.O.)
May				5	5					
June				7	6					

2 Source: NMFS, 2012

3 **3.3.2.3 Tuolumne River**

4 Yoshiyama, et al. (2001) reported that spring and fall salmon runs historically used the Tuolumne  
 5 River. Clavey Falls (10 to 15 ft. high), at the confluence of the Clavey River, may have  
 6 obstructed the salmon at certain flows, but spring-run Chinook in some numbers undoubtedly  
 7 ascended the mainstem a considerable distance. The spring-run Chinook were most likely  
 8 stopped by the formidable Preston Falls four miles above Early Intake Dam near the boundary of  
 9 Yosemite National Park (about 50 mi. upstream of New Don Pedro Dam), which would have  
 10 been the upstream limit of native fish distribution.

11 While Yoshiyama stated in 1993 that currently only the fall-run Chinook salmon use the  
 12 Tuolumne River, Yoshiyama cites Reynolds and others that a late fall run may also be present  
 13 based mainly on the occurrence of juveniles in the river during the summer and on observations  
 14 of occasional spawning in later months (Yoshiyama et al. 2001).

15 In addition, there have been reports of adult Chinook in the Tuolumne River in the spring months  
 16 of April and May. However, the origin of these animals remains unknown. There is limited  
 17 information as to whether these fish represent a typical occurrence or an anomaly. Future  
 18 monitoring is required to make a determination whether these fish are spring-run Chinook. Table  
 19 3-8 shows the number of adult Chinook that migrated upstream on the Tuolumne River between  
 20 February and June for the years 2009 to 2012.

21 While there are questions regarding these possible spring-run of Chinook and whether they are  
 22 strays or a population, NEPA requires Federal Agencies to take a “hard look” at such  
 23 information. The potential presence of a population of spring-running Chinook on the Stanislaus  
 24 River /or the Tuolumne River needs to be considered as part of the determination of the area for  
 25 the NEP.

1 Table 3-8 Weir counts of Adult Chinook migrating upstream on the Tuolumne River

	2009	2010	2011	2012
February	15	0	6	4 (weir)
March	3	6	15	8 (weir)
April	0 (weir removed April 15)	0	19	18 (weir)
May	no data	0	13	6 (weir removed May 9)
June	no data	0	nothing through June 4	2 (weir)

2 Source: NMFS 2012

3 **3.4 Reintroduction Area**

4 **3.4.1 San Joaquin River Basin**

5 The EA incorporates by reference information contained in SJRRP EIS/EIR regarding existing  
6 habitat descriptions and habitat conditions in the San Joaquin River and the associated  
7 Restoration Area Study Area.

8 **3.4.2 San Joaquin River from Friant Dam to Merced River**

9 This section summarizes aspects of the current aquatic habitat found in the five reaches (i.e., river  
10 segments) of the Restoration Area and the Restoration Area bypasses (see Figure 1-4). The  
11 Restoration Area encompasses the San Joaquin River from Friant Dam downstream to the  
12 confluence with the Merced River. Information presented in this section is compiled from the  
13 PEIS/R.

14 **• Aquatic Habitat**

15 Aquatic habitat conditions vary spatially and temporally throughout the San Joaquin River  
16 Restoration Area, and the flood bypasses because of differences in habitat availability and  
17 connectivity, water quantity and quality, channel morphology, and predation risks. Throughout  
18 the area, physical barriers, reaches with poor water quality, or little to no surface flow, and the  
19 presence of false migration pathways have reduced habitat connectivity for anadromous and  
20 resident native fishes.

21 **• Structural Migration Impediments**

22 Several structures in the Restoration Area are impediments to both upstream and downstream fish  
23 movement including the following:

- 24 • The seasonally deployed weir located at Hills Ferry (Hills Ferry Barrier), just upstream  
25 from the confluence with the Merced River, to direct migrating adult salmonids into the  
26 Merced River and prevent them from entering the San Joaquin River. The Hills Ferry  
27 Barrier has been operated by DFW since 1992.
- 28 • Eastside Bypass drop structure near its confluence with the San Joaquin River.

- 1 • Mariposa Bypass drop structure near its confluence with the San Joaquin River.
- 2 • San Joaquin River Headgate Structure at the Sand Slough Control Structure.
- 3 • Sack Dam, a low head diversion dam for Arroyo Canal.
- 4 • Mendota Dam, delivery point of the Delta Mendota Canal and diversion point for several
- 5 irrigation canals and pumps.
- 6 • Radial gates and control structure on the Chowchilla Bypass Bifurcation Structure.
- 7 • At least one earthen diversion dam just downstream from Gravelly Ford.
- 8 • Friant Dam, primary storage dam on the San Joaquin River and upper limit of potential
- 9 salmonid migration.

10 • **Non-Structural Migration Impediments**

11 In addition to physical barriers, false migration pathways may impede fish movement in the  
12 Restoration Area. False migration pathways lead fish away from habitats that would support  
13 reproduction, survival, and growth. False pathways also affect both upstream and downstream  
14 fish movement. During upstream movement, flow may attract fish into drains and bypasses that  
15 do not provide habitat because spawning substrate or cover, food availability, water temperatures,  
16 DO concentrations, salinity, and other environmental conditions are unsuitable. The San Joaquin  
17 River also has an extensive system of bypasses and canals that divert and carry water around the  
18 mainstem San Joaquin River channel. Bypasses may not have environmental conditions that  
19 support movement of fish to downstream habitat, especially if flow entering the bypass becomes  
20 discontinuous and fish are stranded. Canals generally do not provide habitat that can sustain  
21 populations of most fish species, and frequently end in irrigated agricultural fields.

22 Potential false pathways created by the bypass and canal systems are Salt Slough, Mud Slough,  
23 Bear Creek, Ash Slough, Berenda Slough, Dry Creek, Fresno River, Lone Willow Slough, James  
24 Bypass, Mariposa Bypass, Eastside Bypass, Arroyo Canal, Main Canal, other canals, and Little  
25 Dry Creek. Gravel mining ponds in Reach 1 may also be minor false pathways that can confuse  
26 downstream and upstream migrating fish and delay migration.

27 Most aquatic habitat in the bypasses is temporary, and its duration depends on flood flows. The  
28 bypasses are largely devoid of aquatic and riparian habitat because of hydraulic conveyance  
29 maintenance efforts (McBain and Trush 2002, as cited in Reclamation and DWR, 2011).  
30 Portions of the Eastside Bypass near Merced National Wildlife Refuge are reportedly wet year-  
31 round, but it is unknown whether these areas support fish. Although the bypasses provide very  
32 little perennial aquatic habitat, fish and other aquatic species may be present in the bypasses  
33 during wet conditions, including high-flow periods when a portion of the San Joaquin River flow  
34 is routed into the bypass system.

35 Many changes have occurred to channel morphology in the Restoration Area, with the most  
36 pronounced as follows:

1 • **Reach 1** – In-channel and floodplain pits and exposed gravel bars and floodplains created by  
2 instream gravel mining in Reach 1 have impeded coarse sediment routing, reduced native fish  
3 habitat, increased river water temperatures, and increased habitat for nonnative species. As has  
4 been demonstrated on the Tuolumne River, these pits provide habitat conducive to nonnative  
5 predatory fish species such as largemouth and smallmouth bass (EA Engineering 1991, as cited in  
6 Reclamation and DWR 2011). Gravel pits have also converted what was historically lotic habitat  
7 to lentic habitat, which may provide habitat for Sacramento pikeminnow and other predatory  
8 fishes. In addition, riparian encroachment has occurred, channels have been incised,  
9 mobilization of bed material is less frequent, and possible filling of gravel interstices with fine  
10 sediment has likely occurred.

11 • **Reaches 2 Through 5** – Habitat conditions for fish in Reaches 2 through 5 have been  
12 substantially modified by levee/dike construction, agricultural encroachment, and water  
13 diversions. These changes have reduced the quantity of floodplain habitat, as well as reducing  
14 main channel habitat complexity and the quantity and quality of off-channel habitat in these  
15 reaches. Much of this floodplain habitat has been isolated from the river by dikes and levees, and  
16 the remaining floodplain habitat is rarely inundated under current hydrologic conditions. There  
17 are projects proposed in the SJRRP to improve habitat conditions and to support flows that would  
18 permit juvenile rearing and adult/juvenile migration.

19 Important factors and processes affecting aquatic habitat throughout the Restoration Area,  
20 including channel migration and avulsion, spawning gravels and sedimentation, habitat  
21 heterogeneity, river flow, and benthic macroinvertebrates and algal communities are described in  
22 more detail below.

23 **Channel Migration and Avulsion.** In the past, channel migration and avulsion were critical  
24 processes for creating and maintaining habitat for salmonids and many native fish species, as well  
25 as for riparian regeneration and recruiting large woody debris into the channel. Agricultural  
26 conversion has reduced the amount of floodplains, and levees and dikes have further isolated  
27 historical floodplains from the channel. Additionally, bank protection along channel margins and  
28 the reduced flow regime have stabilized the channel, reduced bank erosion, reduced lateral  
29 migration, and greatly reduced the processes that create complex side channels and high-flow  
30 scour channels. Undercut banks, riparian vegetation, and recruitment of large woody debris have  
31 all been reduced or eliminated as a consequence of channel stabilization, and the corresponding  
32 habitat benefits realized by these processes have been largely eliminated. Reduced channel  
33 migration has eliminated off-channel habitats, reduced complex side channels, and reduced  
34 instream habitat complexity for native fish species. The loss of undercut banks and large woody  
35 debris reduces cover and velocity refuge for salmonids and many other native fish species,  
36 increasing exposure to predation and high flows. The loss of riparian vegetation recruitment may  
37 contribute to increased stream temperatures, and reduced complexity during the now rare periods  
38 of floodplain inundation. Current conditions have minimized and mostly eliminated meander  
39 migration and oxbow creation, which can facilitate the creation of spawning areas.

1 **Spawning Gravels and Sedimentation.** The discussion within the SJRRP PEIS/R notes that  
 2 Friant Dam has eliminated sediment supply from the upper watershed to the San Joaquin River  
 3 downstream from the dam. Small particles on the bed surface, such as gravels less than 32  
 4 millimeters (mm), have likely been mobilized and deposited downstream since dam construction.  
 5 The larger particles that were not mobilized remained to form an armor layer, protecting smaller  
 6 gravels from being exposed to mobilization. The formation of an armor layer and blocked  
 7 sediment supply has likely reduced the amount of suitable spawning habitat in Reach 1 relative to  
 8 historical conditions. Although spawning gravel in the Restoration Area is no longer used by  
 9 anadromous salmonids, it may still provide spawning habitat for other gravel-nesting fish species,  
 10 including resident rainbow trout and lamprey species.

11 Several historical and recent estimates of salmonid spawning gravel quantity have been made in  
 12 the Restoration Area (Table 3-9).

13 Table 3-9 Summary of Anadromous Salmonid Spawning Habitat Estimates in Reach 1 of  
 14 Restoration Area

Source	Survey Year	Extent of Survey	Estimated Total	Estimated Suitable (square feet)
Clark (1942)	1942	Highway 41 to Kerckhoff Powerhouse	417,000	266,800 <sup>1</sup>
Fry and Hughes (1958)	1943	Gravelly Ford to Friant Dam	1,000,000 <sup>2</sup>	None
Ehlers, pers. com. (in Cain 1997)	1957	Gravelly Ford to Friant Dam	2,600,000	1,820,000 <sup>3</sup>
Cain (1997)	1996	Gravelly Ford to Friant Dam	303,000	None
Jones and Stokes Assoc./Entrix (in McBain and Trush 2002)	2001	Friant Dam to Skaggs Bridge	773,000 <sup>4</sup>	408,000 <sup>4 5</sup>
Stillwater Sciences (in McBain and Trush 2002)	2002	Friant Dam to Highway 99 Bridge	357,000 <sup>6</sup>	281,400 <sup>1 6</sup>

- 15 Notes:  
 16 1 Spawning habitat between Highway 41 and Friant Dam  
 17 2 Estimated at 350 cfs; therefore, incorporated hydraulic suitability  
 18 3 Seventy percent of 2,600,000 square feet was suitable; presumed criterion was quality (limit of fine sediment in gravel)  
 19 4 Included gravel beyond the baseflow channel (e.g., on point bars); probable over-estimate  
 20 5 Based on portion of spawning gravel with less than 40 percent fines (ocular estimate)  
 21 6 Incorporated hydraulic suitability at potential spawning baseflows  
 22 Key:  
 23 cfs = cubic feet per second  
 24 pers. com. = personal communication

25 In addition to altering spawning gravel dynamics, the presence of Friant Dam has likely changed  
 26 sedimentation rates in areas outside the main river channel, such as floodplains and side channels.  
 27 Reduced frequencies of overbank flow, combined with reduced suspended sediment  
 28 concentrations, may serve to extend the life span of off-channel habitats. The extent to which this  
 29 is offset by any increase in sediment loading from agricultural runoff is difficult to determine  
 30 because of a lack of data. Reduced sediment loading may have had particularly significant effects

1 on oxbow lakes, which are disconnected from the mainstem and thus may only aggrade (fill in)  
2 during the largest, most infrequent overbank flow events. Reduced bedload under postdam  
3 conditions may be less likely to generate closed off-channel habitat areas (oxbow lakes and  
4 sloughs). In addition to locally affecting meander migration rates, gravel bar dynamics can also  
5 regulate the connectivity of off-channel habitat to the mainstem, and thus alter its quality for fish  
6 and other aquatic species.

### 7 **3.4.3 San Joaquin River Tributaries**

8 Aquatic habitat and fish presently found in the three main San Joaquin River tributaries, the  
9 Merced, Tuolumne, and Stanislaus rivers, are discussed below.

#### 10 • **Aquatic Habitat**

11 The Merced River is accessible to anadromous fish for the first 51 river miles upstream from the  
12 San Joaquin River confluence, with access terminating at Crocker-Huffman Dam (USFWS 2001,  
13 as cited in Reclamation and DWR 2011). Most anadromous fish spawning occurs within a few  
14 miles of the dam. Aquatic habitats in the Tuolumne River downstream from LaGrange Dam are  
15 influenced by several factors, many of them related to former gold mining activities and gravel  
16 mining (McBain and Trush 2000, as cited in Reclamation and DWR 2011). In the Stanislaus  
17 River, fall-run Chinook spawn in a 23-mile stretch of the Stanislaus downstream from Goodwin  
18 Dam, but most spawning occurs in the first 10 miles below the dam. Anadromous fish  
19 populations on all three tributaries are affected by flow and water temperatures, particularly  
20 during dry and critical water year types (Mesick 2009 and 2010, as cited in Reclamation and  
21 DWR 2011).

### 22 **3.4.4 Sacramento-San Joaquin Delta**

23 The aquatic habitat and fish presently found in the Delta are discussed below.

#### 24 • **Aquatic Habitat**

25 The historical Sacramento-San Joaquin Delta consisted of low-lying islands and marshes that  
26 flooded during high spring flows. More than 95 percent of the original tidal marshes have been  
27 leveed and filled, resulting in loss of aquatic habitat (USGS 2007, as cited in Reclamation and  
28 DWR 2011). The current Delta consists of islands, generally below sea level, surrounded by  
29 levees to keep out water. Inflow of freshwater into the Delta has been substantially reduced by  
30 water diversions, mostly to support agriculture. Dredging and other physical changes have  
31 altered water flow patterns and salinity (USGS 2007, as cited in Reclamation and DWR 2011).  
32 Nonnative species are changing the Delta's ecology by altering its food webs. All of these  
33 changes have had substantial effects on the Delta's biological resources, including marked  
34 declines in the abundance of many native fish and invertebrate species (Greiner *et al.* 2007, as  
35 cited in Reclamation and DWR 2011).

1 **3.5 Fish Species within the San Joaquin River Basin**

2 Fish assemblages currently found in the San Joaquin River are the result of substantial changes to  
 3 the physical environment, combined with more than a century of nonnative species introductions.  
 4 Areas where unique and highly endemic fish assemblages once occurred are now inhabited by  
 5 assemblages composed primarily of introduced species. Primary environmental conditions that  
 6 currently influence native fish species abundance and distribution (and frequently favor nonnative  
 7 species) include the following:

- 8 • Highly altered flow regimes and substantial flow reductions
- 9 • Substantial reductions in the frequency, magnitude, and duration of floodplain  
 10 inundation
- 11 • Isolation of floodplains from the river channel resulting from channelization and levee  
 12 construction
- 13 • Changes in sediment supply and transport
- 14 • Habitat fragmentation caused by physical barriers
- 15 • Creation of false migration pathways by flow diversions
- 16 • Reduced quantity and quality of riparian habitat, including increased prevalence of  
 17 invasive exotic vegetation
- 18 • Degraded water quality
- 19 • Dewatered stream reaches

20 Of the approximately 21 native fish species historically present in the San Joaquin River, at least  
 21 8 are now uncommon, rare, or extinct, and an entire fish assemblage – the deep bodied fish  
 22 assemblage (e.g., Sacramento splittail, Sacramento blackfish) has been largely replaced by  
 23 nonnative warm-water fish species (e.g., carp, catfish) (Moyle 2002a, as cited in Reclamation and  
 24 DWR 2011). Warm-water fish assemblages, comprised many nonnative species such as black  
 25 bass species and sunfish species, appear better adapted to current, disturbed habitat conditions  
 26 than native assemblages. However, habitat conditions in Reach 1 (slightly higher gradient, cooler  
 27 water temperatures, and higher water velocities) seem to have restricted many introduced species  
 28 from colonizing Reach 1. The occurrence of fish species within the Restoration Area listed by  
 29 reach is presented in Table 3-10.

30 Table 3-10 Native and Non-native Fish Species found in the San Joaquin River Basin

Species	Reach 1	Reach 2	Reach 3	Reach 4	Reach 5	San Joaquin River & Tributaries Merced River to Mossdale
bigscale logperch ( <i>Percina macrolepida</i> )						X
black bass species						X
black bullhead ( <i>Ameiurus nebulosus</i> )						X

Section 3 Affected Environment

Species	Reach 1	Reach 2	Reach 3	Reach 4	Reach 5	San Joaquin River & Tributaries Merced River to Mossdale
black crappie ( <i>Pomoxis nigromaculatus</i> )	X	X	X		X	X
bluegill ( <i>Lepomis macrochirus</i> )	X	X	X		X	X
brown bullhead ( <i>Ameiurus nebulosus</i> )	X	X	X		X	
California roach ( <i>Hesperoleucus symmetricus</i> )						X
channel catfish ( <i>Ictalurus punctatus</i> )	X	X	X		X	X
common carp ( <i>Cyprinus carpio</i> )	X	X	X		X	X
fall-run Chinook salmon ( <i>Oncorhynchus tshawytscha</i> )						X
spring-run Chinook salmon ( <i>Oncorhynchus tshawytscha</i> )						?
fathead minnow ( <i>Pimephales promelas</i> )						X
golden shiner ( <i>Notemigonus crysoleucas</i> )	X	X	X		X	X
goldfish ( <i>Carassius auratus</i> )	X	X	X		X	X
green sturgeon ( <i>Acipenser medirostris</i> )						X
green sunfish ( <i>Lepomis cyanellus</i> )	X	X	X		X	X
hardhead ( <i>Mylopharodon conocephalus</i> )						X
hitch ( <i>Lavinia exilicauda</i> )		X	X		X	X
inland silverside ( <i>Menidia beryllina</i> )			X	X	X	X
kokanee ( <i>Oncorhynchus nerka</i> )	X	X	X		X	
lamprey species	X					X
largemouth bass ( <i>Micropterus salmoides</i> )	X	X	X		X	X
longfin smelt ( <i>Spirinchus thaleichthys</i> )						X
Pacific lamprey ( <i>Lampetra tridentate</i> )						X
Pacific staghorn sculpin ( <i>Leptocottus armatus</i> )						X
prickly sculpin ( <i>Cottus asper</i> )			X		X	X
pumpkinseed ( <i>Lepomis gibbosus</i> )					X	
red shiner ( <i>Cyprinella lutrensis</i> )			X		X	X

Section 3 Affected Environment

Species	Reach 1	Reach 2	Reach 3	Reach 4	Reach 5	San Joaquin River & Tributaries Merced River to Mossdale
redeer sunfish ( <i>Lepomis microlophus</i> )	X	X	X		X	X
river lamprey ( <i>Lampetra ayresii</i> )						X
Sacramento blackfish ( <i>Orthodon microlepidotus</i> )			X		X	X
Sacramento Perch ( <i>Archoplites interruptus</i> )						X
Sacramento pikeminnow ( <i>Ptychocheilus grandis</i> )	X				X	X
Sacramento splittail ( <i>Pogonichthys macrolepidotus</i> )					X	X
Sacramento sucker ( <i>Catostomus occidentalis</i> )	X				X	X
sculpin species	X					
smallmouth bass ( <i>Micropterus dolomieu</i> )						X
spotted bass ( <i>Micropterus punctulatus</i> )	X	X	X		X	
Starry flounder ( <i>Platichthys stellatus</i> )						X
steelhead (rainbow trout) ( <i>Oncorhynchus mykiss</i> )	X					X
striped bass ( <i>Morone saxatilis</i> )						X
threadfin shad ( <i>Dorosoma petenense</i> )						X
threespine stickleback ( <i>Gasterosteus aculeatus</i> )	X					X
tule perch ( <i>Hysteroecarpus traskii</i> )			X		X	X
warmouth ( <i>Lepomis gulosus</i> )						X
western mosquitofish ( <i>Gambusia affinis</i> )	X	X	X		X	X
white catfish ( <i>Ameiurus catus</i> )						X
white crappie ( <i>Pomoxis annularis</i> )		X	X		X	X
white sturgeon ( <i>Acipenser transmontanus</i> )						X
<b>Native Species</b>						
<b>Nonnative Species</b>						

1 Compiled from Reclamation and DWR 2011

1 In addition, fall-run Chinook inhabit the Merced, Tuolumne, and Stanislaus rivers, and are  
2 supported in part by hatchery stock in the Merced River. The average annual spawning  
3 escapement (1952 through 2005) for the three major San Joaquin River tributaries was an  
4 estimated 19,100 adults. Since 1952, fall-run Chinook populations in the San Joaquin River basin  
5 have fluctuated widely, with a distinct periodicity that generally corresponds to periods of  
6 drought and wet conditions. Escapement estimates in 2006 and 2007 indicate another period of  
7 severe declines, presumably unrelated to drought, with a near record low escapement in 2007  
8 (DFG 2008, as cited in Reclamation and DWR 2011). As discussed in the Section 3.3.2, there are  
9 data that supports the possibility of spring-run Chinook in the Mokelumne, Tuolumne and  
10 Stanislaus Rivers.

11 Nonnative species predominate the fish assemblage within the San Joaquin River and its  
12 tributaries. Moyle and Light (1996, as cited in Reclamation and DWR 2011) suggested that  
13 nonnative piscivorous fish are most likely to alter fish assemblages. Largemouth bass are  
14 documented predators of outmigrating juvenile anadromous salmonids (TID/MID 1992, as cited  
15 in Reclamation and DWR 2011). They may also play the role of keystone predator (i.e., species  
16 that may increase biodiversity by preventing any one species from becoming dominant) in many  
17 aquatic environments because of broad environmental tolerances and their ability to forage on a  
18 wide variety of prey under many conditions. Smallmouth bass may primarily affect hardhead  
19 through competition for food resources, and may prey on juvenile cyprinids. Striped bass may be  
20 an important predator on immature life stages of river lamprey and Sacramento splittail. Inland  
21 silversides may feed on eggs and larvae of Sacramento splittail and other fish species in  
22 floodplain spawning areas. Native species expected to be the most sensitive to predation by  
23 nonnative predators include juvenile hardhead and Sacramento splittail.

### 24 **3.5.1 Federally Listed Fish Species**

25 California Central Valley steelhead are still present in low numbers in the Tuolumne, Stanislaus,  
26 and the Merced river systems below the major dams (McEwan 2001, Zimmerman *et al.* 2008, as  
27 cited in Reclamation and DWR 2011), but escapement estimates are not available.

28 Several researchers have speculated that green sturgeon spawn within the San Joaquin River  
29 system. Numerous juvenile and larval sturgeon have been collected on the lower San Joaquin  
30 River, but these fish are believed to have entered the system from the Sacramento River through  
31 the lower Mokelumne River, Georgiana Slough, or the Three Mile Slough. DFW concluded  
32 “based on movement of other fishes in the Delta, young green sturgeon found in the lower San  
33 Joaquin could easily, and most likely, come from the known spawning population in the  
34 Sacramento River” (DFG 2002 in Gruber, J. J., *et al.* 2012, as cited in Reclamation and DWR  
35 2011).

36 Gruber, *et al.* (2012) states that DFG Sturgeon Report Card data indicates six green sturgeon were  
37 caught within the San Joaquin River upstream of Stockton, five of which were caught in March  
38 and April. Although the data indicates the presence of a limited number of green sturgeon, it is  
39 possible that some fish go unreported (e.g., poaching) or a proportion of the 143 reported white

1 sturgeon may be misidentified. It remains unknown how and to what extent green sturgeon use  
2 the San Joaquin River. However, their reported presence coincides with the spawning migration  
3 of the Southern Distinct Population Segment of green sturgeon within the Sacramento River.

#### 4 **3.5.2 Predation and Disease**

5 Predation is another threat to the spring-run Chinook salmon ESU, especially in the lower Feather  
6 River, the Sacramento River, and in the Delta where there are high densities of nonnative (e.g.,  
7 striped bass, smallmouth bass and largemouth bass) and native fish species (e.g., pikeminnow)  
8 that prey on outmigrating salmon (NMFS 2011). Changes in predator success due to increased  
9 abundance and vulnerability of prey may occur at newly constructed or altered diversion intakes  
10 or passage structures. Many predatory fish may be more successful at locations where prey fish  
11 are artificially concentrated or stressed, such as at dams or salvage and hatchery release sites  
12 (Buchanan *et al.* 1981, Pickard *et al.* 1982, as cited in Reclamation and DWR, 2011). High  
13 predation rates are known to occur below small dams, such as the Red Bluff Diversion Dam  
14 (RBDD) in the Sacramento River and Sack Dam in the Restoration Area. As fish pass over small  
15 dams, they are subject to conditions that may disorient them, making them highly susceptible to  
16 predation by fish or birds. In addition, deep pool habitats tend to form immediately downstream  
17 from such dams, such as within the Restoration Area, creating conditions that promote  
18 congregation of Sacramento pikeminnow, striped bass, and other predators. Tucker *et al.* (1998  
19 as cited in Reclamation and DWR 2011) showed high rates of predation by Sacramento  
20 pikeminnow and striped bass on juvenile salmon below the Red Bluff Diversion Dam on the  
21 Sacramento River.

22 Although not specifically mentioned in the SJRRP PEIS/R, naturally occurring pathogens may  
23 also pose a threat to the spring-run Chinook salmon ESU, because artificially propagated spring-  
24 run Chinook salmon are susceptible to disease outbreaks such as the Infectious Hematopoietic  
25 Necrosis Virus and Bacterial Kidney Disease (NMFS 2011). No disease outbreaks at the Feather  
26 River Fish Hatchery affecting spring-run Chinook salmon have occurred between 2006 and 2011  
27 (NMFS 2011).

### 28 **3.6 Other Environmental Conditions of the San Joaquin Basin**

29 Other environmental conditions of the San Joaquin Basin are described below. These conditions  
30 include recreational boating and fishing, commercial fishing, hatchery facilities, land use, water  
31 quality, water temperature, suspended sediment and turbidity. Portions of these discussions have  
32 been taken from the SJRRP PEIS/R. The SJRRP includes restoration actions that will address  
33 some of the conditions described here.

#### 34 **3.6.1 San Joaquin River Recreation**

35 The PEIS/R describes the settings of recreation, as they pertain to implementation of the  
36 Settlement. The PEIS/R therefore contains discussion regarding all of the recreational facilities.  
37 The following is summary of recreational opportunities a presentation of those resources related  
38 to fishing and other river related activities.

1 Water from the San Joaquin River is heavily managed and is extensively distributed to benefit a  
2 variety of users. Recreation is possible in the river and adjacent to the river in some areas.  
3 However, with such extensive modification of the river’s flows, some reaches are dry at most  
4 times, and only limited recreation opportunities are available. The following text briefly  
5 describes recreation uses occurring within the five project reaches of the San Joaquin River  
6 located downstream from Millerton Lake.

7 Recreational activities within the San Joaquin River portion of the Restoration Area include  
8 fishing, boating, nature interpretation and education, trail use, camping, hunting, picnicking, and  
9 wildlife viewing/nature observation. Fishing and boating are activities that are most directly  
10 flow-dependent, with the availability and quality of these activities closely tied to the frequency,  
11 timing, and volume of river flows. The other activities mentioned below are flow-independent  
12 but are often associated with boating and fishing, and may be enhanced by more frequent river  
13 flows.

14 Most of the recreation use on the river within the Restoration Area occurs in Reach 1 because this  
15 reach provides publicly accessible lands, public river access, consistent flows, and several  
16 developed facilities. Reach 2 is almost entirely dry except during high flow events, and Reaches 2  
17 and 3 contain few public lands and have little public river access. The exceptions are the  
18 Mendota Pool, at the downstream end of Reach 2, which contains water year-round and is  
19 accessible to the public via a county park, and a gravel boat ramp and small city park on the  
20 upstream portion of Reach 3. Other use of the river or riverbed in these reaches is assumed to be  
21 by adjacent private landowners and possibly other local residents, and may include fishing,  
22 hunting, and off-highway vehicle use. Reach 4 (also generally dry) and Reach 5 include public  
23 lands that offer hunting and fishing.

#### 24 **Recreational Boating**

25 A range of boating opportunities is possible in Reach 1 (SJR PCT 2010a, as cited in Reclamation  
26 and DWR 2011). The river, side channels, and old mining lakes provide flat-water boating  
27 opportunities. The *San Joaquin River Parkway Master Plan* (SJRC 2000, as cited in Reclamation  
28 and DWR 2011) describes the river as a public “canoe trail” for nonmotorized boating. The river  
29 has minimal riffles and a few small rapids at Lost Lake Park (American Whitewater Association  
30 2007a, as cited in Reclamation and DWR 2011) but is generally slow enough that constant  
31 paddling is required (SJRC 2000, as cited in Reclamation and DWR 2011). According to  
32 American Whitewater, the river from Friant Dam to Skaggs Bridge Park is “the safest  
33 introduction to river paddling in the Fresno area” during summer low flows and “the closest  
34 whitewater to Fresno” during high flows. Some boating hazards are present and include riparian  
35 vegetation that overhangs the river and mining causeways and culverts (American Whitewater  
36 Association 2007a, as cited in Reclamation and DWR, 2011).

37 Two Stanislaus County parks provide the only developed recreation access to this segment of the  
38 San Joaquin River. The Las Palmas Fishing Access, a few miles east of the town of Patterson, is  
39 a 3-acre park providing a concrete boat ramp and day use facilities (Stanislaus County 2009a, as

1 cited in Reclamation and DWR 2011). Laird Park, 2 miles east of the town of Grayson, is a 97-  
2 acre “community park” providing river access and day use facilities (Stanislaus County 2009b, as  
3 cited in Reclamation and DWR 2011).

4 The San Joaquin River NWR is located along the San Joaquin River between the Tuolumne and  
5 Stanislaus rivers, two major tributaries to the San Joaquin River. The refuge boundaries  
6 encompass over 7,000 acres of riparian woodlands, wetlands, and grasslands. Although the  
7 refuge is primarily undeveloped, a wildlife viewing platform has been constructed at one location  
8 at a favored location for viewing geese and other waterbirds (USFWS 2006, as cited in  
9 Reclamation and DWR 2011).

10 The West Hilmar Wildlife Area, on the west bank of the river a few miles downstream of the  
11 Merced River confluence, is a 340-acre State wildlife area, with no facilities and accessible only  
12 by boat (DFG 2009, as cited in Reclamation and DWR 2011). The last river access before the  
13 San Joaquin River enters the Delta is Mossdale County Park (San Joaquin County) located in the  
14 City of Lathrop which provides boating access.

15 Not on the San Joaquin River, but in the vicinity, California Department of State Parks (State  
16 Parks) manages two small developed park units, each less than 75 acres, on the bank of the lower  
17 Merced River in Merced County. George J. Hatfield State Recreation Area (SRA) is near the  
18 confluence with the San Joaquin River and McConnell SRA is approximately 18 miles upstream  
19 from the confluence with the San Joaquin River. Both parks provide access to the Merced River  
20 for boating, fishing, swimming, picnicking, and hiking on short trails. McConnell SRA also  
21 offers family and group camping.

22 Farther north, the Turlock Lake SRA furnishes camping, boating, and day use facilities at the  
23 3,500-acre Turlock Lake and the adjacent Tuolumne River, on the eastern edge of the valley in  
24 Stanislaus County. Caswell Memorial State Park is located along the Stanislaus River in San  
25 Joaquin County, approximately 5 miles upstream from the confluence with the San Joaquin  
26 River. This 258-acre park offers opportunities for fishing and swimming in the Stanislaus River  
27 and camping facilities and nature trails through the park’s riparian oak woodland.

28 Lastly, as the river enters the Delta there is Mossdale County Park located in the City of Lathrop  
29 which provides boating access.

### 30 **Recreational Fishing**

31 Fishing occurs primarily in Reaches 1 and 5, which have year-round flow, and the portion of Salt  
32 Slough located in the San Luis National Wildlife Refuge (NWR) (USFWS 2010, as cited in  
33 Reclamation and DWR 2011). Current California sportfishing regulations prohibit salmon  
34 fishing on the San Joaquin River from Friant Dam to Mossdale. Reach 1 is planted throughout  
35 the year with rainbow trout from DFW’s San Joaquin Fish Hatchery (SJFH) located downstream  
36 from Friant Dam and is fished year-round, primarily by local anglers (Shaffer 2005 as cited in  
37 Reclamation and DWR 2011). Public fishing access exists along the river in Reach 1 (Table 3-11)

1 and fishing occurs in the adjacent Lost Lake, a borrow pit created during the construction of  
 2 Friant Dam (City of Fresno 2007a, as cited in Reclamation and DWR 2011), and other similar  
 3 pits created by gravel mining. Most of the native fish species that were present in the San  
 4 Joaquin River before construction of the dam are now uncommon, rare, or extinct and have been  
 5 largely replaced by warm water nonnative fish species, such as sunfish, crappie, bluegill, striped  
 6 bass, largemouth bass, smallmouth bass, and catfish. Salmon have been extirpated from the  
 7 mainstem San Joaquin River primarily because of a lack of continuous flow in the San Joaquin  
 8 River upstream from the Merced River (FWUA and NRDC 2003, as cited in Reclamation and  
 9 DWR, 2011).

10 Table 3-11 Existing Parks and Public Lands in the San Joaquin River Parkway – Reach 1

Recreation Facility/ Park Unit	Owner <sup>1</sup>	Area(acres)	Primary Recreation Opportunities					
			Fishing	Boat Access to River	Outdoor	Trails/Trail Access	Camping	Picnicking
Camp Pashayan	DFW, SJRPCT	32 <sup>2</sup>	X	X		X		X
Coke Hallowell Center for River	SJRPCT	20			X	X		
Fort Washington Beach	Private	NA	X	X			X	X
Friant Cove	SJRC	6	X	X				X
Jensen River Ranch	SJRC	167				X		X
Lost Lake Park	City of Fresno	305	X	X	X	X	X	X
San Joaquin River Ecological	DFW	800 <sup>2</sup>			X			
Scout Island	City of Fresno	85		X	X		X	
Sycamore Island Ranch	SJRPCT	350	X	X		X		X
Wildwood Native Park	SJRPCT	22	X	X		X		
Willow Lodge (adjacent to Willow Unit of San Joaquin River	DFW	88			X	X		
Woodward Regional Park	City of Fresno	300				X		X

Notes:

- 1 Management of several of the parks is by an entity other than the owner, in some cases with the park owner. The SJRC owns and manages 2,541 acres in total, much of which is managed for conservation and future low-impact recreation. In addition, on land owned by the Conservancy, Islewood Golf Course is operated by a private entity. In addition to the properties providing the recreation opportunities in the table, DFW also owns and operates the San Joaquin Hatchery, below Friant Dam, where the public can view and feed trout in the hatchery raceways.
- 2 The ecological reserve is composed of several widely dispersed units in the parkway, which in total equal 800 acres; access is by special permit only (DFG 2007).

Key:

- DFW = California Department of Fish and Wildlife
- NA = not applicable
- SJRPCT = San Joaquin River Parkway and Conservation Trust
- SJRC = San Joaquin River Conservancy

11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23

1    **3.6.2   Commercial Fishing**

2    Commercial fishing of Chinook and other salmon occurs off the coast of northern and central  
3    California, when open. The Central Valley Chinook salmon that are targeted by this fishery are  
4    fall-run Chinook. There also is an important recreational fishery for Chinook salmon in the ocean  
5    as well as in the inland waters, although more restrictive regulations apply in anadromous  
6    spawning areas to protect this important life stage. Current regulations on both the recreational  
7    and commercial fisheries include restrictions of time, place, and gear that are intended to reduce  
8    the take of ESA listed salmonids.

9    **3.6.3       Hatchery Facilities**

10   As part of the restoration process eggs or juveniles will be collected for use as broodstock or  
11   direct release. The pathogen and quarantine procedures for transporting eggs from one watershed  
12   to another watershed may require holding at the DFW holding facility. After any quarantine the  
13   collected eggs or juveniles will need a place to be held, prior to release or held until ready for  
14   breeding.

15   As part of its 10(a)(1)(A) permit application the USFWS proposed the Silverado holding facility  
16   and CABA as locations to be used to quarantine the juveniles/eggs collected at FRFH. While  
17   future 10(a)(1)(A) may identify other locations, these quarantine facilities and the hatchery  
18   facilities below are the likely facilities that would be used for restoration activities.

19   Silverado is located in Napa County, California, near Yountville. Silverado takes its water from  
20   Rector Reservoir on Rector Creek, a tributary of the Napa River. Silverado is permitted 1.6  
21   million gallons of water per day. Unlike most of the hatcheries run by DFW, Silverado does not  
22   have a National Pollutant Discharge Elimination System (NPDES) permit because the quantity of  
23   fish produced is less than the biomass limit or flow limit that would require an NPDES permit for  
24   a cold-water concentrated aquatic animal production facility (NMFS 2012).

25   The CABA was established to provide support to University of California Davis researchers in  
26   addressing problems associated with California's cultured and wild aquatic biological resources.

27   The CABA consist of two facilities. The first is a five-acre facility that has numerous tanks and  
28   tank systems that are available both inside and outside. Tank sizes range from small 2 ft. diameter  
29   tanks to a 24 ft. diameter tank. The second is the Putah Creek facility consisting of two buildings  
30   for inside work with an office trailer and tool room. This facility has mainly large diameter tank  
31   systems (7 ft. to 20 ft. diameter) suitable for large species of fish or for use in mesocosm studies.  
32   CABA also has on site an array of four artificial streams. There is research and student training  
33   space for a wide range of programs in aquatic vertebrate and invertebrate ecology, reproduction,  
34   behavior, nutrition, genetics, endocrinology, disease and pathology, aquaculture engineering,  
35   aquatic toxicology, and general aquatic biology (NMFS 2012).

1 Both CABA facilities receive well water at 63 to 66°F throughout the year. The Putah Creek  
2 facility has an additional source of ground water that varies in temperature from 50 to 68°F during  
3 the year (CABA, 2012). The university has all the appropriate water use and discharge permits  
4 (NMFS 2012).

5 As part of the Proposed Action, the collection of spring-run Chinook eggs or juveniles to be used  
6 for broodstock will need a place to be held. In order to provide the necessary facilities for these  
7 eggs or juveniles to be held, an existing Interim Facility will first be used, followed by an  
8 additional, larger Conservation Hatchery Facility that will be constructed by DFW later.

9 As described in the recreational fishing section, the DFW operates the SJFH for raising trout. It  
10 is located approximately one mile downstream of Friant Dam. This location also as an existing  
11 “Interim Facility” that will be used for restoration (see below). Water for the hatchery is a  
12 continuous 35 cfs supply gravity-fed directly from Friant Dam, and then aerated at the hatchery.  
13 The existing SJFH has used this water source to successfully hatch and raise trout at the site since  
14 1955 due to favorable water temperature and water quality conditions (NMFS 2012).

15 Prior to reaching the hatchery, the water passes through the Fishwater Release Hydropower Plant,  
16 which is owned by the Orange Cove Irrigation District. The flows are delivered to the power  
17 plant through two different pipelines: a 24-inch diameter pipeline from two Friant Dam  
18 penstocks, and a 30-inch diameter pipeline that takes water from the Friant Kern Canal penstock  
19 near the left dam abutment. DFW is currently in negotiations with Reclamation to secure  
20 additional water for the Conservation Hatchery Facility. Once additional water is secured, the  
21 water supply is anticipated to be equally as reliable as the SJFH (NMFS 2012).

22 The small-scale, Interim Facility is located on the grounds of SJFH and will be operational until  
23 the full-scale Conservation Hatchery Facility is constructed. The full-scale Conservation  
24 Hatchery Facility is anticipated to be operational in 2014, at which time both facilities would be  
25 integrated together. Construction funding for the Interim Facility and the long-term Conservation  
26 Hatchery Facility is provided by the State of California. The DFW started to build the Interim  
27 Facility in 2010 and has been expanding and testing the system since then. Planning and  
28 permitting activities for the full-scale Conservation Hatchery Facility are in process with DFW as  
29 the lead agency.

#### 30 **3.6.4 Land Use**

31 The following summarizes the land use and agricultural resources within the Restoration Area of  
32 the SJRRP and is taken from the Environmental Setting section of Chapter 16 (Land Use and  
33 Agricultural Resources) of the SJRRP PEIS/R. While there are other land uses adjacent to the  
34 San Joaquin River it is the potential use of river water by agriculture that could affect the riverine  
35 system. The SJRRP PEIS/R contains detailed information regarding land use along the five  
36 reaches of the San Joaquin River (Figure 1-3) including the amounts of land under Williamson  
37 Act contracts, the acreages for the various categories of farm land. This information is  
38 incorporated by reference. This EA does not include a discussion of the land uses and

1 agricultural resources associated with the possible donor stock collection sites since the effects of  
2 collecting donor stock, including specific information as to the land uses surrounding the  
3 collection sites is analyzed during the section 10(a)(1)(A) permit process.

4       • **Agricultural and Other Land Uses**

5 Within the Restoration Area the SJRRP PEIS/R identified where restoration actions could affect  
6 existing land uses or agricultural resources. In addition, the SJRRP PEIS/R included a discussion  
7 of forest lands within the Restoration Area.

8 Most of the land in the Restoration Area is privately owned. The primary land uses are open  
9 space and agriculture. Urban land uses (e.g., residential, commercial, industrial) account for only  
10 a small percentage of land use along the San Joaquin River. This type of use is associated  
11 primarily with the small communities located near the river between Friant Dam and the  
12 confluence with the Merced River.

13 As described in the *San Joaquin River Restoration Study Background Report* (FWUA and NRDC  
14 2002, as cited in Reclamation and DWR 2011), land ownership data were compiled from  
15 Reclamation and DWR's database (2001). Data depicting lands managed by the San Joaquin  
16 River Parkway and Conservation Tract (SJRPT) were provided by GreenInfo Network (2002).  
17 Data provided by the SJRPT also were reviewed. As a historic navigable river, the bed of the  
18 San Joaquin River is subject to the jurisdiction of the California State Lands Commission.

19 The State of California holds the fee ownership in the river bed between the two ordinary low  
20 water marks in Reach 1A (State Lands Commission 1992, as cited in Reclamation and DWR  
21 2011). Data from the 1989 to 1992 State Lands Boundary Survey located the State's fee title  
22 (low water) and Public Trust Easement (high water) claims, and were used as a basis for defining  
23 property boundaries from Friant Dam to Herndon on both sides of the river. The 1989 to 1992  
24 State Lands Commission surveys did not go downstream from Reach 1A. However, the  
25 California State Lands Commission initiated work in the fall of 2010 to develop an administrative  
26 decision on the ordinary low and high water marks in the remaining reaches of the Restoration  
27 Area. Land between the ordinary high water marks is subject to a Public Trust Easement. A  
28 lease is required for projects on State-owned lands under the jurisdiction of the California State  
29 Lands Commission. Land ownership was separated into two broad classifications: public and  
30 private. Public lands were classified as Federal lands, State Lands Commission public trust and  
31 fee title lands, other State and county lands, and lands owned by the SJRPT.

32 In the Restoration Area, public lands are located in the jurisdictions of the following Federal,  
33 State, and local agencies, respectively: USFWS, USACE, and Reclamation; DWR and State  
34 Parks; and Fresno, Madera, and Merced counties, and the cities of Fresno and Firebaugh.  
35 Available land use management plans, comprehensive plans, and general plans adopted by  
36 jurisdictions in the Restoration Area were reviewed to identify existing and future land uses.  
37 These plans are described in the Regulatory Setting section of the SJRRP PEIS/R.

1 The Restoration Area occupies approximately 72,581 acres along the San Joaquin River (Table 3-  
 2 12). Land uses within the Restoration Area were identified, inventoried, and placed into the  
 3 following broad land use categories: agricultural, open space, and urban. Table 3-12 shows the  
 4 approximate acreages for each land use category along the San Joaquin River, by reach, and for  
 5 the bypass areas.

6 Table 3-12. Acreage of Land Uses Along San Joaquin River in Restoration Area<sup>1</sup>

River Reach	Land Use (acres) <sup>2</sup>			
	Agricultural	Open Space	Urban	Total
Reach 1	7,216 (46%)	5,195 (33%)	3,419 (22%)	15,830
Reach 2	9,107 (99%)	37 (<1%)	28 (<1%)	9,172
Reach 3	7,218 (90%)	606 (8%)	231 (3%)	8,055
Reach 4	14,439 (100%)	0 (0%)	0 (0%)	14,439
Reach 5	5,461 (100%)	0 (0%)	0 (0%)	5,461
Bypass Areas	16,306 (83%)	0 (0%)	3,317 (17%)	19,623
<b>Total</b>	<b>59,747 (82%)</b>	<b>5,838 (8%)</b>	<b>6,996 (10%)</b>	<b>72,581</b>

7 Source: SJRRP PEIS/R

8 Notes:

9 <sup>1</sup> The width of the Restoration Area includes an area approximately 1,500 feet from the river centerline  
 10 outward from both banks, for a total width of approximately 3,000 feet.

11 <sup>2</sup> Acreage numbers have been rounded to the nearest acre.

12 Key:

13 % = percent

14 < = less than

15 While the SJRRP PEIS/R includes information for each of the reaches this EA is including only  
 16 the additional information for Reach 1. The Interim Facility and subsequent conservation  
 17 hatcheries are in Reach 1 and much of the activities associated with reintroduction would occur  
 18 within this Reach. Approximately 1,636 acres of Reach 1 of the Restoration Area are in the City  
 19 of Fresno. Reach 1 also includes the town of Friant, as well as the unincorporated communities  
 20 of Rolling Hills, Herndon, and Biola. The approximate acreage of land uses, as inventoried in  
 21 Reach 1, is approximately 15,832 acres (see Table 3-9). The primary land use category of Reach  
 22 1 is agriculture (60 percent), followed by open space (28 percent), and urban land uses (12  
 23 percent). Approximately 93.8 percent of lands found in Reach 1 are privately owned.

24 Reach 1 is divided into two subreaches. Reach 1A flows to the north of Fresno and also passes  
 25 near the communities of Friant and Rolling Hills and two trailer parks located adjacent to the  
 26 Yosemite Freeway Bridge. Between Friant Dam and the SR 99 bridge that crosses the San  
 27 Joaquin River, several roads parallel the river in this subreach, and six bridges (North Fork Road  
 28 Bridge, Yosemite Freeway Bridge, West Nees Bridge, and three unnamed bridges) cross the  
 29 river.

30 The primary nonurban land uses along the remaining areas of Reach 1A are gravel mining,  
 31 agriculture, and recreation/open space. Several active gravel quarries, and related roads and other  
 32 infrastructure, are located adjacent to the river. Agricultural land uses include vineyards, annual  
 33 crops, and orchards.

1 In addition to mining and agriculture, several recreation areas are located in Reach 1A. The San  
 2 Joaquin River Parkway extends upstream from, and includes, the Millerton Lake SRA and areas  
 3 along both river banks of this subreach. The parkway includes multiple recreation sites and use  
 4 areas, including Lost Lake Park, an approximately 273-acre recreation area along 1.8 miles of the  
 5 southern bank, Fort Washington Beach, Sycamore Island Ranch, and Camp Pashayan, among  
 6 others. Three private golf courses (Riverbend Golf Club, Fig Garden Golf Club, and San Joaquin  
 7 Country Club) and one public golf course (Riverside Golf Course) are present in this subreach.  
 8 Multiple ponds are also located in this reach. These ponds were created in abandoned mining  
 9 gravel pits and are now stocked with game fish.

10 • **Forest Land**

11 Forest land is defined as native tree cover greater than 10 percent that allows for management of  
 12 timber, aesthetics, fish and wildlife, recreation, and other public benefits (California Public  
 13 Resources Code Section 12220(g)). Natural forest and woodland vegetation types in the study  
 14 area typically have greater than 10 percent cover by native trees (SJRRP PEIS/R, 2010 Appendix  
 15 L, “Biological Resources – Vegetation and Wildlife”). Forest land in the Restoration Area  
 16 consists of riparian forest that has been classified into four major types based on the dominant  
 17 species: cottonwood riparian forest, willow riparian forest, mixed riparian forest, and valley oak  
 18 riparian forest. As shown in Table 3-13, forest lands total approximately 4,320 acres in the  
 19 Restoration Area.

20 Table 3-13 Habitats and Acreage of Forest Land in the Restoration Area

Habitat Type	Habitat Acreage <sup>1</sup>						
	Reach 1	Reach 2	Reach 3	Reach 4	Reach 5	Bypasses	Total
Cottonwood Riparian Forest	386 (37%)	120 (12%)	452 (43%)	56 (5%)	29 (3%)	-- (0%)	1,043
Willow Riparian Forest	345 (16%)	163 (8%)	124 (6%)	777 (36%)	755 (35%)	2 (<1%)	2,166
Mixed Riparian Forest	783 (99%)	2 (<1%)	-- (0%)	6 (<1%)	1 (<1%)	-- (0%)	792
Valley Oak Riparian Forest	265 (41%)	-- (0%)	-- (0%)	23 (7%)	35 (11%)	-- (0%)	323
<b>Total</b>	<b>1,779</b> <b>(41%)</b>	<b>285</b> <b>(7%)</b>	<b>576</b> <b>(13%)</b>	<b>862</b> <b>(20%)</b>	<b>820</b> <b>(19%)</b>	<b>--</b> <b>(0%)</b>	<b>4,324</b>

21 Source: DWR 2002 in SJRRP PEIS/R

22 Note:

23 <sup>1</sup> Acreage numbers have been rounded to the nearest acre.

24 Key:

25 % = percent

26 < = less than

27 Table 3-13 shows those lands formally identified as the forest types present within the  
 28 Restoration Area. These lands consist of habitats associated with river systems and are not  
 29 considered traditional sources of timber production.

1     **3.6.5        Water Quality**

2     The discussion of water quality in the Restoration Area is from the Draft PEIS/R. It should be  
3     noted that one of the actions that will result from the SJRRP is that the restoration of flows to the  
4     Restoration Area may result in changes to water quality. Any potential changes are addressed in  
5     the Draft PEIS/R, and would occur whether the Proposed Action occurs or not.

6     Water quality in various segments of the San Joaquin River below Friant Dam is degraded  
7     because of low flow, and discharges from agricultural areas and wastewater treatment plants. The  
8     current triennial review of the Water Quality Control Plan for the Sacramento and San Joaquin  
9     River Basins (Basin Plan) is anticipated to provide the regulatory guidance for Total Maximum  
10    Daily Load (TMDL) standards at locations along the San Joaquin River (Central Valley RWQCB  
11    2009b).

12    Water quality in Reach 1 is influenced by releases from Friant Dam, with minor contributions  
13    from agricultural and urban return flows. Water quality data collected from the San Joaquin  
14    River below Friant Dam demonstrate the generally high quality of water released at Friant Dam  
15    from Millerton Lake to Reach 1. Temperatures of San Joaquin River water releases to Reach 1  
16    are dependent on the cold-water volume available at Millerton Lake (Reclamation 2007). The  
17    reach from Gravelly Ford to the Mendota Pool (Reach 2) is frequently dry, except during flood  
18    releases at Friant Dam, because water released at Friant Dam is diverted upstream to satisfy water  
19    right agreements, or the water percolates to groundwater.

20    During the irrigation season, water released at Mendota Dam to Reach 3 generally has higher  
21    concentrations of total dissolved solids (TDS) than water in the upper reaches of the San Joaquin  
22    River. Increased electrical conductivity (salinity) and concentrations of total suspended solids  
23    demonstrate the effect of Delta contributions to San Joaquin River flows. Water temperatures  
24    below Mendota Dam are dependent on water temperatures of inflow from the Delta Mendota  
25    Canal and, occasionally, the Kings River system via James Bypass (Reclamation 2007).

26    Water quality criteria applicable to some beneficial uses are not currently met within Reaches 3  
27    and 4.

28    The Central Valley RWQCB is currently developing a Proposed Basin Plan Amendment to  
29    establish new salinity and boron water quality objectives in the lower San Joaquin River upstream  
30    from Vernalis, and a TMDL to implement the salinity and boron water quality objectives (Central  
31    Valley RWQCB 2009c). In addition to these water quality impairments, a TMDL and Basin Plan  
32    Amendment for organic enrichment and low dissolved oxygen (DO) in the Stockton Deepwater  
33    Ship Channel portion of the San Joaquin River were adopted. However, the Central Valley  
34    RWQCB has not adopted TMDL for DO for the entire San Joaquin River Basin.

35    Water quality in the Delta is highly variable temporally (timing) and spatially (location) and is a  
36    function of complex circulation patterns that are affected by inflows, pumping for Delta  
37    agricultural operations and exports, operation of flow control structures, and tidal action.

1    **3.6.5.1    Water Temperature**

2    Most fish maintain body temperatures that closely match their environment (Brown and Moyle  
3    1993, as cited in Reclamation and DWR 2011). As a result, water temperature has a strong  
4    influence on almost every fish life-history stage, including metabolism, growth and development,  
5    timing of life-history events, and susceptibility to disease. These effects may vary depending on  
6    a fish's prior thermal history (i.e., acclimation). Reduced growth, reduced reproductive success,  
7    inhibited movement, and mortality of fish can occur when water temperature exceeds the  
8    metabolic tolerance of a particular life stage (Hughes et al. 1978, Bjornn and Reiser 1991, as cited  
9    in Reclamation and DWR 2011).

10   In the San Joaquin River, water temperature is primarily a concern for native fish that thrive in  
11   cooler water, such as salmon, steelhead, and rainbow trout (Bjornn and Reiser 1991, as cited in  
12   Reclamation and DWR 2011), and for those species that require cooler water for specific life  
13   stages (Moyle 2002a as cited in Reclamation and DWR 2011). Summer water temperatures in  
14   many Central Valley streams regularly exceed 77°F (Moyle 2002a as cited in Reclamation and  
15   DWR 2011). Sustained periods of increased water temperature can impact behavioral and  
16   biological functions of all fish in the San Joaquin River system, including special status species  
17   and others that are relatively tolerant of warm temperatures.

18   **3.6.5.2    Suspended Sediment and Turbidity**

19   Suspended sediments such as clay, silt, organic matter, plankton and other microscopic organisms  
20   cause turbidity in water that can interfere with photosynthetic primary productivity, water  
21   temperature, dissolved oxygen (DO), and fish feeding habits. Turbidity generally reduces the  
22   efficiency of piscivorous (fish-eating) and planktivorous (plankton-eating) fish in finding and  
23   capturing their prey (Henley et al. 2000 as cited in Reclamation and DWR 2011). Higher  
24   turbidity may occasionally favor the survival of young fish by protecting them from predators  
25   (Burton 1985, Van Oosten 1945 as cited in Reclamation and DWR 2011) at the expense of  
26   reduced growth rates for sight-feeding fish (Newcombe and MacDonald 1991, Newcombe and  
27   Jensen 1996 as cited in Reclamation and DWR 2011).

28   The San Joaquin River downstream from Reach 5 has physical habitat and water quality  
29   conditions similar to those found in Reach 5, with increased flows provided by major tributaries,  
30   including the Merced, Tuolumne, Stanislaus, and Calaveras rivers. Water management in the San  
31   Joaquin River focuses on diversion of water out of streams and rivers into canals for agricultural  
32   use, with some of the applied water returned as agricultural drainage (Brown and May 2006, as  
33   cited in Reclamation and DWR 2011). Flood control levees closely border much of the river but  
34   are set back in places, creating some off-channel aquatic habitat areas when inundated.

1     **3.6.6     Air Quality**

2             •     **Air Basins for Sacramento River and San Joaquin River**

3     This section provides a description of the air basins in which the Proposed Action are located and  
4     a summary table of the Attainment Status within the air basin. Description of individual  
5     pollutants and the regulatory setting are found in the SJRRP PEIS/R and are incorporated by  
6     reference.

7     The Proposed Action is located within the Sacramento Valley Air Basin (SVAB) and San Joaquin  
8     Valley Air Basin (SJVAB). The watersheds for the potential donor stocks – Feather River, Deer,  
9     Mill, Butte, Clear, and Battle Creeks – are within the SVAB. Lastly, the Mokelumne River and  
10    the Restoration Area, which includes the San Joaquin River tributaries the Stanislaus, Tuolumne,  
11    and Merced Rivers, are within the SJVAB under the jurisdiction of the San Joaquin Valley Air  
12    Pollution Control District (APCD).

13    The SVAB consists of northern portion of the Central Valley of California. The SVAB contains  
14    all or part of 11 counties (Shasta, Tehama, Butte, Glenn, Colusa, Yuba, Sutter, Yolo, Placer,  
15    Sacramento, and eastern Solano). The basin is ringed by tall mountains with the Coast Range to  
16    the west, Cascade Range to the north, the Sierra Nevada to the east. Seasonally the winters in the  
17    SVAB are cool and wet with the summers being hot and dry.

18    The SJRRP Area is located in Fresno, Madera, and Merced counties, which are part of SJVAB.  
19    The SJVAB also comprises all of Kings, San Joaquin, Stanislaus, and Tulare counties and the  
20    valley portion of Kern County, including the Friant Division. The SJVAB occupies the southern  
21    half of the Central Valley. The SJVAB is a well-defined climatic region with distinct topographic  
22    features on three sides. The Coast Range is located on the western border of the SJVAB. The  
23    Tehachapi Mountains are located on the south side of the SJVAB. The Sierra Nevada forms the  
24    eastern border of the SJVAB. The northernmost portion of the SJVAB is San Joaquin County.  
25    No topographic feature delineates the northern edge of the basin. The SJVAB can be considered  
26    a “bowl” open only to the north and connected to the SVAB and San Francisco Air Basin.

27    Like the SVAB, the inland Mediterranean climate type of the SJVAB is characterized by hot, dry  
28    summers and cool, rainy winters. Table 3-14 summarizes the Attainment Status Designations for  
29    the counties of the two air basins.

30    **3.6.7     Climate Change**

31             •     **Climate Change and Greenhouse Gas Emissions**

32    Chapter 7 of the SJRRP PEIS/R describes the environmental setting for climate change and  
33    greenhouse gas (GHG) emissions. The discussion of climate change and the potential impacts of  
34    the program alternatives on climate change encompasses the San Joaquin River from Friant Dam  
35    to the Merced River (the Restoration Area), the San Joaquin River from the Merced River to the  
36    Sacramento-San Joaquin Delta, and the Sacramento-San Joaquin Delta.

1 Scientific evidence suggests that many climatic conditions are already changing and will continue  
2 to change in the future. Therefore, expected future climate changes that have the potential to  
3 affect implementation and performance of the SJRRP were also considered in the SJRRP PEIS/R.  
4 These included changes in snowpack and the timing and magnitude of snowmelt runoff and flood  
5 flows, which would in turn influence storage, delivery, and release actions. Furthermore, sea  
6 level rise could affect San Francisco Bay and conditions in the Sacramento-San Joaquin Delta.  
7 However, the considerations in the SJRRP PEIS/R were associated with future CVP/SWP  
8 operations.

9 The affected environment for climate change analysis is global, with State and local implications.  
10 The SJRRP PEIS/R discussion provided a background overview of global climate change (which  
11 has been incorporated by reference), and climate trends and associated impacts at the global and  
12 State levels are then described, followed by an overview of GHG emissions sources in California  
13 and in SJVAB.

14 • **Global Climate Trends and Associated Impacts**

15 The rate of increase in global average surface temperature over the last hundred years has not  
16 been consistent; the last three decades have warmed at a much faster rate – on average 0.32°F per  
17 decade. Eleven of the 12 years from 1995 to 2006, rank among the warmest years in the  
18 instrumental record of global average surface temperature (going back to 1850) (IPCC 2007a, as  
19 cited in Reclamation 2011).

20 During the same period over which this increased global warming has occurred, many other  
21 changes have occurred in other natural systems. Sea levels have risen on average 1.8 mm/year;  
22 precipitation patterns throughout the world have shifted, with some areas becoming wetter and  
23 other drier; tropical cyclone activity in the North Atlantic has increased; peak runoff timing of  
24 many glacial and snow-fed rivers has shifted earlier; as well as numerous other observed  
25 conditions. Though it is difficult to prove a definitive cause and effect relationship between  
26 global warming and other observed changes to natural systems, there is high confidence in the  
27 scientific community that these changes are a direct result of increased global temperatures (IPCC  
28 2007a, as cited in Reclamation and DWR 2011).

29 • **California Climate Trends and Associated Impacts**

30 Maximum (daytime) and minimum (nighttime) temperatures are increasing almost everywhere in  
31 California but at different rates. The annual minimum temperature averaged over all of  
32 California has increased 0.33°F per decade during the period 1920 to 2003, while the average  
33 annual maximum temperature has increased 0.1°F per decade (Moser et al. 2009, as cited in  
34 Reclamation and DWR 2011).

35 With respect to California's water resources, the most significant impacts of global warming have  
36 been changes to the water cycle and sea level rise. Over the past century, the precipitation mix  
37 between snow and rain has shifted in favor of more rainfall and less snow (Mote et al. 2005,

1

Table 3-14 Summary of Attainment Status Designations for the Sacramento Valley, San Joaquin Valley and Bay Area Air Basins

Pollutant	Averaging Time	Attainment Status
Ozone	1-hour	Nonattainment- Severe: San Joaquin Valley,  Serious: Yolo, Sacramento, Sutter Counties  Moderate: Butte, Colusa, Yuba, Glenn, Tehama, and Shasta Counties
	8-hour	-
Carbon Monoxide (CO)	1-hour	Attainment: Fresno, Stanislaus, San Joaquin, Sacramento, Napa, Yolo, Sutter, Butte Counties
	8-hour	Unclassified: Madera, Merced, Yuba, Colusa, Glenn, Tehama, and Shasta Counties
Nitrogen Dioxide (NO2)	Annual Arithmetic Mean	-
	1-hour	Attainment
Sulfur Dioxide (SO2)	Annual Arithmetic Mean	-
	24-hour	Attainment
	3-hour	-
	1-hour	Attainment
Respirable Particulate Matter (PM10)	Annual Arithmetic Mean	Nonattainment
	24-hour	
Fine Particulate Matter (PM2.5)	Annual Arithmetic Mean	Nonattainment: San Joaquin Valley, Sacramento, Butte, and Napa Counties.  Attainment: Sutter, Yuba, Colusa, and Shasta Counties.  Unclassified: Yolo, Glenn, and Tehama Counties
	24-hour	-
Lead	30-day Average	Attainment
	Calendar Quarter	-
Sulfates	24-hour	Attainment
Hydrogen Sulfide	1-hour	Unclassified
Vinyl Chloride	24-hour	Unclassified/ Attainment
Visibility Reducing Particle Matter	8-hour	Unclassified

2

Sources: ARB 2012, (SJVAPCD 2008b; ARB 2008c, 2008d; EPA 2008c) In PEIS/R 2011

1 Knowles 2006, as cited in Reclamation and DWR 2011) and snow pack in the Sierra Nevada is melting  
2 earlier in the spring (Kapnick and Hall 2009, as cited in Reclamation and DWR 2011). The average early  
3 spring snowpack in the Sierra Nevada has decreased by about 10 percent during the last century, a loss of  
4 1.5 million acre-feet of snowpack storage (DWR 2008, as cited in Reclamation and DWR 2011). These  
5 changes have significant implications for future water supply, flooding occurrences, aquatic ecosystems,  
6 energy generation, and recreation throughout the state. During the same period, sea levels along  
7 California's coast rose seven inches (DWR 2008, as cited in Reclamation and DWR 2011). Sea level rise  
8 associated with global warming will continue to threaten coastal lands and infrastructure, increase  
9 flooding at the mouths of rivers, place additional stress on levees in the Sacramento-San Joaquin Delta,  
10 and will intensify the difficulty of managing the Sacramento-San Joaquin Delta as the heart of the state's  
11 water supply system.

12 These trends in California's water supply could impact the SJRRP by further straining the scarce  
13 resources needed to implement appropriately-timed Restoration Flows, while balancing the need to  
14 irrigate cropland and supply drinking water to large numbers of Californians. Increased surface  
15 temperatures may affect stream quality for fish and their prey, changing the biological conditions under  
16 which the SJRRP operates. In addition, increased frequency and severity of flood events could negatively  
17 or positively impact fragile or restored areas such as gravel bars and riparian habitat by either breaking  
18 down gravel bars in one area and building up in another.

19       • **Greenhouse Gas Emissions Sources and Inventory**

20 Human activities contribute to climate in many ways, but primarily by causing changes in the atmospheric  
21 concentrations of GHGs and aerosols. The largest anthropogenic contribution to climate change is the  
22 burning of fossil fuels, which releases CO<sub>2</sub> and other GHGs to the atmosphere. Since the start of the  
23 industrial era (about 1750), the use of fossil fuels has increased through activities such as transportation,  
24 building heating and cooling, and the manufacture of cement and other goods. Land use changes, such as  
25 wide-scale deforestation, the use of fertilizers, and draining of wetlands also contribute to GHG emissions  
26 worldwide. The rate of increase in GHG concentrations has increased during the last century, with an  
27 increase of 70 percent between 1970 and 2004 alone (IPCC 2007a, as cited in Reclamation and DWR  
28 2011). During this period, the two largest sectors of GHG emissions were the energy supply (with an  
29 increase of over 145 percent) and transportation (with a growth of over 120 percent) sectors. The slowest  
30 growth during the 1970 to 2004 period was in the agricultural sector with 27 percent growth and the  
31 residential/commercial buildings sector at 26 percent (IPCC 2007b, as cited in Reclamation and DWR  
32 2011).

33 California is the 12th to 16th largest emitter of CO<sub>2</sub> in the world (CEC 2006, as cited in Reclamation and  
34 DWR 2011). In California, the transportation sector is the largest emitter of GHGs, followed by  
35 electricity generation (CEC 2006, as cited in Reclamation and DWR 2011). California produced 484  
36 million gross metric tons (mt) of CO<sub>2</sub> equivalent in 2004. Combustion of fossil fuel in the transportation  
37 sector was the single largest source of California's GHG emissions in 2004, accounting for 35 percent of  
38 total GHG emissions in the State (CEC 2006, as cited in Reclamation and DWR 2011). This sector was  
39 followed by the electric power sector (including both in-State and out-of-State sources) (22 percent) and  
40 the industrial sector (21 percent) (CEC 2006, as cited in Reclamation and DWR 2011). No GHG  
41 emissions inventory has been conducted for the SJVAB at this time.

1 **SECTION 4 ENVIRONMENTAL CONSEQUENCES**

2 **4.1 Introduction**

3 The environmental consequences of this action are related to potential impacts to salmonid populations  
4 within the Central Valley (Sacramento and San Joaquin river basins) and how an experimental population  
5 of spring run Chinook may affect aquatic species and human activities along the San Joaquin River and  
6 its tributaries. The proposed action does not involve construction, changes in water diversions or flows in  
7 the Sacramento or San Joaquin river basins, or other physical changes to the environment beyond those  
8 associated with the collection of donor stock and their eventual release to the San Joaquin River. Changes  
9 in San Joaquin River flows and related projects are evaluated in the SJRRP PEIS/R.

10 The analysis of the environmental consequences is organized starting with the No Action Alternative, and  
11 is followed with an analysis of the proposed Action Alternatives. The donor stock alternatives analyze  
12 the effects of collecting spring-run Chinook within the Sacramento River Basin for transfer to the San  
13 Joaquin River Basin. The effects of placing spring-run Chinook into the San Joaquin River Basin are  
14 analyzed in each of the Area Alternatives. The two Duration alternatives will be discussed separately  
15 following the discussion of the Area Alternatives.

16 ***NO ACTION ALTERNATIVE ANALYSIS***

17 **4.2 No Action Alternative**

18 Under this alternative the channel and habitat improvements proposed in the SJRRP would be carried out  
19 and fall-run Chinook would be reintroduced. However, there would be no collection of listed spring-run  
20 Chinook donor stock, no 10(j) designation of an experimental population, and spring-run Chinook would  
21 not be reintroduced intentionally to the San Joaquin River. Without the experimental population  
22 designation, there would be no special take exemptions established within the San Joaquin River basin,  
23 generally, nor for persons or entities diverting or receiving water pursuant to applicable State and Federal  
24 laws.

25 The No Action Alternative would result in no impact to the existing spring-run Chinook populations of  
26 the Sacramento River since there would be no collection of donor stock. There would be no on-going  
27 effort to restore the spring-run Chinook population to the San Joaquin River, which is an important  
28 element of the spring-run Chinook recovery plan and the Settlement. Since the terms of the Settlement,  
29 including requirements laid out in the Restoration Act, call for the restoration of the spring-run Chinook  
30 to a naturally self-sustaining level by 2025, this goal would not be fulfilled under the No Action  
31 Alternative.

32 While restoration of flows to the San Joaquin River make it possible that spring-run Chinook could  
33 potentially recolonize the San Joaquin River naturally, there is no evidence that such a volunteer  
34 population could meet either the terms of the Settlement or spring-run Chinook recovery objectives.  
35 Further, without the establishment of the NEP area and associated take exemptions, any spring-run

1 Chinook that did enter the San Joaquin River Basin would be protected under the existing ESA rules,  
2 potentially creating an unintended impact from the Settlement.

3 This impact would occur because persons or entities diverting or receiving water pursuant to applicable  
4 State and Federal laws could be impacted with ESA permitting requirements, since the current ESU 4(d)  
5 rule would apply for spring-run Chinook that naturally recolonize. There would likely be additional  
6 administrative and regulatory burdens to both individuals and the agencies as regulatory actions are taken  
7 on a case-by-case basis for actions that may adversely affect spring-run Chinook.

#### 8 **4.2.1 Federally Listed Species**

##### 9 **4.2.1.1 Central Valley Spring-run Chinook Salmon**

10 Under the No Action Alternative spring-run Chinook would not be released into the San Joaquin River as  
11 part of the SJRRP. A population of spring-run Chinook could only be re-established by volitional  
12 recolonization, after sufficient completion of SJRRP Restoration Goal actions such as modifications to  
13 conveyance structures and habitat conditions. Implementation actions to reintroduce spring-run Chinook  
14 to the Southern Sierra Nevada diversity group would need to be implemented in the Merced, Tuolumne,  
15 Stanislaus, or Mokelumne rivers in order to achieve the Draft Recovery Plan (NMFS, 2009a) objective of  
16 restoring two viable populations to this diversity group. With this alternative there would be no collection  
17 of fish from existing threatened donor stock populations, so there would be no potential impact from  
18 taking individuals from the populations. However, the limitation on re-establishing spring-run Chinook  
19 on the mainstem San Joaquin River through natural recolonization or on other tributaries would delay or  
20 prevent recovery of the species.

##### 21 **4.2.1.2 California Central Valley Steelhead**

22 California Central Valley steelhead (steelhead) occurs throughout the San Joaquin River basin, including  
23 its tributaries to the confluence with the Merced River (NMFS 2009). Under the No Action Alternative it  
24 is assumed that the SJRRP would proceed with restoration activities related to implementing restoration  
25 flows and removing barriers to fish migration. These actions would allow for the passage of fall-run  
26 Chinook and steelhead that already occur in the San Joaquin River basin.

27 Since these two species' habitat and food requirements are similar, any improvements made to the San  
28 Joaquin River such as those proposed in the SJRRP would also help increase steelhead distribution and  
29 abundance by enhancing habitat and food supply for most life stages. Please see the PEIS/R for further  
30 information on habitat improvements. Steelhead is federally listed as a threatened species. Therefore,  
31 steelhead already have regulations related to their protection, which are not altered by any of the  
32 alternatives, including the No Action Alternative.

33 The No Action Alternative would be beneficial to steelhead as an additional 153 miles of river and  
34 riparian habitat would become available for the species under the SJRRP. During salmon spawning,  
35 steelhead are known to eat loose salmon eggs. So as fall-run, and potentially eventually spring-run,

1 Chinook reestablish within the San Joaquin River, these eggs and salmon carcasses would provide  
2 additional nutrients to the local food web.

3 **4.2.1.3 Southern DPS of Green Sturgeon**

4 As noted in Section 3, there is an increased likelihood that green sturgeon is present in the San Joaquin  
5 River. If that is the case, like steelhead, green sturgeon are federally listed as threatened and have  
6 regulations related to their protection, which are not altered by the any of the alternatives including the No  
7 Action. The No Action alternative would be beneficial to green sturgeon as an additional 153 miles of  
8 river and riparian habitat would become available for the species over time.

9 **4.2.2 Fish**

10 The No Action Alternative does not fulfill requirements of the Settlement for the reintroduction of spring-  
11 run Chinook nor the conditions for that reintroduction specified in the Restoration Act, section 10011 (b)

12 Under the No Action Alternative, no eggs or juvenile spring-run Chinook would be collected. However,  
13 the improvement projects of the SJRRP could be carried out; therefore, existing barriers to salmon  
14 migration could be removed as part of the SJRRP. While it is expected that under improved conditions,  
15 some spring-run Chinook would find their way into the San Joaquin River, it is likely that there would be  
16 no large scale change from the existing fish populations, based on comparison of fish assemblages in the  
17 Merced, Tuolumne, and Stanislaus rivers (SJRRP PEIS/R 2012).

18 **4.2.3 Recreation**

19 **Fishing**

20 Under the No Action Alternative, the habitat improvements would occur; therefore, it is likely that with  
21 improved habitat, fish species that are currently present would increase and there would be a general  
22 increase in fishing opportunities and boating related activities. In addition, fall-run Chinook and  
23 steelhead could also gain access to the San Joaquin River above the Merced River. Current fishing  
24 regulations prohibit salmon fishing in the San Joaquin River upstream of Mossdale County Park. While  
25 DFW has had fishing regulations in place for the existing fish present in the San Joaquin River above the  
26 Merced River, as well as for salmon, there has been little reason to enforce any regulations for  
27 anadromous fish such as fall-run Chinook and steelhead without a connection to the sea. Even with  
28 enforcement of regulations for fall-run Chinook and steelhead, under the No Action Alternative, there  
29 would be less than significant impacts to recreational opportunities. There would be no change in the  
30 recreational fishery for Chinook salmon in the ocean as well as in the inland waters.

31 The reintroduction of fall-run Chinook salmon to the San Joaquin River would eliminate current trout  
32 planting in the San Joaquin River per FGC policy. While fishing for other species of fish would continue,  
33 the opportunity to fish for planted trout would end. This would occur with the reintroduction of fall-run  
34 Chinook salmon under the SJRRP, regardless of whether spring-run Chinook are reintroduced.  
35 Consequently, mitigation to offset any impacts is being implemented as a measure under the SJRRP

1 PEIS/R (REC-4), so there will be no impact to recreational fishing as a result of the No Action  
2 Alternative.

3 **Boating**

4 Under the No Action Alternative the improvements made to the San Joaquin River by the SJRRP would  
5 improve water flows thereby improving recreational boating opportunities.

6 **4.2.4 Commercial Fishing**

7 Under the No Action Alternative no eggs or fish would be collected from spring-run Chinook stocks and  
8 transported to the San Joaquin River. Commercial fishing of Chinook and other salmon off the coast of  
9 northern and central California would continue. The establishment of harvest rates for these fish would  
10 continue. There would be no contribution to the fishery of salmon produced from the Proposed Action.  
11 However, implementation of the SJRRP is expected to restore habitat and connectivity which will allow  
12 existing fall-run Chinook to access suitable spawning areas near Friant Dam, which may provide a small  
13 increase in salmon available to the fishery.

14 Current regulations for both recreational and commercial fisheries include restrictions of time, place, and  
15 gear that are intended to reduce the take of ESA listed and non-listed salmonids. These would remain  
16 unchanged.

17 **4.2.5 Land Use**

18 Under the no action alternative current land use activities could continue. With the SJRRP habitat  
19 improvements it is likely that spring-run Chinook and steelhead eventually would use the upper reaches  
20 of the San Joaquin River. As these fish are federally listed any take would be subject to the provisions of  
21 the 4(d) rules established under 70 FR 37160. There would be no regulatory relief for any taking of any  
22 naturally occurring spring-run Chinook.

23 **4.2.6 Hatchery Facilities**

24 Absent reintroduction of spring-run Chinook, the DFW Interim Facility could be used to support existing  
25 hatchery operations or activities related to the re-establishment of fall-run Chinook under the SJRRP.  
26 Although dependent upon the ultimate buildout and design, the conservation hatchery facility could serve  
27 the reintroduction for fall-run Chinook under the SJRRP, even if the spring-run Chinook reintroduction  
28 did not occur. Production actions at the FRFH would not change under the No Action Alternative, and  
29 the hatchery would not plan to produce fish for the SJRRP. Therefore, there would be no change to either  
30 the FRFH or the SJFH operations or the environment.

1    **4.2.7        Water quality**

2    Under the No Action Alternative there would be no changes to the current operations of the FRFH or the  
3    SJFH. Therefore there would be no change to water quality to either the Feather River or the San Joaquin  
4    River and no impact on water quality from this alternative.

5    **4.2.8        Air Quality**

6    Under the No Action Alternative spring-run Chinook donor stock would not be collected or transported to  
7    the San Joaquin River or used as broodstock at the conservation hatchery facility. Therefore under the No  
8    Action Alternative there would be no air emissions from vehicles used in collection and transportation  
9    any emissions related to the operation of the conservation hatchery are dependent on ultimate buildout  
10   and design of the facility. Without new emissions there would be no impacts to air quality.

11   **4.2.9        Climate Change**

12   Under the No Action Alternative there would be no change in greenhouse gas emissions. Therefore there  
13   would be no impact on climate change.

14                    ***ACTION ALTERNATIVES ANALYSIS***

15   The purpose of the Proposed Action is the reintroduction of spring-run Chinook to the San Joaquin River,  
16   with regulations that meet the requirements of the Restoration Act. In all Action Alternatives, this entails  
17   the collection, transport, and release of fish for the reintroduction, and development of regulations  
18   pursuant to ESA sections 10(j) and 4(d).

19   **4.3            Proposed Action/Reintroduction of Spring-run Chinook**

20   All of the Donor Stock Alternatives have as common activities the collection of spring-run Chinook used  
21   in the reintroduction effort and the transportation to a conservation hatchery facility or to the release point  
22   on the San Joaquin River. All of the environmental consequences related to the Donor Stock Alternatives  
23   are the same, except for the potential impact on spring-run Chinook. In this section, the potential impact  
24   of reintroduction of spring-run Chinook is analyzed and the potential effect of each different Donor Stock  
25   Alternative will be analyzed in section 4.4.

26   **4.3.1        Federally Listed Species**

27   **4.3.1.1      Central Valley Spring-run Chinook salmon**

28   Use of a conservation hatchery facility is proposed for the initial population development for  
29   reintroduction. Collections of donor stock will be used to produce broodstock in the conservation  
30   hatchery facility. As the broodstock mature, their eggs or young may be placed directly into the San  
31   Joaquin River, or retained in the conservation hatchery facility as broodstock. Individual spring-run  
32   Chinook would continue to be added to the broodstock from either the FRFH or wild populations.

1 Conservation Best Management Practices, as outlined in a NMFS approved Hatchery and Genetics  
2 Management Plan (HGMP) that is developed for the conservation hatchery facility would be used to  
3 make the appropriate crosses of available stocks. The Proposed Action could have a beneficial impact to  
4 the species by increasing the understanding of handling, transport and broodstock culture methods. The  
5 Proposed Action also could have a beneficial impact on spring-run Chinook by restoring a population to  
6 the Southern Sierra Nevada diversity group, to further the Draft Recovery Plan objectives for the species.

7 Spring-run Chinook reintroduced to the San Joaquin River will be imprinted on the San Joaquin River as  
8 their natal stream or through an imprinting procedure. Any fish produced through natural spawning in the  
9 San Joaquin River would also be imprinted to the river. It is possible that members of the reintroduced  
10 spring-run Chinook could stray into the Sacramento River or tributaries to the San Joaquin River. This is  
11 expected to be within natural straying rates. Because all donor stocks are from the Sacramento River  
12 populations, those strays would contribute, in a small way, to the abundance of those runs. Over time,  
13 evolutionary forces could favor certain genetic patterns in the reintroduction population that may be  
14 different from their Sacramento River ancestors. A natural level of straying to non-natal watersheds may  
15 enhance the species diversity and contribute to species recovery.

16 The collection of broodstock, fish, or eggs from wild populations from Clear, Butte, Deer, Mill, or Battle  
17 creeks would require additional evaluation pursuant to NEPA and ESA. Prior to any collection from the  
18 Feather River, or FRFH spring-run Chinook populations, an analysis would need to be completed to  
19 determine if the collection of fish would jeopardize the continued existence of the species. The use of a  
20 conservation hatchery facility will minimize the number of individuals collected from wild sources or  
21 from the FRFH. The facility's operations in accordance with the HGMP will ensure genetic diversity and  
22 minimal domestication effects.

23 Existing conditions on the San Joaquin River place a number of stressors on any potential reintroduction  
24 effort. These include water flows and the other physical conditions on the San Joaquin River. Increased  
25 water flows have been implemented through the Interim Flow Study, and while there is greater  
26 understanding as to how to manage the flows on the San Joaquin River, present channel capacity and  
27 seepage issues constrain flow levels below Restoration Flow levels. Physical constraints on the San  
28 Joaquin River such as road crossings, small dams, and flood control structures also provide barriers to  
29 migration and additional stressors on returning adults or outmigration juveniles. The SJRRP includes a  
30 variety of projects to improve the physical conditions of the San Joaquin River, as described in the SJRRP  
31 PEIS/R. Until the suite of projects analyzed is constructed, the physical environment, aside from water  
32 flows, will remain unchanged. Habitat and passage conditions are expected to improve over time as these  
33 projects are completed. Consequently, the likely survival of spring-run Chinook released to the San  
34 Joaquin River will be low initially, but will improve as habitat and conveyance projects are implemented.  
35 Even if expected survival in the river is low, the use of a conservation hatchery facility will prevent  
36 excessive collection from wild stocks, while providing larger numbers of individuals to offset losses.

37 The Restoration Act requires spring-run Chinook cannot be reintroduced to the San Joaquin River unless  
38 NMFS completes special rules to exempt these fish from particular classes of take, pursuant to section  
39 10(j) and 4(d) of the ESA. Such rules typically afford a lesser level of protection for the species than is

1 provided through ESA section 9 take prohibitions. If these rules were applied to existing threatened or  
2 endangered populations, the impact to those populations could potentially be significant. In the case of a  
3 population reestablished in historical range of the species, but where it no longer exists, there would be no  
4 adverse impact, because any fish produced from the reintroduction would be above and beyond  
5 abundance and productivity of the existing population. A reestablished population would also increase  
6 the spatial diversity for the species, providing greater resilience and a higher likelihood for survival and  
7 recovery of the species. This would be a beneficial impact to spring-run Chinook. These take  
8 exemptions will allow the reintroduction of spring-run Chinook to have minimal impact on the regulatory  
9 environment and will provide sufficient protection for spring-run Chinook so as to not adversely impact  
10 the ESU but instead will benefit the ESU because of greater numbers and distribution and increased  
11 genetic diversity.

12 The reintroduction of spring-run Chinook will require collection of some individuals from existing  
13 populations, but the FRFH has the ability to plan for and produce sufficient stock to allow for collection  
14 without impacting any existing stocks. Therefore the collection of spring-run Chinook for reintroduction  
15 could be done with no impact to the species' abundance. However, at a population level, the manner of  
16 selecting particular populations as donor stock has the potential for different impacts on spring-run  
17 Chinook, for genetic considerations and the abundance of individual populations, depending on the donor  
18 stock collection strategy. These potential impacts to spring-run Chinook are analyzed below in sections  
19 4.4.1 through 4.4.3

20 The Restoration Act requires that NMFS report to Congress on the success of the reintroduction in 2024.  
21 The ESA requires that NMFS conduct a status review every five years for all listed species under its  
22 responsibility. These requirements will ensure that NMFS is tracking the status of the reintroduced  
23 spring-run Chinook population and will develop information to assess the effectiveness of this rule, and if  
24 necessary, will trigger revision to the regulation through the rulemaking process. This will ensure that the  
25 reintroduction of spring-run Chinook to the San Joaquin River is providing for the conservation of the  
26 species as expected, and that the population is not essential to the continued survival of the species.  
27 These conditions are further assurance that the Proposed Action will have no impact on spring-run  
28 Chinook.

#### 29 **4.3.1.2 California Central Valley Steelhead**

30 Steelhead occurs throughout the San Joaquin River basin, including its tributaries downstream of the  
31 confluence with the Merced River (NMFS 2009). Spring-run Chinook and steelhead historically  
32 coexisted in both the Sacramento and San Joaquin River watersheds, and their habitat and food  
33 requirements are similar. Both species are sensitive to habitat degradation, increases in stream  
34 temperatures, and fish passage barriers (NMFS 2009).

35 Since these two species' habitat and food requirements are similar, any improvements made to the San  
36 Joaquin River such as those proposed in the SJRRP would also help increase steelhead distribution and  
37 abundance by enhancing habitat and food supply for most life stages. Please see the PEIS/R for further  
38 information on habitat improvements. Steelhead is federally listed as a threatened species. Therefore,

1 steelhead already have regulations related to their protection, which are not altered by the Proposed  
2 Action.

3 During salmon spawning, steelhead are known to eat loose salmon eggs. Once salmon are reestablished,  
4 these eggs and salmon carcasses would provide addition nutrients to the local food web. The proposed  
5 reintroductions of spring-run Chinook and subsequent reestablishment of fall-run Chinook could have a  
6 beneficial impact on steelhead within the San Joaquin River.

#### 7 **4.3.1.3 Southern DPS of Green Sturgeon**

8 As noted in Section 3, it is likely that green sturgeon is present in the San Joaquin River. If that is the  
9 case, like steelhead, green sturgeon are federally listed as threatened, and have regulations related to their  
10 protection, which would not be altered by any of the proposed alternatives. Within the Sacramento River  
11 basin, fall-run Chinook, spring-run Chinook, and green sturgeon coexist. There is no evidence to suggest  
12 that these species would not also coexist in the San Joaquin River. Thus, the proposed reintroduction of  
13 spring-run Chinook would not impact green sturgeon that may be within the San Joaquin River.

#### 14 **4.3.2 Fish**

15 The potential effects of reintroduction of spring-run Chinook on existing San Joaquin River fish species  
16 were assessed by evaluating the potential for reintroduced spring-run Chinook to cause changes in the  
17 way these species interact with their environment and with other species. These impacts were primarily  
18 considered in the Restoration Area and the San Joaquin River downstream from the Merced River  
19 confluence to the Sacramento San Joaquin Delta. The potential impacts that may affect biological  
20 interactions in the three major San Joaquin River tributaries (Merced, Tuolumne, and Stanislaus rivers)  
21 were also assessed for the Chinook salmon and steelhead populations that exist in those rivers.

22 A number of native fish species along with the spring-run Chinook were extirpated from the upper  
23 reaches of the San Joaquin River. With the return of flows and restoration of habitat it is anticipated that  
24 in subsequent years fish would again use the San Joaquin River. The reintroduction of spring-run  
25 Chinook is not expected to change the balance of fish populations in the San Joaquin River basin, such as  
26 shifting to a higher percentage of predatory fish. A return of spring-run Chinook would bring nutrients to  
27 the river that will enhance the aquatic food web, and consequently could improve food availability for all  
28 fish species. Thus, the reintroduction of spring-run Chinook would have no impact or a beneficial impact,  
29 on fish assemblages in the San Joaquin River.

30 *Hybridization.* The spawning periods of spring-run and fall-run Chinook in the Central Valley typically  
31 overlap during October, during which hybridization between reintroduced spring-run Chinook and San  
32 Joaquin River basin fall-run Chinook could occur in the Restoration Area. At present, there is no specific  
33 information on how salmon will use the spawning areas below Friant Dam. The SJRRP includes the  
34 potential for continued operation of temporary fish barrier(s) to seasonally restrict access by fall-run  
35 Chinook to the San Joaquin River in the Restoration Area to prevent hybridization with spring-run  
36 Chinook, if necessary (Reclamation and DWR 2012). Therefore, should hybridization become an issue in

1 the future, the SJRRP includes mechanisms to prevent hybridization, and therefore there will be no  
2 impact.

3 *Predation.* The assessment in the SJRRP PEIS/R of predation-related impacts evaluated the potential for  
4 the SJRRP to modify environmental conditions that could increase or decrease the vulnerability of  
5 special-status fishes, particularly egg, larval, and juvenile life stages, to predation by piscivorous fish.  
6 Fish assemblages on the tributary rivers to the San Joaquin River are similar to those found in the  
7 Restoration Area, except that Chinook salmon and steelhead are absent from the Restoration Area. The  
8 reintroduction of spring-run Chinook is not expected to change these assemblages, so predation rates  
9 would not be changed. The reintroduction of Chinook salmon, regardless of the run, will bring marine-  
10 derived nutrients into the system which would increase productivity of all aquatic species, with no  
11 expectation that it would differentially affect predatory species. Thus there would be no impact on  
12 predation due to the reintroduction of spring-run Chinook.

13 *Competition.* Potential fisheries impacts related to competition were assessed by evaluating the potential  
14 that the habitat improvements made by the SJRRP could increase or decrease competitive interactions  
15 among the representative fish species. The assessment in the SJRRP PEIS/R was qualitative, based on  
16 potential changes in competition that could result from altered distribution, abundance, and behavior of all  
17 fishes in the San Joaquin River, as well as potential changes in other environmental conditions such as  
18 habitat quantity and quality, food resources, and water temperature that can affect competitive  
19 interactions. Water diversions that alter the abundance or proportion of nonnative fish species relative to  
20 native species may also increase the potential for competition in aquatic systems.

21 Some nonnative fish species have habitat requirements that overlap with those of native special-status  
22 species. Nonnative species may be more aggressive and territorial than native species and result in the  
23 exclusion of native species from their habitats. Many nonnative species, such as green sunfish, also  
24 tolerate very high water temperatures and are better able than native fishes to persist in water with low  
25 DO, high turbidity, and pollutants (Moyle 2002a, as cited in Reclamation and DWR 2011). Green sunfish  
26 are among the nonnative species that currently occur at relatively high abundance in the Restoration Area  
27 (DFG 2007, as cited in Reclamation DWR 2011).

28 The predicted flow increases in the San Joaquin River from the Merced River confluence to the Delta  
29 resulting from the release of both Interim and Restoration flows would increase the amount of in stream  
30 habitat available to the representative species, and could reduce interspecific (between species) and  
31 intraspecific (within species) competition, especially during spring, when modeled flow increases are  
32 largest and migrating juvenile fall-run Chinook and steelhead are most abundant in this section of the  
33 river. Therefore based on the findings of the SJRRP PEIS/R the potential impacts from either an increase  
34 or a decrease in competition are not significant, and would not be changed by the reintroduction of spring-  
35 run Chinook.

36 *Disease.* Potential fisheries impacts resulting from disease were assessed by evaluating the potential  
37 impacts of the Proposed Action on environmental conditions that could increase or decrease the incidence  
38 and impacts of disease on the representative fish species.

1 The assessment was qualitative, based on potential changes in disease transmission vectors, virulence, and  
2 fish susceptibility that could result from altered distribution, abundance, and behavior of all fishes in the  
3 San Joaquin River. This assessment was also based on potential changes in other environmental  
4 conditions, such as habitat quantity and quality, pollutants, and water temperature that can affect disease  
5 transmission and the impacts of disease on the representative fish species.

6 The improved aquatic habitat conditions created through the implementation of the SJRRP would provide  
7 access to the Restoration Area by fishes currently restricted to downstream portions of the San Joaquin  
8 River, including San Joaquin River basin fall-run Chinook and steelhead. Restored habitat connectivity  
9 could increase the potential for disease transmission among formerly isolated populations, including the  
10 hatchery-supplemented resident rainbow trout in Reach 1 of the Restoration Area, and the Central Valley  
11 steelhead that occupy the lower San Joaquin River and tributaries. The parasite *Myxobolus cerebralis*,  
12 which causes whirling disease in salmonids, including rainbow trout, steelhead, and Chinook salmon,  
13 poses a risk to salmonid populations in the San Joaquin River. This parasite relies on tubifex worms  
14 (*Tubifex tubifex*) as an intermediate host (Bergersen and Anderson 1997, cited in Reclamation and DWR  
15 2011), and is a concern for the San Joaquin River because there is a tubifex worm farm located in Reach  
16 1A (Jones and Stokes 2002, cited in Reclamation and DWR 2011). However, the tubifex worm farm has  
17 been at its current location for more than 20 years and in that time no incidents of parasitic transmission  
18 has been recorded in the rainbow trout found in the area of the farm. Therefore, the potential for the  
19 transmission of this disease is considered low and the potential impacts not significant to either the  
20 current fish populations or to the proposed reintroduced spring-run Chinook.

21 Since spring-run Chinook must be translocated from outside of the San Joaquin River basin, there is the  
22 potential for eggs or fish being translocated into the San Joaquin River to increase the potential for  
23 disease transmission. Translocation of eggs or fish would be subject to section 10(a)(1)(A) permitting,  
24 which will require disease mitigation. Also the 10(a)(1)(A) issued in 2012 includes HGMP protocols for  
25 disease management. Therefore there will be no disease impacts from the Proposed Action.

### 26 4.3.3 Recreation

#### 27 Fishing

28 The SJRRP PEIS/R includes analysis of recreational fishing impacts that is relevant to the impacts  
29 analyzed in this EA and is incorporated by reference. The SJRRP PEIS/R identified potential impacts to  
30 recreational opportunities associated with the construction projects and improved water flows. Some of  
31 these did not have any impacts or generated beneficial effects. In addition to the construction projects the  
32 SJRRP PEIS/R also identified that the reintroduction of either spring-run or fall-run Chinook could have a  
33 potentially significant impact to recreational opportunities related to angling opportunities due to  
34 cessation of stocking of rainbow trout by DFW in Reach 1 and the implementation of new fishing  
35 restrictions. While fishing for other species of fish would continue, the opportunity to fish for planted  
36 trout would end. This would occur with the reintroduction of fall-run Chinook salmon under the SJRRP,  
37 regardless of whether spring-run Chinook are reintroduced. Consequently, mitigation to offset any  
38 impacts is being implemented as a measure under the SJRRP PEIS/R (REC-4) that would reduce these

1 potential impacts to a less than significant level, so there will be no impact to recreational fishing as a  
2 result of the Proposed Action.

3 The reintroduction of spring-run Chinook *per se* does not change recreational fishing regulations. These  
4 are controlled by California Fish and Game Commission (FGC). The proposed rule would accommodate  
5 take considerations associated with regulated fishing when fishing regulations are developed. Currently  
6 DFW has harvest protective measures benefiting spring-run Chinook. These include seasonal constraints  
7 on sport and commercial fisheries south of Point Arena. Most Central Valley salmon bearing streams,  
8 including the San Joaquin River are subject to regulation to protect Chinook salmon during spawning.  
9 California fishing regulations in anadromous waters typically include bag and seasonal restrictions to  
10 protect anadromous salmonids, but fishing is not prohibited.

11 In addition, the State has listed spring-run Chinook under the California Endangered Species Act (CESA),  
12 and has thus established specific in-river fishing regulations and no-retention prohibitions designed to  
13 protect this ESU (e.g., fishing method restrictions, gear restrictions, bait limitations, seasonal closures,  
14 and zero bag limits), particularly in primary tributaries such as Deer, Big Chico, Mill, and Butte Creeks,  
15 which support spring-run Chinook.

## 16 **Boating**

17 The reintroduction of spring-run Chinook would not have any impact on boating opportunities on the San  
18 Joaquin River. The improvements to water flows that would benefit the reintroduction will also benefit  
19 boaters, by providing additional locations where they can use their boats.

### 20 **4.3.4 Commercial Fishing**

21 There are no significant adverse impacts to commercial fishing from the reintroduction of spring-run  
22 Chinook. Spring-run Chinook is a small percentage of the commercial harvest. Collections from donor  
23 stocks will have no impact because of the small number collected.

24 Under this alternative, the placement of spring-run Chinook in the San Joaquin River would not have an  
25 immediate impact on the commercial fishing of Chinook and other salmon. Harvest rates would still be  
26 established and would in the short-term limit the take of spring-run Chinook based on ESU conditions.  
27 Likewise, in the short-term there would be no change to management of the recreational salmon fishery,  
28 which is currently closed to angling on the San Joaquin River. However, implementation of the SJRRP is  
29 expected to restore habitat and connectivity which will allow existing fall-run Chinook to access suitable  
30 spawning areas near Friant Dam, which may provide a small increase in salmon available to the ocean  
31 fishery. In the long-term, with the restoration of spring-run and fall-run Chinook it is possible that the  
32 increased size of Chinook salmon runs would translate to improved commercial fishing.

1 Therefore, there would be no short-term significant adverse impacts to commercial fishing. In the long-  
2 term there are potential beneficial impacts to commercial fishing.

### 3 **4.3.5 Land Use**

#### 4 **Agricultural Resources and Forestry**

5 The Restoration Act requires that reintroduction of spring-run Chinook to the San Joaquin River shall be  
6 done only pursuant to section 10(j) of the ESA with special provisions under ESA section 4(d). Within  
7 the NEP area, NMFS's proposed 4(d) rule would provide coverage for take that occurs incidental to  
8 otherwise lawful activities. To the extent the 4(d) rule applies outside of the NEP, the rule protects  
9 agricultural and forestry resources by ensuring no more than de minimus: water supply reductions,  
10 additional storage releases or bypass flows on unwilling third parties. These take exemptions will allow  
11 the reintroduction of spring-run Chinook to have little to no impact on agricultural and forestry activities.

12 Future donor stock could be collected from rivers and tributaries that cross a variety of landscapes from  
13 valley floor to steep mountain canyons. The specific collection locations will be identified in the  
14 individual 10(a)(1)(A) permits that are required. The proposed action creates no obligation for access to  
15 private property. Any collecting sites which would require crossing privately held land, would require  
16 voluntary access permission from private landowners. As noted, the individual 10(a)(1)(A) permits  
17 would need to identify collection locations and would need to also identify specific measures to reduce  
18 environmental impacts. Should collection activities occur either on private lands, or access to collecting  
19 areas crosses private land, the 10(a)(1)(A) permit would include the requirement that permission of the  
20 land owners and a discussion of what is required to access the collecting area and identification of any  
21 environmental effects. Having permission to access private land, prior to the issuance of the 10(a)(1)(A)  
22 will reduce potential impacts to a non-significant level.

### 23 **4.3.6 Water Quality**

24 The operations of any of the Sacramento River Basin hatcheries would not change with the reintroduction  
25 of spring-run Chinook to the San Joaquin River and would remain subject to current waste water  
26 discharge permits. Collection of eggs or juveniles would be subject to analysis of water quality during  
27 the 10(a)(1)(A) permitting process, therefore the proposed collection of eggs would not affect the water  
28 quality within the Sacramento River Basin.

29 With the exception of occasional low dissolved oxygen levels in the discharge from the SJFH, there are  
30 no water quality issues along Reach 1 of the San Joaquin River where the Interim Facility is located and  
31 the subsequent conservation hatchery facility will be located. As discussed in the 2010 Hatchery and  
32 Stocking Program EIR/EIS (Hatchery EIR/EIS) prepared for all of DFW's hatchery operations, the  
33 discharge of lowest DO level detected of 6.4 mg/L is not optimal for coldwater fish conditions, but is not  
34 a significant impact (ICF Jones & Stokes 2010). The analyses of the Hatchery EIR/EIS are incorporated  
35 by reference into this document. Operations of the subsequent conservation hatchery facility would  
36 require discharge permits that require monitoring and reporting to assure that discharged water would not

1 impact water quality of the San Joaquin River. The discharge permit conditions established for the  
2 hatchery activities would require that discharges from either facility will not adversely affect ambient  
3 water quality. Any variance in the discharge from those levels established by the permit would have to be  
4 addressed by the hatcheries and confirmed by the State of California Regional Water Quality Control  
5 Board. Therefore, this alternative would not have a significant effect on water quality.

#### 6 **4.3.7 Air Quality**

7 This analysis considers the potential impact of the general activities related to the reintroduction of  
8 spring-run Chinook on air quality. The specific details of collection, handling and transportation, and the  
9 potential impacts on spring-run Chinook will be specified and analyzed in the 10(a)(1)(A) permit process.

10 The reintroduction of spring-run Chinook would generate air emissions from vehicles used to collect and  
11 transport fish (or eggs) and from operation of the Interim Facility and later the conservation hatchery  
12 facility. Existing facilities would be used until the conservation hatchery is built by the State of  
13 California, for which a separate environmental analysis would be done. The operational emissions  
14 associated with the reintroduction process would be emissions from electrical power generation, which  
15 are anticipated to be less than significant. Other operational emissions would air emissions from vehicles  
16 used to collect and transport fish (or eggs), first to a holding area, then to the conservation hatchery  
17 facility. However, given that there would only be a small number of trips (i.e. less than 100 trips per  
18 year) to collect and transport the collected fish or eggs the resulting emissions would have no significant  
19 impacts to air quality.

#### 20 **4.3.8 Climate Change**

##### 21 **Mandatory GHG Reporting Rule**

22 On September 22, 2009, EPA released its final Greenhouse Gas Reporting Rule (Reporting Rule). The  
23 Reporting Rule is a response to the fiscal year (FY) 2008 Consolidated Appropriations Act (House of  
24 Representatives 2764; Public Law 110-161), that required EPA to develop "... mandatory reporting of  
25 GHGs above appropriate thresholds in all sectors of the economy...." The Reporting Rule would apply to  
26 most entities that emit 25,000 mtCO<sub>2</sub>e (metric tonne CO<sub>2</sub> emissions) or more per year. Starting in 2010,  
27 facility owners are required to submit an annual GHG emissions report with detailed calculations of  
28 facility GHG emissions. The Reporting Rule would also mandate recordkeeping and administrative  
29 requirements in order for EPA to verify annual GHG emissions reports. As shown in Table 4-3, the  
30 amount of CO<sub>2</sub> generated by the transportation of fish over a five-year term would be approximately  
31 5/10ths of one percent of the yearly reporting level of 25,000 mtCO<sub>2</sub>e. Even adding the CO<sub>2</sub> emitted by  
32 electrical generation used in the operations of the hatcheries would not bring the amount of greenhouse  
33 gas emitted near the yearly threshold. Since the emissions of GHGs for the Proposed Action would be  
34 substantially lower than the 25,000 mtCO<sub>2</sub>e reporting threshold, the impacts to Climate Change from  
35 GHG emissions of the Proposed Action would be less than significant.

1 The analysis of potential cumulative impacts from Climate Change to the area of the Proposed Action is  
 2 presented in Section 5 Cumulative Impacts.

3 Table 4-3 Calculated CO2 emissions for transportation of fish between various locations

Trip	mtCO2e per trip	Number of trips per year	Total mtCO2e per year	Total mtCO2e for 5 years
FRFH to Silverado	0.178	48	8.583	42.913
Silverado to SJFH	0.271	48	13.030	65.152
FRFH to SJFH	0.311	4	1.242	6.212
Total	0.760	100	32.451	114.277
Percentage of 25,000 mtCO2e threshold			0.13%	0.46%

4 Calculation based on the following: Mileage (determined by Google Maps):  
 5 FRFH to Silverado Fisheries Base = 137 miles:  
 6 Silverado Fisheries Base to SJFH = 208 miles  
 7 FRRH to SJFH = 238 miles  
 8 CO2 emissions 10180 grams per gallon of diesel fuel (source EPA 2011)  
 9 Fuel usage mile/gallon: 7.8 (personal com. Scott Hamelberg, Coleman National Fish Hatchery Complex 2012)

10 ***DONOR STOCK ALTERNATIVES ANALYSIS***

11 **4.4 Donor Stock Alternatives Introduction**

12 The specific actions of collection of broodstock, fish, or eggs from wild populations from Clear, Butte,  
 13 Deer, Mill, or Battle creeks would require additional evaluation pursuant to NEPA and ESA, including  
 14 issuance of 10(a)(1)(A) permits. Prior to any collection from the Feather River, or FRFH spring-run  
 15 Chinook populations, an analysis would need to be completed to determine if the collection of fish would  
 16 jeopardize the continued existence of the species. The use of a conservation hatchery facility will  
 17 minimize the number of individuals collected from wild sources or from the FRFH. The facility’s  
 18 operations in accordance with the HGMP will ensure genetic diversity and minimal domestication effects.  
 19 The below analysis is a general analysis of the potential sources of donor stock. Detailed analysis of  
 20 future 10(a)(1)(A) permits for collection of the source stocks would need to be conducted prior to  
 21 issuance of any 10(a)(1)(A) permits.

22 The environmental consequences of the Donor Stock alternatives on all resources except Central Valley  
 23 spring-run Chinook salmon are the same as the impacts described above for the reintroduction of spring-  
 24 run Chinook/proposed action. The impacts to Central Valley spring-run Chinook salmon are analyzed  
 25 below. Please refer to the analysis of the reintroduction of spring-run Chinook/proposed action for  
 26 impacts to the other resources.

27 The environmental consequences of any of the Donor Stock alternatives are the same for all resource  
 28 areas as for reintroduction of spring-run Chinook, except in the resource area of Federally Listed Species,  
 29 Central Valley spring-run Chinook salmon. These are described here.

1    **4.4.1       All Source Donor Stock Alternative (preferred alternative)**

2    **Central Valley Spring-run Chinook Salmon**

3    Under this Alternative, collections would be made from the range of existing spring-run Chinook  
4    populations. This provides for the greatest genetic diversity for the founding stock, and consequently the  
5    greatest likelihood for successful reintroduction.

6    Under the All Source Donor Alternative, FRFH would plan to produce sufficient fish to allow for eggs or  
7    juveniles to be consistently collected, providing a consistent source of fish for broodstock or direct release  
8    with no impact on the source population. The proportion of FRFH eggs or juveniles is expected to  
9    decline as broodstock from the other sources develops. Stock would be collected from other sources such  
10   as Deer, Mill, Butte, Clear and Battle Creeks or the Feather River, depending on the conditions and  
11   population status of each run. This means that in some years fish might be collected from all the locations  
12   (for example: 10 fish from Mill Creek, 5 fish from Deer, 50 from Butte and so on). In other years,  
13   collections may be made from only one source. The specifics of these collections will be managed  
14   through section 10(a)(1)(A) permitting. The use of the conservation hatchery facilities will multiply the  
15   number of fish that could be introduced into the San Joaquin River while minimizing the number required  
16   from wild donor stocks. Any request to collect stock from any donor source will require submission and  
17   approval of a 10(a)(1)(A) permit and subsequent environmental impact analysis and ESA section 7  
18   consultation. During the initial phase the San Joaquin River habitat conditions will also improve for  
19   salmon as habitat projects are completed. While early population levels are expected to be small, with  
20   improved habitat, the fish generated and released from the broodstock or released directly to the river will  
21   have an increased likelihood of survival.

22   Using a conservative approach where fish from donor stock would only be collected when a hatchery has  
23   planned to have sufficient stock available (as would be the case at the FRFH), or when the removal of a  
24   limited number of individuals from a donor stock population can be shown not to result in a negative  
25   impact to existing spring-run Chinook, beneficial impacts from this approach would result in providing  
26   genetic diversity to the San Joaquin spring-run Chinook population. This would furthermore increase the  
27   likelihood for successful reintroduction of spring-run Chinook. It is anticipated that collection of fish  
28   would cease when sufficiently diverse broodstock is established.

29   The All Donor Stock Source Alternative would have a beneficial impact on spring-run Chinook salmon  
30   by providing the highest probability of success of the reintroduction owing to high genetic diversity in the  
31   founding stock. This beneficial impact is based on the premise that collections will be made under a  
32   10(a)(1)(A) permit.

1    **4.4.2        Feather River Hatchery Only Donor Stock Source Alternative**

2    **Central Valley Spring-run Chinook Salmon**

3    Under the Feather River Hatchery Only Donor Stock Source Alternative, collection of donor stock would  
4    come only from the FRFH. The hatchery would plan to produce sufficient fish to allow for fish for the  
5    SJRRP. This alternative would provide a consistent source of fish for reintroduction to the San Joaquin  
6    River without adversely affecting the threatened donor populations. These fish from the FRFH will not  
7    detract from any of the populations, including the FRFH target numbers, and will still provide fish for the  
8    reintroduction process. Until the habitat improvement projects are completed, in river survival is  
9    expected to be low, except in wet years. Any survival for these fish would have a net gain for the species.  
10   Using fish that have been purposefully designated for the SJRRP would allow for the SJRRP to satisfy the  
11   Settlement, without negatively impacting the donor population, but also providing recovery actions for  
12   spring-run Chinook.

13   As discussed in the Stock Selection Strategy (SJRRP 2010), the long-term use of FRFH stock could result  
14   in fish which have genetic traits of both spring-run and fall-run Chinook. In other fisheries where only  
15   hatchery fish have been used there has been a reduction in the genetic vigor. Genetic analysis of FRFH  
16   spring-run Chinook has shown evidence of hybridization between spring-run and fall-run hatchery stocks.  
17   The FRFH is addressing these problems, but the use of FRFH stock could result in fish being  
18   reintroduced to the San Joaquin River with genetics of both spring-run and fall-run Chinook. It is  
19   uncertain if this combination of parental stock would be successful in the San Joaquin River. The use of  
20   FRFH stock would offer limited genetic diversity as a founding stock of spring-run Chinook. Conditions  
21   in a restored San Joaquin River will be different than the Feather River would, particularly with expected  
22   warmer temperatures.

23   The use of FRFH fish only would have no significant adverse impacts to the other spring-run Chinook  
24   populations. It is not the preferred alternative, because these fish may have compromised genetics for  
25   spring-run Chinook, and lower overall genetic diversity.

26   **4.4.3        Single Source Alternative**

27   **Central Valley Spring-run Chinook Salmon**

28   Under this Alternative, fish would be collected from just one of the non-hatchery influenced watersheds.  
29   Based on the analysis presented in the Stock Selection Strategy, Butte Creek is only population that  
30   currently has sufficient abundance and productivity to be considered as a single source.

31   However, even with the strongest population run, Butte Creek stocks are threatened and are in a trend of  
32   decline (NMFS 2011). For the development of broodstock, the Stock Selection Strategy proposed the  
33   representation of 50 males and 50 females in the collection. The likely effect to the Butte Creek  
34   population resulting from removal of this number of fish, in some years would have no appreciable effect  
35   on the population, but in other years this would be a major reduction in the population. Table 3-4 shows

1 that the removal of 100 fish in 2010 would have been more than 8.5 percent of the returning population.  
2 In contrast, in 2006 it would represent less than 1/100,000 or 0.01 percent of the population. Collection  
3 of fish at other life stages (e.g. juveniles) could reduce this impact, but in some years the effect could still  
4 be significant. The Stock Selection Strategy specifically outlines that a genetic compliment of all runs  
5 should ultimately be used for reintroduction to the San Joaquin River. The Stock Selection Strategy  
6 approach is that with greater genetic diversity there is a higher likelihood for the reintroduced fish to  
7 adapt to the San Joaquin River, and thus a more probable success in the reintroduction (SJRRP 2010).  
8 Using only Butte Creek fish, like the use of only FRFH fish, does not provide the genetic diversity for the  
9 best chance for reintroduction to be successful. Unlike FRFH only alternative, using a single source from  
10 a wild stock would be a less reliable source of fish because of natural fluctuations in abundance. This  
11 alternative has potential negative effects on the threatened donor population and variable availability of  
12 donor stock.

## 13 **NEP AREA ALTERNATIVES ANALYSIS**

### 14 **4.5 Area Alternative 1**

15 For this alternative the NEP area includes the majority of the San Joaquin River basin including the main  
16 stem of the San Joaquin River from below Friant dam to Mossdale Park, the Merced River below the  
17 Merced Falls, the Tuolumne River below the La Grange Dam and the Stanislaus River below the  
18 Goodwin Dam (Figure 2-1). Within the NEP area, take exemptions for spring-run Chinook would cover  
19 all take that occurs in the course of otherwise lawful activities. Direct take is prohibited. Take for  
20 research and scientific purposes may be permitted. Adipose fin-clipped fish are included in the limited  
21 take prohibitions.

22 Outside of the NEP area on the San Joaquin River and its tributaries from the confluence of the Merced  
23 River to Mossdale County Park, take of Central Valley spring-run Chinook salmon would be exempted  
24 for third parties engaged in otherwise lawful activities relating to diverting or receiving water pursuant to  
25 applicable State and Federal laws, so that the reintroduction will not impose more than *de minimus*: water  
26 supply reductions, additional storage releases, or bypass flows on unwilling third parties. For the CVP  
27 and SWP facilities in the south Delta take would be exempted for spring-run Chinook reintroduced to the  
28 San Joaquin River. The calculation to discount the contribution of these fish to existing Incidental Take  
29 authorization for spring-run Chinook would be defined by NMFS in an annual technical memorandum.

#### 30 **4.5.1 Federally Listed Species**

##### 31 **4.5.1.1 Central Valley Spring-run Chinook Salmon**

32 The environmental consequences of implementing Area Alternative 1 on spring-run Chinook are the same  
33 as for the reintroduction of spring-run Chinook described in section 4.3.1.1.1, except that these fish  
34 reintroduced to the San Joaquin River would have less protection from take as identified by the ESA  
35 section 4(d) provisions described in the proposed rule than under the existing 4(d) rule. Within the  
36 Restoration Area and associated waterways, the take exemptions for spring-run Chinook would be

1 reduced from current protections already afforded, and spring-run Chinook could be incidentally taken as  
2 a result of otherwise lawful activities. This could encompass a variety of activities otherwise classified as  
3 “harm”, and direct losses such as entrainment at authorized water diversions. This broad regulatory  
4 exemption could be a negative impact on spring-run Chinook. For extant populations of spring-run  
5 Chinook, these conditions would be considered an adverse impact. For the proposed reintroduced  
6 population, these fish would not otherwise exist, at the numbers proposed, in the near future without  
7 implementation of the SJRRP and the Proposed Action. The authorization for collection of fish from  
8 donor populations would be done with the awareness that some of the fish collected would die, and that  
9 some of the fish released to the river would also die, and the permits would be conditioned appropriately.  
10 Use of a conservation hatchery facility will allow the production of fish to be released to the river at a  
11 level that accounts for potential losses from the allowed incidental take, and that provides for sufficient  
12 survival to re-establish a naturally self-sustaining population. Any fish lost to these relaxed regulatory  
13 conditions associated with the reintroduction would not otherwise exist to contribute to the species.

14 Under the existing 4(d) rule, within the ESU hatchery produced adipose fin-clipped fish are not protected  
15 (70 FR 37204) because the purpose of these hatcheries is mitigating production lost to fisheries by dams  
16 and other water projects. Contrasted to other hatcheries the fish produced in the conservation hatchery  
17 facility are produced for reintroduction. Conservation hatchery facility produced adipose fin-clipped fish  
18 would be included within the 4(d) provisions associated with the NEP area and would receive some  
19 addition level of protection.

20 At the time spring-run Chinook was listed as a threatened species, (see 70 FR 37160) available evidence  
21 suggested spring-run Chinook did not occur in the San Joaquin River Basin. Based on this rationale the  
22 NEP area could be implemented to include tributaries to the San Joaquin River. However, recent  
23 observations indicate that spring-running Chinook are present in the tributaries. At this time, it is not  
24 clear as to their origin, but if assumed to be spring-run Chinook, then inclusion of the tributaries in the  
25 NEP designation is not valid (50 CFR 17.80). The spring-running Chinook now in the tributaries could  
26 have protection from take under the existing 4(d) rule even if they are not within in the boundaries of the  
27 ESU. The status quo for the area south of designated ESU has been identified as not having a spring-run  
28 Chinook population since it was extirpated years ago. As such, there has been no enforcement and only  
29 recent monitoring of these rivers at times when spring-run Chinook may occur.

30 If these spring-running Chinook salmon are in fact genetically Central Valley spring-run Chinook of wild  
31 origin, take of these fish would be covered by the existing 4(d) provisions for the ESU. Under this  
32 alternative the take exemptions for spring-run Chinook would be reduced from current protections already  
33 afforded, and would exempt take that occurred as a result of any otherwise legal activity. This broad  
34 regulatory exemption could be a negative impact on spring-run Chinook.

35 However, the presence of spring-run Chinook in the tributaries would conflict with the geographic criteria  
36 for establishing the NEP.

1 **4.5.1.2 California Central Valley Steelhead**

2 Steelhead occurs throughout the San Joaquin River basin, including its tributaries downstream of the  
3 confluence with the Merced River (NMFS 2009). Spring-run Chinook and steelhead historically  
4 coexisted in both the Sacramento and San Joaquin River watersheds, and their habitat and food  
5 requirements are similar. Both species are sensitive to habitat degradation, increases in stream  
6 temperatures, and fish passage barriers (NMFS 2009).

7 Since these two species' habitat and food requirements are similar, any improvements made to the San  
8 Joaquin River such as those proposed in the SJRRP would also help increase steelhead distribution and  
9 abundance by enhancing habitat and food supply for most life stages. Please see the PEIS/R for further  
10 information on habitat improvements. Steelhead is federally listed as a threatened species. Therefore,  
11 steelhead already has regulations related to their protection, which are not altered by the Proposed Action.

12 During salmon spawning, steelhead are known to eat loose salmon eggs. Once salmon are reestablished,  
13 these eggs and salmon carcasses would provide addition nutrients to the local food web. The proposed  
14 reintroductions of spring-run Chinook and subsequent reestablishment of fall-run Chinook could have a  
15 beneficial impact on steelhead within the San Joaquin River.

16 **4.5.1.3 Southern DPS of Green Sturgeon**

17 As noted in Section 3, it is likely that green sturgeon are present in the San Joaquin River. If that is the  
18 case, like steelhead, green sturgeon are federally listed as threatened and have regulations related to their  
19 protection, which would not be altered by any of the alternatives. Within the Sacramento River basin fall-  
20 run Chinook, spring-run Chinook, and green sturgeon coexist. There is no evidence to suggest that these  
21 species would not also coexist in the San Joaquin River. Thus, the proposed reintroduction of spring-run  
22 Chinook would not impact green sturgeon that may be within the San Joaquin River.

23 **4.5.2 Fish**

24 The potential effects of reintroduction of spring-run Chinook on existing San Joaquin River fish species  
25 were assessed by evaluating the potential for Area Alternative 1 to cause changes in the way these species  
26 interact with their environment and with other species. These impacts were primarily considered in the  
27 Restoration Area and the San Joaquin River downstream from the Merced River confluence to the  
28 Sacramento San Joaquin Delta. The potential impacts that may affect biological interactions in the three  
29 major San Joaquin River tributaries (Merced, Tuolumne, and Stanislaus rivers) were also assessed for the  
30 Chinook salmon and steelhead populations that exist in those rivers.

31 A number of native fish species along with the spring-run Chinook were extirpated from the upper  
32 reaches of the San Joaquin River. With the return of flows and restoration of habitat it is anticipated that  
33 in subsequent years fish would again use the San Joaquin River. The reintroduction of spring-run  
34 Chinook is not expected to change the balance of fish populations in the San Joaquin River basin, such as  
35 shifting to a higher percentage of predatory fish. A return of spring-run Chinook would bring nutrients to

1 the river that will enhance the aquatic food web, and consequently could improve food availability for all  
2 fish species. Thus, the reintroduction of spring-run Chinook would have no impact or a beneficial impact,  
3 on fish assemblages in the San Joaquin River.

4 *Hybridization.* The spawning periods of spring-run and fall-run Chinook in the Central Valley typically  
5 overlap during October, during which hybridization between reintroduced spring-run Chinook and San  
6 Joaquin River basin fall-run Chinook could occur in the Restoration Area. At present, there is no specific  
7 information on how salmon will use the spawning areas below Friant Dam. The SJRRP includes the  
8 potential for continued operation of temporary fish barrier(s) seasonally restrict access by fall-run  
9 Chinook to the San Joaquin River in the Restoration Area to prevent hybridization with spring-run  
10 Chinook, if necessary (Reclamation and DWR 2012). Therefore, should hybridization become an issue in  
11 the future, the SJRRP includes mechanisms to prevent hybridization, and therefore there will be no  
12 impact.

13 *Predation.* The assessment in the SJRRP PEIS/R of predation-related impacts evaluated the potential for  
14 the SJRRP to modify environmental conditions that could increase or decrease the vulnerability of  
15 special-status fishes, particularly egg, larval, and juvenile life stages, to predation by piscivorous fish,  
16 which found that the impact was less than significant. Fish assemblages on the tributary rivers to the San  
17 Joaquin River are similar to those found in the Restoration Area, except that Chinook salmon and  
18 steelhead are absent from the Restoration Area. The reintroduction of spring-run Chinook is not expected  
19 to change these assemblages, so predation rates would not be changed. The reintroduction of Chinook  
20 salmon, regardless of the run, will bring marine-derived nutrients into the system which would increase  
21 productivity of all aquatic species, with no expectation that it would differentially affect predatory  
22 species. Thus there would be no impact on predation due to the reintroduction of spring-run Chinook.

23 *Competition.* Potential fisheries impacts related to competition were assessed by evaluating the potential  
24 that the habitat improvements made by the SJRRP could increase or decrease competitive interactions  
25 among the representative fish species. The assessment in the SJRRP PEIS/R was qualitative, based on  
26 potential changes in competition that could result from altered distribution, abundance, and behavior of all  
27 fishes in the San Joaquin River, as well as potential changes in other environmental conditions such as  
28 habitat quantity and quality, food resources, and water temperature that can affect competitive  
29 interactions. Water diversions that alter the abundance or proportion of nonnative fish species relative to  
30 native species may also increase the potential for competition in aquatic systems.

31 Some nonnative fish species have habitat requirements that overlap with those of native special-status  
32 species. Nonnative species may be more aggressive and territorial than native species and result in the  
33 exclusion of native species from their habitats. Many nonnative species, such as green sunfish, also  
34 tolerate very high water temperatures and are better able than native fishes to persist in water with low  
35 DO, high turbidity, and pollutants (Moyle 2002a, as cited in Reclamation and DWR 2011). Green sunfish  
36 are among the nonnative species that currently occur at relatively high abundance in the Restoration Area  
37 (DFG 2007, as cited in Reclamation and DWR 2011).

1 The predicted flow increases in the San Joaquin River from the Merced River confluence to the Delta  
2 resulting from the release of both Interim and Restoration flows would increase the amount of in stream  
3 habitat available to the representative species, and could reduce interspecific (between species) and  
4 intraspecific (within species) competition, especially during spring, when modeled flow increases are  
5 largest and migrating juvenile fall-run Chinook and steelhead are most abundant in this section of the  
6 river. Therefore based on the findings of the SJRRP PEIS/R the potential impacts from either an increase  
7 or a decrease in competition are not significant, and would not be changed by the reintroduction of spring-  
8 run Chinook.

9 *Disease.* Potential fisheries impacts resulting from disease were assessed by evaluating the potential  
10 impacts of this this alternative on environmental conditions that could increase or decrease the incidence  
11 and impacts of disease on the representative fish species.

12 The assessment was qualitative, based on potential changes in disease transmission vectors, virulence, and  
13 fish susceptibility that could result from altered distribution, abundance, and behavior of all fishes in the  
14 San Joaquin River. This assessment was also based on potential changes in other environmental  
15 conditions, such as habitat quantity and quality, pollutants, and water temperature that can affect disease  
16 transmission and the impacts of disease on the representative fish species.

17 The improved aquatic habitat conditions created through the implementation of the SJRRP would provide  
18 access to the Restoration Area by fishes currently restricted to downstream portions of the San Joaquin  
19 River, including San Joaquin River basin fall-run Chinook and steelhead. Restored habitat connectivity  
20 could increase the potential for disease transmission among formerly isolated populations, including the  
21 hatchery-supplemented resident rainbow trout in Reach 1 of the Restoration Area, and the Central Valley  
22 steelhead that occupy the lower San Joaquin River and tributaries. The parasite *Myxobolus cerebralis*,  
23 which causes whirling disease in salmonids, including rainbow trout, steelhead, and Chinook salmon,  
24 poses a risk to salmonid populations in the San Joaquin River. This parasite relies on tubifex worms  
25 (*Tubifex tubifex*) as an intermediate host (Bergersen and Anderson 1997, cited in Reclamation and DWR  
26 2011), and is a concern for the San Joaquin River because there is a tubifex worm farm located in Reach  
27 1A (Jones and Stokes 2002, cited in Reclamation and DWR 2011). However, the tubifex worm farm has  
28 been at its current location for more than 20 years and in that time no incidents of parasitic transmission  
29 has been recorded in the rainbow trout found in the area of the farm. Therefore, the potential for the  
30 transmission of this disease is considered low and the potential impacts not significant to either the  
31 current fish populations or to the proposed reintroduced spring-run Chinook.

32 Since spring-run Chinook must be translocated from outside of the San Joaquin River basin, there is the  
33 potential for eggs or fish being translocated into the San Joaquin River to increase the potential for  
34 disease transmission. Translocation of eggs or fish would be subject to section 10(a)(1)(A) permitting,  
35 which will require disease mitigation. Also the 10(a)(1)(A) issued in 2012 includes HCMP protocols for  
36 disease management. Given the methodology of quarantining any eggs and fish prior to locating the eggs  
37 or fish into the San Joaquin River, the potential effects related to the introduction of disease to the  
38 existing populations on the San Joaquin River would be no greater than the existing conditions.  
39 Therefore there will be minimal potential for disease transmission from the Proposed Action.

1 **4.5.2.1 Fisheries: San Joaquin River Tributaries (Merced, Tuolumne, and Stanislaus Rivers)**

2 The Merced, Tuolumne, and Stanislaus rivers are the three main tributaries to the lower San Joaquin  
3 River. Each tributary supports populations of fall-run Chinook and Central Valley steelhead. In addition,  
4 recent observations on the Tuolumne and the Stanislaus have reported the presence of spring-running  
5 Chinook.

6 *Hybridization.* Reintroduction of spring-run Chinook is a high-priority restoration action, and its  
7 implementation potentially could result in interspecific hybridization with San Joaquin River fall-run  
8 Chinook. The spawning periods of spring-run and fall-run Chinook in the Central Valley typically  
9 overlap during October, during which hybridization between reintroduced spring-run and San Joaquin  
10 River basin fall-run Chinook could occur in the Merced, Tuolumne, and Stanislaus rivers. However,  
11 spring-run Chinook reintroduced to the San Joaquin River would be imprinted to the San Joaquin River to  
12 minimize straying to other waterways, so the potential for hybridization between fall-run- and spring-run  
13 Chinook on San Joaquin River tributaries would be less or no different than what already occurs between  
14 fall-run and spring-running Chinook in these rivers. Therefore this alternative would have no impact on  
15 hybridization in the tributaries.

16 *Competition.* The potential for increased competition for Chinook spawning habitat in the Merced,  
17 Tuolumne, and Stanislaus rivers could occur following reintroduction of spring-run and fall-run Chinook  
18 to the upper San Joaquin River. This impact was assessed by evaluating the potential for reintroduced  
19 spring-run Chinook to stray into the Merced, Tuolumne, or Stanislaus rivers and superimpose their redds  
20 (i.e., nests) on those of fall-run Chinook during spawning. The assessment of potential impacts because  
21 of redd superimposition was conducted only for the existing population of San Joaquin River basin fall-  
22 run Chinook.

23 Redd superimposition occurs when spawning fish construct new redds on top of preexisting redds such  
24 that the eggs in the preexisting redd are either destroyed or buried under fine sediment that prevents most  
25 of the fry from emerging. Redd superimposition by fall-run Chinook has been reported in the Tuolumne  
26 River (TID/MID 1991) and in the Stanislaus River (Mesick 2001, as cited in Reclamation and DWR  
27 2011). However, it is unlikely that superimposition of fall-run Chinook redds by reintroduced spring-run  
28 Chinook would occur in the Merced, Tuolumne, or Stanislaus rivers because spring-run Chinook spawn  
29 before most fall-run, and the peak spawning periods of the two runs have a short duration overlap.  
30 Similarly the reverse could occur where fall-run would superimpose on spring-run Chinook redds.  
31 However, the levels of superimposition in other natural streams where spawning occurs, in the  
32 Sacramento Basin, has been found to be low (H. Brown pers. comm. 2012). Furthermore, recent research  
33 on fall-run Chinook indicates that redd superimposition is currently unlikely to limit adult Chinook  
34 recruitment in these San Joaquin River tributaries because many more fry are produced at high densities  
35 of spawners than can be sustained by the available rearing habitat (Mesick and Marston 2007, as cited in  
36 Reclamation and DWR 2011). Therefore, there will be no impact on Chinook salmon competition for  
37 spawning areas as a result of implementing this alternative.

1 *Disease*. Reintroduced spring-run Chinook, may include or be supplemented by fish from an out-of-basin  
2 hatchery. These fish could stray into the Merced, Tuolumne, and Stanislaus rivers and increase the  
3 potential for the introduction and spread of hatchery-borne disease into San Joaquin River basin Chinook  
4 populations. However, given the methodology of quarantining any eggs and fish prior to locating the  
5 eggs or fish into the San Joaquin, the potential effects related to the introduction of disease to the existing  
6 populations on the Merced, Tuolumne, and Stanislaus rivers would not be significant.

#### 7 **4.5.3 Recreational Fishing**

8 The impacts to recreational fishing would be the same as the impacts described for the reintroduction of  
9 spring-run Chinook/proposed action in section 4.3.3. There are no impacts to recreational fishing from  
10 the implementation of any of the Area Alternatives.

#### 11 **4.5.4 Commercial Fishing**

12 The impacts to commercial fishing would be the same as the impacts described for the reintroduction of  
13 spring-run Chinook/proposed action in section 4.3.3. Under the Area Alternative 1 there would be no  
14 short-term impacts to commercial fishing and in the long-term there are potential beneficial impacts.

#### 15 **4.5.5 Hatchery Facilities**

16 The impacts to hatchery facilities from the implementation of Area Alternative 1 would be the same as  
17 the impacts described for the reintroduction of spring-run Chinook/proposed action in section 4.3.5.

#### 18 **4.5.6 Land Use**

19 If NEP Area Alternative 1 is implemented, all legal activities that would result in incidental take would be  
20 included in the take exemption for spring-run Chinook within the Restoration Area and also on the San  
21 Joaquin River and its tributaries between the confluence with the Merced River and Mossdale Landing.  
22 Agricultural and forestry activities that could incidentally affect spring-run Chinook would be exempted  
23 from ESA section 9 take prohibitions. Thus there would be no impact on agricultural resources and  
24 forestry as a result of the Proposed Action.

25 Delta pump operations would not be effected by the reintroduction of spring-run Chinook to the San  
26 Joaquin River. As outlined in the Restoration Act, reintroduction is required to have a *de minimus* effect  
27 upon Third Parties diverting or receiving water pursuant to applicable state and federal laws, which  
28 includes the Delta pumping facilities. The proposed rules include language to exempt these activities  
29 from take of spring-run Chinook that originate from the San Joaquin River. This can be achieved by  
30 identifying San Joaquin River spring-run Chinook proportional contribution to take at the pumping  
31 facilities, relative to the take of spring-run Chinook from other watersheds, and excluding that amount  
32 from spring-run Chinook incidental take allowances established for Sacramento Valley origin fish. The  
33 method of these calculations will be identified each year by NMFS in a technical memorandum, issued by  
34 January 15<sup>th</sup>. This approach is similar to, and will be integrated with, incidental take calculations that

1 have been applied to minimize take of other fish populations at the export facilities. Consequently the  
2 reintroduction will not add a regulatory burden to that process. Information for that calculation of  
3 proportionate take attributable to the reintroduction will be available. Additionally, until spring-run  
4 Chinook begin reproducing in the wild, all fish released into the San Joaquin River will be marked or  
5 identifiable. This will allow for several years of data on fish definitively from the reintroduction to  
6 inform methods for the calculation. Therefore, the implementation of Area Alternative 1 have would de  
7 minimus or no impact on Third Parties and their water use activities because of the reintroduction of  
8 spring-run Chinook.

9 However, steelhead is listed as a threatened species under the ESA. Steelhead already occurs in the San  
10 Joaquin River tributaries and areas downstream of the confluence of the San Joaquin River and the  
11 Merced River, and outside of the designated boundary of the Central Valley spring-run Chinook salmon  
12 ESU. Actions that likely would cause take of spring-run Chinook in this area also likely would cause take  
13 of steelhead. There would be no change in the ESA regulatory environment for actions that may affect  
14 steelhead, thus the 4(d) provisions of the NEP designation have limited effect, in this area, on potentially  
15 regulated entities because of the presence of steelhead. However, these 4(d) provisions will ensure that  
16 the reintroduction of spring-run, alone, will have minimal impact on the specified water management  
17 actions.

#### 18 **4.5.7 Water Quality**

19 Under Area Alternative 1, the impacts on water quality would be the same as the impacts described for  
20 the reintroduction of spring-run Chinook salmon/proposed action in section 4.3.7. This alternative would  
21 not have a significant effect on water quality.

#### 22 **4.5.8 Air Quality and Climate Change**

23 The air quality and climate change impacts of Area Alternative 1 would only relate to the activities  
24 implemented for the reintroduction of spring-run Chinook, and would be the same as the impacts  
25 described for the reintroduction of spring-run Chinook/proposed action described in 4.3.8. The resulting  
26 emissions would have no significant impacts to air quality or climate change.

#### 27 **4.6 Area Alternative 2 (preferred alternative)**

28 Under Area Alternative 2, the NEP area includes the main stem of the San Joaquin River from below  
29 Friant dam to the confluence of the Merced River (See Figure 2-2). Within the NEP area, take  
30 exemptions for spring-run Chinook would cover all take that occurs in the course of otherwise lawful  
31 activities. Direct take is prohibited. Take for research and scientific purposes would be allowed subject  
32 to permit requirements. Adipose fin-clipped fish are included in the limited take prohibitions.

33 Outside of the NEP area on the San Joaquin River and its tributaries from the confluence of the Merced  
34 River to Mossdale County Park, take of Central Valley spring-run Chinook salmon would be exempted  
35 for third parties engaged in otherwise lawful activities relating to diverting or receiving water pursuant to

1 applicable State and Federal laws, so that the reintroduction will not impose more than *de minimus*: water  
2 supply reductions, additional storage releases, or bypass flows on unwilling third parties. For the CVP  
3 and SWP facilities in the south Delta take would be exempted for spring-run Chinook reintroduced to the  
4 San Joaquin River. The calculation to discount the contribution of these fish to existing Incidental Take  
5 authorization for spring-run Chinook would be defined by NMFS in an annual technical memorandum, as  
6 described under section 4.5.6, above.

7 This alternative would ensure that the experimental population designation in the San Joaquin basin will  
8 be wholly separate geographically from the remaining spring-run Chinook populations found within the  
9 Sacramento Basin and the potential spring-run Chinook populations of the Stanislaus, the Tuolumne, and  
10 the Merced Rivers, while affording the ESA regulatory relief envisioned in the Restoration Act. This area  
11 meets the wholly separate criteria of ESA section 10(j) as defined by FWS guidelines.

#### 12 **4.6.1 Federally Listed Species**

##### 13 **4.6.1.1 Central Valley Spring-run Chinook Salmon**

14 The environmental consequences of implementing Area Alternative 2 on spring-run Chinook are the same  
15 as the impacts of the reintroduction of spring-run Chinook/proposed action described in section 4.3.1.1,  
16 except that the area of the experimental population would be separate from the other potential populations  
17 that may be in the San Joaquin River tributaries. Spring-run Chinook that may already occur in the  
18 tributaries would not be covered by the ESA take provisions within the NEP area for take incidental to all  
19 otherwise legal activities. However, the take provisions exempting Third Party activities relating to the  
20 providing or diverting of water would cover incidental take of wild produced spring-run Chinook in the  
21 tributaries. This exemption covers a limited range of activities, and these activities are already subject to  
22 ESA regulations as they apply to take for steelhead. In these areas, the habitat and life history  
23 requirements for steelhead and spring-run Chinook are similar, consequently it is expected that these take  
24 exemptions associated with the reintroduction of spring-run Chinook to the San Joaquin River would  
25 have nominal to no impact on any existing or reintroduced spring-run Chinook in the San Joaquin River  
26 tributaries.

27 It is likely that some reintroduced spring-run Chinook would stray into the tributaries. It is expected that  
28 straying would be within natural straying rates. Such movement would provide a normal level of genetic  
29 exchange and would not negatively affect any existing spring-run Chinook populations.

1    **4.6.1.2     Central Valley Steelhead**

2    Although the area of the NEP and Third Party 4(d) provisions would differ under Area Alternative 2, the  
3    impacts related to steelhead would be the same as impacts of the reintroduction of spring-run  
4    Chinook/proposed action. See discussion section 4.3.1.2 for impacts related to Central Valley steelhead  
5    as a result of this alternative.

6    **4.6.1.3     Southern DPS of Green Sturgeon**

7    Although the area of the NEP and 4(d) provisions would differ under Area Alternative 2, the impacts  
8    related to green sturgeon would be the same as impacts of the reintroduction of spring-run  
9    Chinook/proposed action. See discussion section 4.3.3 for impacts related to green sturgeon as a result of  
10   this alternative.

11   **4.6.2       Fish**

12   Although the area of the NEP and 4(d) provisions would differ under Area Alternative 2, the impacts  
13   related to fisheries would be the same as impacts of the reintroduction of spring-run Chinook./proposed  
14   action. See discussion section 4.3.2 for impacts related to fisheries as a result of this alternative.

15   **4.6.3       Recreational Fishing**

16   Although the area of the NEP and 4(d) provisions would differ under Area Alternative 2, the impacts  
17   related to recreational fishing would be the same as impacts of the reintroduction of spring-run  
18   Chinook/proposed action. See discussion section 4.3.3 for impacts related to fisheries as a result of this  
19   alternative.

20   **4.6.4       Commercial Fishing**

21   Although the area of the NEP and 4(d) provisions would differ under Area Alternative 2, the impacts  
22   related to commercial fishing would be the same as impacts of the reintroduction of spring-run  
23   Chinook/proposed action. See discussion section 4.3.4 for impacts related to commercial fishing Central  
24   Valley steelhead as a result of this alternative.

25   **4.6.5       Hatchery Facilities**

26   The impacts to hatchery facilities from the implementation of Area Alternative 2 would be the same as  
27   impacts of the reintroduction of spring-run Chinook./proposed action. discussed in section 4.3.5.

28   **4.6.6       Land Use**

29   If NEP Area Alternative 2 is implemented, fewer activities would be included in the take exemption for  
30   spring-run Chinook between the confluence with the Merced River and Mossdale Landing. However,

1 steelhead is listed as threatened under the ESA and already occurs in this area. Actions that likely would  
2 cause take of spring-run Chinook also likely would cause take of steelhead. Hence there would be no  
3 change in the ESA regulatory environment for land use actions not included in the "Third Party"  
4 definition because such actions are already regulated by NMFS because of the presence of steelhead in  
5 the area between the proposed NEP and the spring-run Chinook ESU. However, the 4(d) provisions will  
6 ensure that the reintroduction of spring-run, alone, will have minimal impact on the specified water  
7 management actions.

8 Delta pump operations would not be effected by the reintroduction of spring-run Chinook to the San  
9 Joaquin River. As outlined in the Restoration Act, reintroduction is required to have a *de minimus* effect  
10 upon third party water users which includes the Delta pumping facilities. The proposed rules include  
11 language to exempt these activities from take of spring-run Chinook that originate from the San Joaquin  
12 River. This can be achieved by identifying San Joaquin River spring-run Chinook proportional  
13 contribution to take, relative to the take of spring-run Chinook from other watersheds. The method of  
14 these calculations will be identified each year by NMFS in a technical memorandum, issued by January  
15 15<sup>th</sup>. This approach is similar to, and will be integrated with, incidental take calculations that have been  
16 applied to minimize take at the export facilities for other fish populations. Consequently the program will  
17 not add a regulatory burden to that process. The SJRRP will monitor reintroduced spring-run Chinook as  
18 part of the program. Information for that calculation of proportionate take attributable to the  
19 reintroduction will be available. Additionally, until spring-run Chinook begin reproducing in the wild, all  
20 fish released will be marked or identifiable. This will allow for several years of data on fish definitively  
21 from the reintroduction to inform methods for the calculation. Therefore, the implementation of Area  
22 Alternative 1 would de minimus or no impact on Third Parties and their water use activities.

#### 23 **4.6.7 Water Quality**

24 The impacts related to water quality under Area Alternative 2 be the same as impacts of the reintroduction  
25 of spring-run Chinook./proposed action. See discussion section 4.3.7 for impacts related to water quality  
26 as a result of this alternative.

#### 27 **4.6.8 Air Quality**

28 The impacts related to air quality under Area Alternative 2 would remain as be the same as impacts of the  
29 reintroduction of spring-run Chinook./proposed action. See discussion section 4.3.8 for impacts related to  
30 air quality as a result of this alternative.

#### 31 **4.6.9 Climate Change**

32 The impacts related to climate change under Area Alternative 2 be the same as impacts of the  
33 reintroduction of spring-run Chinook/proposed action. See discussion section 4.3.9 for impacts related to  
34 climate change as a result of this alternative.

1                    **DURATION ALTERNATIVE ANALYSIS**

2    **4.7            Duration Alternative 1**

3    Under this alternative, the 10(j) experimental population designation would be in effect through 2025;  
4    that is to say, the experimental population designation would sunset unless alternative rules are made.  
5    The environmental consequences of this alternative on all resources except Land Use are the same as the  
6    impacts described above for the reintroduction of spring-run Chinook/proposed action. The impacts to  
7    Land Use are analyzed below. Please refer to the analysis of the reintroduction of spring-run  
8    Chinook/proposed action for impacts to the other resources.

9    **4.7.1           Land Use**

10   If the NEP designation sunsets in 2025, the take provisions for spring-run Chinook in the San Joaquin  
11   River would likely revert to the provisions set forth in the existing 4(d) rule established for the ESU. The  
12   provisions under the ESU rule are more restrictive than the associated take provisions that would be  
13   established for the NEP or the *de minimus* provisions established for the area between the NEP area and  
14   the designated boundary of the ESU. Activities permitted under the NEP and *de minimus* provisions  
15   exempt take for more activities that may affect spring-run Chinook than what is permitted under the  
16   current ESU rule. If the NEP ends in 2025 and spring-run Chinook is still listed, individuals within the  
17   Restoration Area could be subjected to increased regulations. However, the Restoration Act provision  
18   that the reintroduction will not impose more than *de minimus*: water supply reductions, additional storage  
19   releases, or bypass flows on unwilling third parties due to such reintroduction, does not sunset. With the  
20   sun setting of the NEP there is at minimum regulatory uncertainty whether new regulations would need to  
21   be adopted to meet the conditions of the Restoration Act. This would trigger an additional regulatory  
22   burden on the public for NMFS to prepare replacement regulations. Additionally, this would create an  
23   uncertain business environment for agricultural and forestry activities. The actual consequences of this  
24   alternative are difficult to quantify, but from a qualitative analysis this alternative could result in a  
25   negative impact to the human environment.

26   **4.8            Duration Alternative 2 (preferred alternative)**

27   Under the 10(j) Duration Alternative 2 there would be no pre-determined end to the experimental  
28   population designation. Therefore the selected 4(d) rule for spring-run Chinook within the NEP area  
29   would remain unless NMFS undertakes the rulemaking process to remove or otherwise modify the  
30   duration of the experimental population designation. This would only be done if and when warranted.  
31   The status of the essential or non-essential designation of the experimental population would be  
32   considered every five years during the status review of the species. The environmental consequences of  
33   this alternative on all resources except Land Use are the same as the impacts described above for the  
34   reintroduction of spring-run Chinook/proposed action. The impacts to Land Use are analyzed below.  
35   Please refer to the analysis of the reintroduction of spring-run Chinook/proposed action for impacts to the  
36   other resources.

1    **4.8.1        Land Use**

2    There are similar regulatory issues with Duration Alternative 2 not establishing an end point for the  
3    experimental population designation as Duration Alternative 1 set end point. In the case of closing the  
4    designation there is the possibility of having regulatory gaps which is not the case with Duration  
5    Alternative 2.

6    The major difference between Duration Alternative 1 and Duration Alternative 2 is that while the  
7    determination of the population's status would occur during the preparation of the 2024 Report to  
8    Congress, the existing designation of the NEP would not sunset automatically in 2025. This means that  
9    regardless of the findings presented to Congress there would be regulatory continuity. Therefore there  
10   would be no significant adverse impacts.

*This page left blank intentionally.*

1 **SECTION 5 CUMULATIVE IMPACTS**

2 NEPA defines cumulative impacts as “the impact on the environment which results from the incremental  
3 impact of the action when added to other past, present, and reasonably foreseeable future actions,  
4 regardless of what agency (Federal or non-Federal) or person undertakes such other actions” (40 CFR  
5 1508.7). Cumulative impacts were identified for the SJRRP in the PEIS/R. However, cumulative  
6 negative impacts from NMFS’ proposed designation of the NEP (via the proposed 10(j) and 4(d) Rules)  
7 and associated boundaries, would be minor, if at all measurable, on spring run Chinook salmon and not  
8 likely measurable on any other resource, with the exception of a less than significant impact to  
9 Recreational Opportunities. Cumulative positive environmental effects are likely, owing to development  
10 and implementation of cooperative and comprehensive conservation measures to support the ongoing  
11 release, reintroduction, and reestablishment of a self-sustaining population of spring-run Chinook in the  
12 San Joaquin River.

13 Impacts on the environment are included in the resource analyses in Section 4 Environmental  
14 Consequences. For example, the establishment of the NEP furthers the goals established by the  
15 Settlement. The NEP, SJRRP restoration projects, and other activities such as construction of the  
16 conservation hatchery facility and future 10(a)(1)(A) permits would work in concert with other ongoing  
17 recovery and reintroduction efforts for spring-run Chinook and would enhance NMFS’ flexibility and  
18 discretion in managing listed Central Valley salmon within the whole of the Central Valley. Monitoring  
19 of the 10(a)(1)(A) permits and special handling for scientific or salvage and rescue purposes under the  
20 existing 4(d) permitting protocol and adaptive management components of the FMP or San Joaquin River  
21 Conservation Hatchery HGMP, for example, would help ensure that the affected spring-run Chinook is  
22 adequately protected. Therefore, the incremental and cumulative impacts to spring-run Chinook would  
23 not be significant.

24 Cumulatively, the NEP designation would be consistent with the goals and objectives of the numerous  
25 ongoing restoration activities in the NEP area. The area in which the NEP is to be established has been  
26 degraded in terms of fish habitat and access for salmon to spawning areas from past actions, most  
27 importantly, by the direct, indirect, and cumulative impacts from dam development and water  
28 withdrawals. The establishment of the proposed NEP and 4(d) rules is the result of long-term  
29 negotiations between the stakeholders in the region and the Settlement process. The NEP along with the  
30 establishment of take exemptions for both the area within the NEP and the area between the NEP and the  
31 south Delta may result in greater numbers of San Joaquin River spring-run Chinook being taken than  
32 under the more restrictive exemptions that apply to the existing ESU. These allowances represent  
33 conditions necessary to obtain support of the local stakeholders to allow Congressional authorization to  
34 implement the Settlement. The flow and habitat improvements to be implemented by the SJRRP  
35 represent the best opportunity to have spring-run Chinook reintroduced to the San Joaquin River. With  
36 the successful reintroduction to the San Joaquin River, combined with ongoing recovery actions, there is  
37 an increased likelihood of recovery for the species as a whole.

38 In addition to recovery planning, Federal agencies must consult with NMFS under section 7 of the ESA  
39 on any action that is likely to adversely affect listed species under NMFS jurisdiction, including spring-  
40 run Chinook salmon. Non-federal actions that may result in “take” of ESA listed species as defined

1 through section 9 or 4(d) are required to obtain appropriate authorization to avoid violation of the law.  
2 Reintroduction of ESA listed species to an area where they do not currently occur could add to the  
3 regulatory requirements for Federal and non-federal actions. However, the proposed NEP designation  
4 provides substantial regulatory relief from section 9 take prohibitions, hence cumulative effects of the  
5 reintroduction as a NEP on present and future activities will be negligible. Also, when a NEP is in effect,  
6 the section 7(a)(2) consultation requirement would be suspended, but the section 7(a)(4) conference  
7 requirement would remain in effect. A conference between a Federal agency and the NMFS consists of  
8 informal discussions concerning an action that is likely to jeopardize the continued existence of the  
9 proposed species or result in the destruction or adverse modification of the proposed critical habitat at  
10 issue. The occurrence of conferences under the proposed action are likely to be limited, hence the  
11 cumulative effect of the reintroduction as a NEP on regulatory requirements for present and future  
12 activities will be negligible.

13 In the long-term, however, the designation may result in net benefits to listed spring-run Chinook if  
14 conservation measures supporting reintroduction are successfully developed and implemented during the  
15 established NEP period. Incidental take of spring-run Chinook that would continue under the NEP  
16 designation would be consistent with Congressional intent for section 10(j) of the ESA to foster improved  
17 habitat and abundance conditions in the long-term while ongoing, lawful landowner activities are  
18 occurring concurrent to the NEP designation.

19 As discussed, the cumulative impacts of the SJRRP were identified in the PEIS/R. However, there is one  
20 specific impact discussion that is reproduced herein. That discussion is the analysis of Climate Change  
21 and the possible impacts of Climate Change on the fish population of the Proposed Action.

22 Climate change is predicted to bring profound changes to California's natural environment. Hayhoe et al.  
23 (2004 as cited in Reclamation 2011) describe the results of four climate change models: compared with  
24 1960–1991, by 2070–2099 statewide average annual temperatures will 36°F–42°F higher, average annual  
25 precipitation will be reduced by >3.9 inches, sea level will have risen 7.5–16.1 inches, snowpack will  
26 have declined by 29%–89%, and change in annual inflow to reservoirs will decline by >20%. (One model  
27 predicted slight increases in precipitation, snowpack, and reservoir inflow.)

28 Changes in vegetation are also predicted (e.g., substantial decreases in the extent of alpine/subalpine  
29 forest, evergreen conifer forest, mixed evergreen woodland, and shrubland; and increases in mixed  
30 evergreen forest and grassland (Hayhoe et al. 2004 as cited in Reclamation 2011). Climate change is  
31 likely to cumulatively affect native fishes and amphibians by increasing water temperatures (hence  
32 reducing dissolved oxygen), reducing stream flows, and increasing the likelihood of drought-related fires.  
33 A rise in sea level would lead to increasing rates of erosion, sedimentation, flooding, and inundation of  
34 low-lying coastal ecosystems. With reductions in snowmelt runoff, peak flows may come earlier as  
35 rainfall contributes more, which could affect species such as Central Valley spring-run Chinook that have  
36 evolved their life history based on predictable runoff patterns (Williams 2006, as cited in Reclamation and  
37 DWR 2011). An example of this potential vulnerability is the Butte Creek population of spring-run  
38 Chinook. Butte Creek is at a lower elevation than the sources of the San Joaquin River. With reduced  
39 snowpack owing to climate change, the potential resulting flows would be at temperatures that would  
40 reduce the viability of reproduction and there are no upstream reservoirs that could store water at cooler

1 temperatures. Increasing temperatures also may increase metabolic needs of fish predators and increase  
2 predation (Lindley et al. 2007, Thompson, et al. In press.). Moyle et al. (2008 as cited in Reclamation and  
3 DWR 2011) qualitatively assessed the potential for climate-related impacts on California's native  
4 salmonids (Table 5-1). Their analysis indicated that the majority of taxa (18 of 29, 62%) were vulnerable  
5 in all or most of the watersheds inhabited; no taxon was invulnerable to climate change.

6 The PEIS/R for the SJRRP found that the Restoration Program would not have significant cumulative  
7 greenhouse gas emissions. As part of the overall program, the potential greenhouse gas emission for  
8 establishment of the NEP would be minimal. There is the potential that climate changes would increase  
9 pressures on fish habitat from warming trends. However, the reintroduction of spring-run Chinook to the  
10 San Joaquin River may have a beneficial effect to the species. Waters of the San Joaquin River start at  
11 higher elevations than those of the Sacramento River. Therefore, it is possible that even with reduced  
12 snow pack, the waters generated would be cooler for longer periods than the Sacramento Branch of the  
13 Central Valley. It is possible that the reintroduced population may represent a potential refugia for the  
14 ESU (Reclamation and DWR 2011).

15 The establishment of the experimental population and other SJRRP projects would work in concert with  
16 other ongoing recovery and reintroduction efforts and would enhance NMFS' flexibility and discretion in  
17 managing listed Central Valley salmon conservation. Monitoring and adaptive management would help  
18 ensure that the experimental population of spring-run Chinook is adequately protected and supported by  
19 restoration actions implemented through the SJRRP.

20 Because of the practices identified in the HGMP, which include methods and monitoring to protect the  
21 genetic integrity and to minimize hatchery influence, there will be no cumulative adverse impacts if  
22 experimental population salmon naturally stray at normal levels to natal streams of existing spring-run  
23 Chinook populations.

1 Table 5-1. Qualitative Assessment of California Salmonids' Vulnerability to Climate Change

Vulnerability	Taxon
Vulnerable in all watersheds inhabited	Klamath Mountains Province summer steelhead <sup>SSC</sup> ; northern California coastal summer steelhead <sup>FT, SSC</sup> ; central California coast steelhead <sup>FT</sup> ; south-central California coast steelhead <sup>FT, SSC</sup> ; southern steelhead <sup>FE, SSC</sup> ; upper Klamath–Trinity Rivers spring-run Chinook salmon <sup>SSC</sup> ; Central Valley late fall–run Chinook salmon <sup>SC, SSC</sup> ; Sacramento winter-run Chinook salmon <sup>FE, SE</sup> ; Central Valley spring-run Chinook salmon <sup>FT, ST</sup> ; southern Oregon– northern California coastal Coho salmon <sup>FT, ST</sup> ; central California coast Coho salmon <sup>FE, SE</sup> ; McCloud River redband trout <sup>SSC</sup> ; Eagle Lake rainbow trout <sup>SSC</sup> ; Lahontan cutthroat trout <sup>FT</sup>
Vulnerable in most watersheds inhabited (possible refuges present)	Central Valley steelhead <sup>FT</sup> ; upper Klamath–Trinity Rivers fall-run Chinook salmon; California coast Chinook salmon <sup>FT</sup> ; Goose Lake redband trout <sup>SC</sup> ; coastal cutthroat trout <sup>SSC</sup>
Vulnerable in portions of watershed inhabited (e.g., headwaters and lowermost reaches of coastal streams)	Northern California coastal winter steelhead <sup>FT</sup> ; Central Valley fall-run Chinook salmon <sup>SC</sup> ; California golden trout <sup>SC, SSC</sup> ; Little Kern golden trout <sup>FT</sup> ; Kern River rainbow trout <sup>SC, SSC</sup> ; Paiute cutthroat trout <sup>FT</sup> ; mountain whitefish
Low vulnerability due to location, cold water sources, or active management	Klamath Mountains Province winter steelhead; resident coastal rainbow trout; southern Oregon–northern California coastal Chinook salmon
Not vulnerable to significant population loss due to climate change	None
<p><b>Notes:</b>  <b>FE</b> = endangered (federal).  <b>FT</b> = threatened (federal).  <b>SE</b> = endangered (state).  <b>ST</b> = threatened (state).  <b>SC</b> = species of concern (federal).  <b>SSC</b> = species of special concern (state).                      Source: Moyle et al. 2008 (as cited in Reclamation and DWR 2011).</p>	

1    **SECTION 6 REFERENCES**

- 2    Anderson, J.T, C.B. Watry and A. Gray. 2007. Upstream Fish Passage at a Resistance Board Weir Using  
3    Infrared and Digital Technology in the Lower Stanislaus River, California 2006–2007 Annual Data  
4    Report. 40pp.
- 5    Armentrout, S., H. Brown, S. Chappell, M. Everett-Brown, J. Fites, J. Forbes, M. McFarland, J. Riley, K.  
6    Roby, A. Villalovos, R. Walden, D. Watts, and M.R. Williams, 1998. Watershed Analysis for Mill, Deer  
7    and Antelope Creeks. Almanor Ranger District Lassen National Forest.
- 8    [California HSRG] California Hatchery Scientific Review Group. 2012. California Hatchery Review  
9    Report. Prepared for the US Fish and Wildlife Service and Pacific States Marine Fisheries Commission.  
10   June. 2012. 100 pgs.
- 11   [DFG] California Department of Fish and Game. 1966. Department of Water Resources Bulletin No. 137.  
12   Sacramento Valley East Side Investigation. Appendix C, Fish and Wildlife.
- 13   [DFG] California Department of Fish and Game. 1991. Lower Mokelumne River Management Plan. The  
14   Resources Agency. 239pp.
- 15   [DFG] California Department of Fish and Game. 1998, A Status Review of the spring- run Chinook  
16   salmon [*Oncorhynchus tshawytscha*] in the Sacramento River Drainage. Candidate Species Status Report  
17   98-01. Sacramento, CA: Department of Fish and Game. [As cited in DFG 2008]
- 18   [DFG] California Department of Fish and Game. 2008. Review of Present Steelhead Monitoring  
19   Programs in the California Central Valley. Prepared by the Pacific States Marine Fisheries Commission  
20   for the California Department of Fish and Game Central Valley Steelhead Monitoring Plan Agreement  
21   No. P0685619 May 2008.CDFG 2009 Cramer and Hammack [1952]
- 22   [DFG] California Department of Fish and Game. 2012. GrandTab spreadsheet of adult Chinook  
23   escapement in the Central Valley. April 2012. <http://www.calfish.org/tabid/104/Default.aspx>
- 24   [DWR] California Department of Water Resources. 2009. Central Valley Spring-run Chinook Salmon and  
25   Steelhead in the Sacramento River Basin Background Report
- 26   [DWR] California Department of Water Resources. 2011, Interagency Ecology Program – Mission and  
27   Goals at <http://www.water.ca.gov/iep/about/mission.cfm> Web site accessed November, 17, 2011.
- 28   [DWR] California Department of Water Resources. 2012. Where Rivers Meet-The Sacramento-San  
29   Joaquin Delta. DWR website: <http://www.water.ca.gov/swp/delta.cfm> viewed January 27, 2012.
- 30   Clark GH. 1929. Sacramento-San Joaquin salmon (*Oncorhynchus tshawytscha*) fishery of California  
31   Division of Fish and Game. Fish Bulletin 17. p 1–73.

*Section 6 References*

---

- 1 Clark GH. 1930. Salmon spawning in drainage canals in the San Joaquin Valley. California Fish and  
2 Game 16(3):270.
- 3 Clark GH. 1943. Salmon at Friant Dam—1942. California Fish and Game 29(3):89–91.
- 4 40 Code of Federal Regulations (CFR) 1502.13 (Chapter V: Council On Environmental Quality Part  
5 1502: Environmental Impact Statement 1502.13 - Purpose and need.
- 6 50 CFR 17.80 (Title 50: Wildlife and Fisheries Chapter 1: United States Fish and Wildlife Service,  
7 Department of the Interior (Continued). Subchapter B: Taking, Possession, Transportation, Sale,  
8 Purchase, Barter, Exportation and Importation of Wildlife and Plants (Continued) Part 17: Endangered  
9 and Threatened Wildlife and Plants, Subpart H: Experimental Populations, 17.80 Definitions
- 10 Cavallo, B., R. Brown, and D. Lee. 2011. Hatchery and genetic management plan for Feather River  
11 Hatchery spring-run Chinook program. Prepared for California Department of Water Resources.  
12 December 2011.
- 13 DuBois, J., M. Gringas, and R. Mayfield. 2009. 2008 sturgeon fishing report card: preliminary data  
14 report. California Department of Fish and Game, Stockton, California.
- 15 DuBois, J., B. Beckett, and T. Matt. 2010. 2009 sturgeon fishing report card: preliminary data report.  
16 California Department of Fish and Game, Stockton, California.
- 17 DuBois, J., T. Matt, and T. MacColl. 2011. 2010 sturgeon fishing report card: preliminary data report.  
18 California Department of Fish and Game, Stockton, California.
- 19 East Bay Municipal Utility District, California Department of Fish and Game, U.S. Fish and Wildlife  
20 Service. 2008. Lower Mokelumne River Project Joint Settlement Agreement Ten-year Review  
21 Partnership
- 22 Federal Energy Regulatory Commission (FERC). 2007. FERC Project 2100, May 2007 Draft  
23 Environmental Impact Report Oroville Facilities Relicensing—FERC Project No. 2100.
- 24 Federal Register / Vol. 63, No. 53 / Thursday, March 19, 1998 / Endangered and Threatened Species;  
25 Threatened Status for Two ESUs of Steelhead in Washington, Oregon, and California
- 26 Federal Register / Vol. 64, No. 179 / Thursday, September 16, 1999 / Endangered and Threatened  
27 Species; Threatened Status for Two Chinook Salmon Evolutionarily Significant Units (ESUs) in  
28 California. Final Rule
- 29 Federal Register / Vol. 65, No. 1132 / Monday, July 10, 2000 / Endangered and Threatened Species; Final  
30 Rule Governing Take of 14 Threatened Salmon and Steelhead Evolutionarily Significant Units (ESUs)

*Section 6 References*

---

- 1 Federal Register /Vol. 70, No. 123 /Tuesday, June 28, 2005 / Endangered and Threatened Species: Final  
2 Listing Determinations for 16 ESUs of West Coast Salmon, and Final 4(d) Protective Regulations for  
3 Threatened Salmonid ESUs. Pages 37160 – 37204.
- 4 Federal Register / Vol. 70, No. 123 /Tuesday, June 28, 2005 / Policy on the Consideration of Hatchery-  
5 Origin Fish in Endangered Species Act Listing Determinations for Pacific Salmon and Steelhead. Pages  
6 37204 – 37216.
- 7 Federal Register /Vol. 70, No. 170 /Friday, September 2, 2005 / Endangered and Threatened Species:  
8 Designation of Critical Habitat for Seven Evolutionarily Significant Units of Pacific Salmon and  
9 Steelhead in California. Page 52488 – 56627.
- 10 Federal Register /Vol. 76, No. 157 /Monday, August 15, 2011 / Endangered and Threatened Species. 5-  
11 Year Reviews for 5 Evolutionarily Significant Units of Pacific Salmon and 1 Distinct Population Segment  
12 of Steelhead in California. Pages 50447-50448.
- 13 Federal Register /Vol. 77, No. 63 /Monday, April 2, 2012 / Listing Endangered and Threatened Species;  
14 12-Month Finding on a Petition To List Chinook Salmon in the Upper Klamath and Trinity Rivers Basin  
15 as Threatened or Endangered Under the Endangered Species Act
- 16 Federal Register Vol. 77 April 19, 2012 / Notices. Endangered and Threatened Species: Take of  
17 Anadromous Fish. Notice of availability. Page 23463
- 18 Fry D.H., Jr. 1961. King salmon spawning stocks of the California Central Valley, 1940–1959. California  
19 Fish and Game 47(1):55–71.
- 20 Gleason, E., M. Gringas, and J. DuBois. 2008. 2007 sturgeon fishing report card: preliminary data report.  
21 California Department of Fish and Game, Stockton, California.
- 22 Good, T.P., R.S. Waples, and P. Adams (editors). 2005. Updated status of federally listed ESUs of West  
23 Coast salmon and steelhead. U.S. Dept. Commer., NOAA Tech. Memo. NMFS-NWFSC-66, 598 p.
- 24 Gruber, J.J., Z.J. Jackson, and J.P. Van Eenennaam. 2012. 2011 San Joaquin River sturgeon spawning  
25 survey. Lodi Fish and Wildlife Office, Anadromous Fish Restoration Program, U.S. Fish and Wildlife  
26 Service, Stockton, California.
- 27 Kennedy, T., and T. Cannon. 2005. Stanislaus River salmonid density and distribution survey report  
28 (2002-2004). Prepared for the U.S. Bureau of Reclamation Central Valley Project Improvement Act.  
29 Fishery Foundation of California. October 2005.
- 30 Lindley, S.T., R.S. Schick, B.P. May, J.J. Anderson, S. Greene, C. Hanson, A. Low, D. McEwan, R.B.  
31 MacFarlane, C. Swanson, and J.G. Williams. 2004. Population structure of threatened and endangered

- 1 Chinook salmon ESUs in California's Central Valley basin. NOAA Technical Memorandum NMFS-  
2 SWFSC-360, April 2004.
- 3 Lindley, S.T., R.S. Schick, E. Mora, P.B. Adams, J.J. Anderson, S. Grene, C. Hanson, B.P. May, D.  
4 McEwan, R.B. MacFalane, C. Swanson, and J.G. Williams. 2007. Framework for Assessing Viability of  
5 Threatened and Endangered Chinook Salmon and Steelhead in The Sacramento-San Joaquin Basin. San  
6 Francisco Estuary and Watershed Science 5(1): article 4. February.
- 7 Maslin, P., M Lennox, and W. McKinney. 1997. Intermittent streams as rearing habitat for Sacramento  
8 River Chinook salmon (*Oncorhynchus tshawytscha*). California State University, Chico, Department of  
9 Biological Sciences. 89 pages.
- 10 McElhany, P., M. H. Ruckelshaus, M. J. Ford, T. C. Wainwright, and E. P. Bjorkstedt. 2000. Viable  
11 Salmonid Populations and the Recovery of Evolutionarily Significant Units. NOAA Tech. Memo. NMFS-  
12 NWFSC-42. U.S. Dept. of Commerce. National Oceanic and Atmospheric Administration. National  
13 Marine Fisheries Service.
- 14 Moyle, P. B., J. A. Israel, and S. E. Purdy. 2008. Salmon, Steelhead, and Trout in California: Status of an  
15 Emblematic Fauna. UC Davis Center for Watershed Sciences, University of California, Davis.
- 16 Moyle, P.B., R.M. Yoshiyama, J.E. Williams, and E.D. Wikramanayake. 1995. Fish Species of Special  
17 Concern in California. Second Edition. Prepared for California Department of Fish and Game, Rancho  
18 Cordova. Contract No. 2128IF.
- 19 [NMFS] National Marine Fisheries Service. 2011 Central Valley Recovery Domain 5-Year Review:  
20 Summary and Evaluation of Central Valley Spring-run Chinook Salmon ESU
- 21 [NMFS] National Marine Fisheries Service. 2009a Draft Central Valley Recovery Plan for the  
22 Evolutionarily Significant Units of Sacramento River Winter-run Chinook salmon and Central Valley  
23 Spring-run Chinook salmon, and the Distinct Population Segment of California Central Valley Steelhead.
- 24 [NMFS] National Marine Fisheries Service. 2009b. National Marine Fisheries Service. Public Draft  
25 Central Valley Recovery Plan. Appendix A: Watershed Profiles.
- 26 [NMFS] National Marine Fisheries Service. 2009c. Biological Opinion and Conference Opinion on the  
27 Long-Term Operations of the Central Valley Project and State Water Project. National Marine Fisheries  
28 Service Southwest Region
- 29 Newton, J. M., and M. R. Brown. 2004. Adult spring Chinook salmon monitoring in Clear Creek,  
30 California, 1999-2002. USFWS Report. U.S. Fish and Wildlife Service, Red Bluff Fish and Wildlife  
31 Office, Red Bluff, California.
- 32 Public Law 111-11, 123 Stat. 1349, 2009. San Joaquin River Restoration Settlement Act

*Section 6 References*

---

- 1 [Reclamation and DWR], Bureau of Reclamation and California Department of Water Resources,  
2 California Department of Water Resources. 2011. Draft San Joaquin River Restoration Program  
3 Environmental Impact Statement/Environmental Impact Report.
- 4 [Reclamation and DWR], Bureau of Reclamation and California Department of Water Resources. 2012.  
5 Final San Joaquin River Restoration Program Environmental Impact Statement/Environmental Impact  
6 Report
- 7 Reynolds FL, Mills TJ, Benthin R, Low A. 1993. Restoring Central Valley streams; a plan for action.  
8 Sacramento (CA): California Department of Fish and Game. 129 p.
- 9 [SJRRP] San Joaquin River Restoration Program Fisheries Management Work Group. December 4.  
10 Fisheries Implementation Plan 2009-2010, 2009 Draft.
- 11 [SJRRP] San Joaquin River Restoration Program Fisheries Management Work Group – Genetics  
12 Subgroup. November, 2010. Stock Selection Strategy: Spring-Run Chinook Salmon.
- 13 Skinner JE. 1958. Some observations regarding the king salmon runs of the Central Valley. Water  
14 projects miscellaneous report nr. 1. California Department of Fish and Game. 14 October 1958. 14 p.
- 15 Snider, B. 2001. Evaluation of effects of flow fluctuations on the anadromous fish populations in the  
16 lower American River. California Department of Fish and Game, Habitat Conservation Division. Stream  
17 Evaluation Program. Tech. Reports No. 1 and 2 with appendices 1-3. Sacramento, California.
- 18 Sommer, T., D. McEwan, and R. Brown, 2001. Factors Affecting Chinook Salmon Spawning in the  
19 Lower Feather River. Found in: Contributions to the Biology of Central Valley Salmonids. Fish Bulletin  
20 179. Volume 2. Sacramento (CA): California Department of Fish and Game.
- 21 Thompson, L.C., Escobar, M.I., Mosser, C.M., Purkey, D.R., Yates, D., Moyle, P.B. In press. Water  
22 management adaptations to prevent loss of spring-run Chinook salmon in California under climate  
23 change. J. Water Resour. Plann. Manage.
- 24 16 United States Code Endangered Species Act of 1973 Section 1539 Exceptions, subsections a through j  
25 inclusive.
- 26 United States District Court, Eastern District (Sacramento Division), Case No. CIV. S-88-1658  
27 LKK/GGH Notice of Lodgement Stipulation of Settlement: NRDC, et al., v. Kirk Rodgers, et al., As  
28 Lodged September 13, 2006
- 29 [USFWS] US Fish and Wildlife Service. 1995. Working Paper on restoration needs: habitat restoration  
30 actions to double natural production of anadromous fish in the Central Valley of California. Volumes 1, 2,  
31 and 3. 9 May 1995. Prepared for the US Fish and Wildlife Service under the direction of the Anadromous  
32 Fish Restoration Program Core Group. Stockton, Calif.

*Section 6 References*

---

- 1 [USFWS]U. S. Fish and Wildlife Service. 2009. Template for PROPOSED experimental population rule
- 2 [USFWS]U.S. Fish and Wildlife Service. 2011. Final §10(a)1(A), Enhancement of Species Permit  
3 Application for the Reintroduction of Central Valley Spring-Run Chinook into the San Joaquin River.
- 4 Ward P.D., T.R. McReynolds, and C.E. Garman. 2004. Butte Creek spring-run Chinook salmon,  
5 *Oncorhynchus tshawytscha* pre-spawn mortality evaluation 2004. Inland Fisheries Administrative Report  
6 No. 2004-5. 2004. 91 pp.
- 7 Williams, J.G. 2006. Central Valley salmon, a perspective on the Chinook and Steelhead in the Central  
8 Valley of California.. *San Francisco Estuary & Watershed Science* 4(3): article 2. December 2006.
- 9 Cited in SJRRP. 2010. Stock Selection Strategy
- 10 Workman, M.L. 2002a. Downstream Migration Monitoring at Woodbridge Dam on the Lower  
11 Mokelumne River, Ca. December 2001 through July 2002.
- 12 Workman, M.L. 2002b. Lower Mokelumne River Upstream Fish Migration Monitoring Conducted  
13 at Woodbridge Irrigation District Dam. August 2001 through July 2002.
- 14 Workman, M.L. 2003. Lower Mokelumne River Upstream Fish Migration Monitoring Conducted at  
15 Woodbridge Irrigation District Dam. August 2002 through July 2003.
- 16 Workman, M.L. 2004. Lower Mokelumne River Upstream Fish Migration Monitoring Conducted at  
17 Woodbridge Irrigation District Dam. August 2001 through July 2002.
- 18 Workman, M.L. 2005. Lower Mokelumne River Upstream Fish Migration Monitoring Conducted at  
19 Woodbridge Irrigation District Dam. August 2001 through July 2002.
- 20 Workman, M.L. 2006a. Downstream Migration Monitoring at Woodbridge Dam on the Lower  
21 Mokelumne River, California. December 2005 through July 2006.
- 22 Workman, M.L. 2006b. Lower Mokelumne River Fall-run Chinook Salmon Escapement Report  
23 October through December 2005.
- 24 Workman, M.L. and E. Rible. 2007. Lower Mokelumne River Fall-run Chinook Salmon Escapement  
25 Report October 2006 through January 2007. 15pp.
- 26 Workman, M.L, E. Rible and J. Shillam. 2008. Lower Mokelumne River Fall-run Chinook Salmon  
27 Escapement Report. 10pp.

*Section 6 References*

---

- 1 Yoshiyama, R. M., Gerstung, E. R., Fisher, F. W., and Moyle, P. B. 2001 Historical and Present  
2 Distribution of Chinook Salmon in the Central Valley Drainage of California in Fish Bulletin 179 -  
3 Contributions to the Biology of Central Valley Salmonids, Volume 1 of 2.
- 4 Cited within material from Yoshiyama 2001
- 5 [CFC] California State Board of Fish Commissioners. 1900a. Fifteenth Biennial Rep. Comm.  
6 Fish. of the State of California for 1897–1898. Sacramento, Calif.
- 7 [CFC] California State Board of Fish Commissioners. 1900b. Sixteenth Biennial Rep. Comm.  
8 Fish. of the State of California for 1899–1900. Sacramento, Calif.
- 9 [DFG] California Department of Fish and Game. 1990. Status and management of spring-run  
10 Chinook salmon. Report by Inland Fisheries Division to California Fish and Game Commission.  
11 Sacramento (CA): California Department of Fish and Game. 33 p.
- 12 Fisher FW. 1994. Past and present status of Central Valley Chinook salmon. *Conserv Biol*  
13 8(3):870–3.
- 14 Reynolds FL, Mills TJ, Benthin R, Low A. 1993. Restoring Central Valley streams; a plan for  
15 action. Sacramento (CA): California Department of Fish and Game. 129 p.
- 16 Rutter C. 1904. Natural history of the quinnat salmon. A report of investigations in the  
17 Sacramento River, 1896–1901. *Bull US Fish Comm* 22(1902):66–141.
- 18

*This page left blank intentionally.*

1 **SECTION 7 LIST OF PREPARERS**

2 **NMFS staff:**

3 Rhonda Reed (B.S. Wildlife & Fisheries Biology, M.S. Ecology), 30 years of experience

4 Elif Fehm-Sullivan (MS Biology, BS Biology), 12 years of experience

5 Jonathan Schram (MS Coastal Environmental Management, BS Biology) 3 years of experience

6 Shelby Mendez (Master of Marine Affairs, BS Biology), 10 years of experience

7 **Contractor:**

8 Kurtis Steinert (B.S. Marine Biology, M.S. Environment Science, American Institute of Certified  
9 Planners), Ocean Associates Inc., 20 years of experience.

10 **SECTION 8 COOPERATING AGENCIES AND CONSULTED PARTIES**

11 **8.1 Cooperating Agencies**

12 California Department of Fish and Game

13 Gerald Hatler

14 Wendy Bogdan

15 Margarita Gordus

16 Brian Erlandsen

17 Benessa Espino

18 Shannon Little

19 Bureau of Reclamation

20 Michelle Banonis

21 Alicia Forsythe

22 US Fish and Wildlife Service

23 Robert Clarke

24 Mark Littlefield

25 John Netto

26 Andy Raabe

- 1    **8.2        Consulted Parties**
- 2    California Department of Water Resources
- 3         Kevin Faulkenberry
- 4         Paul Romero
- 5         Abimael León